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A review of flow and heat transfer in rotating microchannels

Pratanu Roy^a, N.K. Anand^{a,*}, Debjyoti Banerjee^a

^aDepartment of Mechanical Engineering, Texas A&M University, College Station, TX 77840, USA

Abstract

Rotating microchannels are integral part of centrifugally actuated miniaturized microfluidic devices, which have important applications in chemical analysis and biomedical diagnostics. As the field of centrifugal microfluidics continues to evolve, it is becoming imperative to understand the fundamental principles of fluid flow inside microchannels under the influence of centrifugal and Coriolis forces. These forces arise as a consequence of expressing the governing equations in rotating Eulerian reference frame and change the flow pattern significantly from the symmetric parabolic profile of a non-rotating channel. In this paper, we have summarized the recent advances in the area of fluid flow and heat transfer applications in radially rotating microchannels. A review of experimental and numerical studies available in the current literature is performed and issues with the applicability of analytical correlations for conventional macro-sized channels to describe the microscale flow phenomena have been discussed. From this state of the art review, it is evident that further systematic investigations are needed for a clear understanding of the transport mechanism associated with the flow and heat transfer inside rotating microchannels.

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^{*} Corresponding author. Tel.: +1-979-845-5633; Fax: +1-979-845-4925.

E-mail address: nkanand@tamu.edu

1. Introduction

Investigation of fluid flow and heat transfer in rotating microchannels is important for centrifugally actuated microfluidic devices, which have enormous potential in the field of chemical analysis, drug delivery and biomedical applications. In these devices, an array of microchannels is etched on a compact-disk like circular substrate, which is then rotated at a certain frequency. By controlling the rotating frequency, various liquid handling processes, such as mixing, separation, routing, valving, siphoning, and droplet generation can be performed efficiently [1, 2]. This kind of arrangement is popularly known as *Lab-on-a-CD* or *LabCD* [1-3]. The objective of this compact disk like platform is to combine multiple liquid handling steps in order to perform all the bio-chemical processes in a flexible way [4].

A successful design of centrifugal microfluidic devices requires a clear understanding of fluid flow inside rotating microchannels. The physics of fluid flow through rotating microchannels is primarily governed by the pseudo forces, namely the centrifugal and the Coriolis forces, arising as a consequence of expressing the governing equations in rotating reference frame. At low rotation speed, the flow is purely driven by the centrifugal force. At higher rotation, the Coriolis force becomes dominant and a significant secondary flow can be observed perpendicular to the primary flow velocity. The effective operation of a centrifugal microfludic platform depends on regulating these forces in a controlled manner. For example, the working principle of capillary burst valve depends on the balance of centrifugal force and surface tension force [5]. On the other hand, mixing is enhanced in microchannels when the Coriolis force dominates over the centrifugal force [6]. The process becomes more complicated when heat transfer is included in the scenario. In some cases it is necessary to maintain the fluid within specific temperature ranges while rotating it inside the microchannel. For instance, the polymerase chain reaction (PCR) needs thermal homogeneity for efficient DNA hybridization [7]. In order to compute the correct temperature distribution of the fluid inside a rotating microchannel, the effect of rotation on convective heat transfer should be taken into consideration.

The flow physics in microscale can be modeled in two ways – one is by assuming it as a continuous medium with spatial and temporal variations of macroscopic flow quantities such as velocity, pressure, temperature or density and the other is by treating it as a collection of discrete particles whose flow properties are obtained by deterministic or probabilistic approaches [8]. The validity of Navier-Stokes equations to adequately describe the physics of flow inside microchannels depends upon the continuum hypothesis. Knudsen number (Kn), which is the ratio of the mean free path of the fluid molecules to the characteristic length, is often used as a validity indicator of the continuum hypothesis assumption [8-10]. For Kn < 0.01, the fluid can be considered as a continuum. As the Knudsen number approaches unity the continuum equations begin to fail, but for Kn < 0.1 the no slip boundary condition can still be adjusted using a slip parameter. The region $(0.01 \leq Kn \leq 0.1)$ is called the "slip regime", to distinguish it from the transition regime $(0.1 \leq Kn \leq 10)$, in which the Boltzmann equations are solved directly to predict flows.

For biological liquids, such as blood, plasma, urine, and for water, Knudsen number (Kn) falls well within the continuum region, where Navier-Stokes equations with no slip boundary conditions are applicable for any practical range of microchannel size. However, even for water flow in microchannels, contradictory results on the validity of the Navier-Stokes equations have been reported in the literature. Garimella and Sobhan [11] conducted a comparative study on the transport in microchannels and concluded that analyses based on Navier-Stokes and energy equations can adequately predict the flow and heat transfer characteristics in microchannel having a hydraulic diameter greater than 50 µm, provided that the experimental conditions and measurements are correctly identified and simulated. Another critical review on single phase liquid friction factors in microchannels was performed by Steinke and Kandlikar [12]. By analyzing over 150 papers that directly deal with the pressure drop measurements in the microchannels, they generated a database of over 5000 data points with the Reynolds number ranging from 0.002 to 5000 and hydraulic diameter ranging from 8 μ m to 990 μ m. They argued that, the studies which reported a deviation of friction factor from conventional theory did not account for the developing region or exit effect in the microchannel. Therefore, it was concluded that, the classical correlations are reliable in predicting the flow and heat transfer phenomena in microchannel as long as the entrance or exit effect and the experimental uncertainties are taken into account.

If the continuum hypothesis is also valid for centrifugally driven micro-flows, then the analysis of flow and heat transfer will not differ from that in rotating macro sized channels. In this article, we will focus on how the fluid flow and heat transfer characteristics inside rotating microchannels are used in the area of centrifugal microfluidics. Section 2 presents the recent reviews and trend of centrifugal microfluidics. Section 3 describes the working principle of a typical centrifugal microfluidic platform. Various applications of rotating microchannels in centrifugal microfluidics have been discussed in section 4. The final section summarizes the review and briefs about the future recommendations on this topic.

2. Recent literature review

Figure 1 shows the number of papers containing the term *centrifugal microfluidics* in the recent years. The results were compiled using the database of Web of Science, Elsevier, MEDLINE, IOP Electronic Journals, Health Reference Center

Academic, Directory of Open Access Journals, BioMed Central and American Chemical Society. Over the past decade, a steady increase of interest on the topic of centrifugal microfluidics can clearly be observed.

Fig. 1. Growth of publications on centrifugal microfluidics in the recent years

Comprehensive reviews on centrifugal microfluidics for biomedical applications can be found in [1, 13]. These reviews highlighted the recent progress in the relevant field and outlined the potential for future applications. Another review on centrifugal microfluidic and bio-optical disks can be found in [14]. The present review will emphasize on the more recent advances on centrifugal microfluidics. Selected literatures on the applications of centrifugal microfluidics are given in Appendix A.

3. Working principle

In most of the centrifugal microfluidic devices, the fluid is placed in a microchamber, which is connected to another reservoir through a microchannel. Figure 2 shows a schematic diagram of an array of rectangular microchannels aligned radially on a circular disk and a single microchannel on a rotating disk with corresponding forces acting on the flow. As the disk is rotated around an axis perpendicular to the plane of the disk, a centrifugal force f_{ω} is induced in the radially outward direction and the liquid advances through the microchannels towards the outlet. At this stage, the velocity of the liquid and the shape of the liquid meniscus are primarily governed by the centrifugal force and the surface tension force. Once the microchannel is filled up with the liquid, a continuous stream of liquid flow is established along the microchannel and the surface tension effect can be neglected. Due to the rotation, a Coriolis force f_c is also induced perpendicular to the centrifugal force on the disk plane. This Coriolis force is responsible for generating secondary flow phenomena in rotating microchannel flow.

Fig. 2. Schematic of working principle : (a) an array of microchannels (b) simplified rotating disk with a single microchannel

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If an unsteady, laminar, incompressible flow with constant thermo-physical properties and negligible buoyancy force is assumed, then the governing equations for microchannel flow with a generic forcing term *S^j* , can be written in the following Cartesian tensor form:

Continuity:
$$
\frac{\partial u_i}{\partial x_i} = 0
$$
 (1)

Momentum:
$$
\rho \left(\frac{\partial u_j}{\partial t} + u_i \frac{\partial u_j}{\partial x_i} \right) = -\frac{\partial p}{\partial x_j} + \mu \left(\frac{\partial^2 u_j}{\partial x_i \partial x_i} \right) + \rho S_j
$$
(2)

Energy:
$$
\rho C_p \left(\frac{\partial I}{\partial t} + u_i \frac{\partial I}{\partial x_i} \right) = \frac{\partial}{\partial x_i} \left(-k \frac{\partial I}{\partial x_i} \right)
$$
(3)

For i, j = 1, 2, 3, $x_1 \in (0, a)$, $x_2 \in (0, b)$, $x_3 \in (0, L)$. Here, a, b and L are the width, height and length of the channel respectively. If we consider Cartesian velocity components u, v, w in x, y, z directions in place of u_1, u_2, u_3 velocities in x_1, x_2, x_3 directions, then the source terms in the momentum equations for an orthogonal rotation mode becomes [15]:

$$
x\text{-direction:}\qquad \qquad \mathcal{S}_1 = -2\omega w + \omega^2 x\tag{4}
$$

y-direction: $S_2 = 0$ (5)

z-direction:
$$
S_3 = 2\omega u + \omega^2 (d_r + z)
$$
 (6)

Two non-dimensional numbers, namely flow Reynolds number (*Re*) and rotational Reynolds number (*Re*) characterize the physics of rotating channel flows. Reynolds number ($Re = \rho w_{avg}D_h/\mu$) is the ratio of the inertial force to viscous force and rotational Reynolds number $(Re_{\omega} = \rho \omega D_h^2/\mu)$ is the ratio of the rotating force to the viscous force. If a parabolic axial velocity profile is assumed in the primary flow direction, then it can be shown that the axial velocity (w) is in the order of $(\sim \rho \omega^2 D_h^2 d / 8\mu)$ [16]. Thus, the ratio of the Coriolis force $(2\rho \omega w)$ and the centrifugal force $(\rho \omega^2 d_r)$ becomes $\beta = \rho \omega D_h^2 / 8\mu$, which is a multiple of rotational Reynolds number Re_ω . This indicates that, a high rotational Reynolds number will induce high Coriolis force resulting in a strong secondary flow.

4. Review of rotating microchannel applications

In this section we present a review of the recent applications of rotating microchannel in centrifugal microfluidics area.

4.1. Centrifugal pumping

Centrifugal pumping occurs when the liquid flows from the reservoir into the microchannels due to the centrifugal force (as described in section 3). Duffy et al. [17] measured the experimental flow rate during centrifugal pumping inside microchannels of different widths $(20 - 500 \,\mu\text{m})$, depths $(16 - 340 \,\mu\text{m})$, and lengths $(12.5 - 182 \,\text{mm})$ subjected to a range of rotational frequencies (400-1600 RPM). They compared the experimental flow rate with the Hagen-Poiseuille flow rate in a log-log graph and showed that centrifugally driven microchannel flows can be treated as Hagen-Poiseuille flows for a wide range of attributes. Madou et al. [18] measured the pressure drop against the flow rate relationship for two different microchannels (one 150 μ m wide and 34 μ m deep, and the other 50 μ m wide and 34 μ m deep). They also showed that the flow rate Q can be predicted with Hagen-Poiseuille equation: $Q = \pi D_h^4 \Delta P / 128 \mu L$. For a purely centrifugal flow, the pressure difference ΔP between the channel inlet and outlet can be expressed by: $\Delta P = \rho \omega^2 r_{av} \Delta r$. Sine, $Q = w_{avg} A$, the average velocity $w_{avg} = \rho \omega^2 r_{av} \Delta r D_h^2 / 32 \mu L$. Here, r_{av} is the average distance of the liquid from the disk center and Δr is the radial extent of the fluid. For rectangular microchannels, a better approximation for velocity can be derived by neglecting the non-linear convective terms and Coriolis force terms in the Navier-Stokes equations and then solving the resulting Poisson equation with a modified pressure-gradient [19]. All these approximations may work well for low rotational Reynolds number ($Re_{\omega} \sim O(1)$). However, for high rotational Reynolds number, the Coriolis force can change the velocity profile significantly from these relations and then the effect of secondary flow should be taken into account.

In order to illustrate how the flow in centrifugal pumping are affected by the rotation, the steady state governing equations were solved numerically for radially rotating rectangular microchannels of different aspect ratios ($\alpha = a/b$) with hydraulic diameter $D_h = 200 \mu m$ and Re = 100. The details of the numerical solver used for the simulation can be found in [20]. Figure 3 presents the deviation of the friction relation of a rotating channel flow from that of a non-rotating channel flow. The friction factor (*f*) or the friction relation (*fRe*) can be considered a measure of the pumping power required to drive the centrifugal flow. From Figure 3, it can be observed that, as the rotational speed (RPM) or the rotational Reynolds number (Re_{φ}) increases, the percentage deviation of the friction relation of a rotating channel flow from that of a nonrotating channel flow increases. Moreover, for a fixed rotational Reynolds number, this deviation is low for wide aspect ratio channels, whereas the deviation is high for low aspect ratio channels. It indicates that, for rectangular channels, channel height might be a better representative of the characteristic length than the hydraulic diameter.

Fig. 3. Deviation of friction relation *(fRe)* of rotating microchannels from that of non-rotating microchannels

In practice, for microfluidic devices, it is not possible to simultaneously control both the rotational speed and Reynolds number. In most of the centrifugal microfluidics devices, the fluid is kept in a reservoir and the disk is rotated at a certain rotating frequency. As a result, fluid from reservoir flows through the microchannel due to the centrifugal force just like a pressure driven flow occurs due to the pressure difference at channel inlet and outlet. Once the rotating frequency is fixed, the velocity (and the Reynolds number) of the fluid inside the microchannel is also fixed. Thus, the rotating speed and the Reynolds number or fluid velocity is coupled in a centrifugally driven flow. In order to account for this coupled nature, the average velocity of the fluid was calculated using the analytical solution of a purely centrifugal flow in rectangular channel with zero pressure-gradient [21]. Figure 4 presents the comparison of velocity profiles of steady rotating channel flows with the analytical results for different rotational Reynolds number. By inspecting this figure, it can be observed that, for low rotational Reynolds number ($Re_\omega = 1.67$, RPM = 400), the velocity profiles are very close to the analytical results. However, for large rotational Reynolds number ($Re_{\omega} = 17.86$, RPM = 4200), the velocity profiles deviate significantly from the symmetric parabolic flow profiles proposed by the analytical solutions. Thus, although the existing analytical solutions of centrifugal flow can be used to predict the flow parameters, care should be taken to consider the uncertainty introduced by these approximations at large rotational Reynolds number.

Fig. 4. Comparison of outlet velocity profiles of rotating microchannels with approximate analytical solutions

4.2. Mixing

Due to the small length scale of microfluidic devices, the Reynolds number is typically in the laminar flow regime. As a result, mixing in microscale is primarily dominated by diffusion process, which is time-consuming. In centrifugal microfluidic platform, rapid mixing can be achieved by alternate rotation (clockwise and counter-clockwise) of the microchambers containing the mixture [22, 23]. The mixing process can be further enhanced by pre-filling the mixing chambers with paramagnetic beads and placing a permanent magnet underneath it to induce a magnetic stirring [22, 24, 25]. An alternative approach to these methods is to induce strong secondary flow resulting from Corolis force at high rotational speeds [6, 16]. In a recent study on the characteristics of two fluid mixing in rotationally actuated T-shaped microchannel, Chakraborty et al. [26] identified three distinct mixing regimes based on the change of mixing patterns with the change of β . The three regimes are – (i) diffusion based mixing (at low rotation speeds, $0 < \beta < 1.0$), (ii) Coriolis force based mixing (at intermediate rotation speeds, $1.0 < \beta < 2.0$), and (iii) mixing based on flow instability (at high rotation speeds, β > 2.0). It is interesting to note that, although Coriolis force based mixing regime starts at β = 1.0, the efficient mixing occurs when β exceeds 1.35 that is when $Re_{\omega} > 10.8$. From the definition of rotational Reynolds number Re_{ω} , it can be seen that, $R_{\theta_{\omega}}$ varies with the square of the hydraulic diameter D_h . Thus, to attain an effective Coriolis based mixing, one should choose relatively large scale channel dimensions so that $\mathbb{R}e_{\omega}$ is sufficiently high to impact the transverse flow.

By solving the governing equations numerically, we can determine for what values of rotational Reynolds number the effect of rotation is significant. Figure 5 shows the variation of friction relations for rotating microchannels in comparison to non-rotating microchannels for a range of Reynolds number and rotational Reynolds number. The case with aspect ratio α 9.09 corresponds to the geometry used in the experiment of Chakraborty et al. [26]. From this graph, it can be seen that, as the rotational Reynolds number increases, the secondary flow effect increases and the friction factor deviates from the value of its non-rotating counterpart. From the numerical data, it was found that, one percent deviation in friction relation produces a secondary flow in an amount of 2-3% of the average velocity. In case of low rotational speed, the centrifugal flow has low Reynolds number and consequently the secondary flow will be negligible. But, high rotational speed will result in a high Reynolds number flow which will in turn induce a large secondary flow. If 1% deviation in *fRe* is considered as a critical value above which the effect of Coriolis force will be significant, then a demarcation line can been drawn in Figure 5 to differentiate between the diffusion dominated region and secondary flow dominated region. A corresponding critical value for rotational Reynolds number ($Re_{\omega, cr}$) can be derived from the diagram. For $\alpha = 9.09$, $Re_{\omega, cr} = 10.2$, which is very close to the value reported by Chakraborty et al. ($\beta = 1.35$, $Re_{\omega} = 10.8$) [26]. For other aspect ratios, critical rotational Reynolds number can be derived in a similar way.

Fig. 5. Identification of significant secondary flow dominant regions and critical rotational Reynolds number

Other methods of mixing in rotating microchannels have also been reported. Micromixing of liquids by pneumatic agitation on centrifugal microfluidic platform was recently reported by Kong et al. [\[27\]](#page-8-0). A stream of compressed gas was applied to agitate the liquids and a 30-fold improvement in mixing was observed over a range of rotational frequencies (450-1500 RPM) compared to the conventional diffusion-based mixing.

4.3. Valving

By exploiting the capillary effect and the wetting nature of the channel surface, passive microfluidic valve can be constructed without any moving parts. Capillary valves are operated using the developed pressure barrier when the capillary flow channel undergoes a sudden expansion in cross-section [\[5\]](#page-7-0). Figure 6a demonstrates a capillary valve, where the bursting frequency depends on the balance between surface tension induced pressure and centrifugally induced pressure [\[13\]](#page-7-1). The critical burst condition refers to the condition when the centrifugally induced pressure reaches the surface tension induced pressure and the capillary valve opens. By balancing these two pressures a simple analytical relation among critical (bursting) frequency (ω_{cr}), surface tension (σ) and characteristic length (D_h) can be deduced: $\rho \omega_{cr}^2 r_{av} \Delta r > 4\sigma/D_h$ [\[5,](#page-7-0) [28-30\]](#page-8-1).

 Depending on the wetting nature of the surface, the microchannel surface can be either hydrophilic or hydrophobic. A hydrophilic surface has a strong affinity to water with a contact angle less than 90°. On the other hand, due to large contact angles (>90°) and the repelling nature of a hydrophobic surface, water do not stick to it. Capillary bust valves are made of hydrophilic microchannels. Another type of valving used in centrifugal microfluidics is hydrophobic valving, where a small hydrophobic channel is placed right after the microchamber to restrict the fluid movement (refer to Figure 6b) [\[2\]](#page-7-2).

In a rotating microchannel, the effect of Coriolis force is to generate a transverse velocity component perpendicular to the main flow direction, the sign of which depends on the sense of rotation. The transverse or secondary flow can be used to generate directional switching at high spinning frequencies [\[31-33\]](#page-8-2). Figure 7 shows an example of directional switching in Y-shaped structure, which is also known as 'passive flow switching valve' [\[32\]](#page-8-3).

Fig. 7. Directional switching based on Coriolis force and sense of rotation (adapted from [23])

Another form of flow switching on centrifugal microfluidic platform can be achieved by using a regulated stream of compressed gas. Kong et al. [\[34\]](#page-8-4) implemented this technique to control the flow at a T-junction for relatively low rotational frequencies (400-1200 RPM).

4.5. PCR Amplification

During Nucleic acid (NA) analysis, a common step is to amplify Polymerase Chain Reaction, or PCR. This requires repeated thermocycling of the analyte NA in a microchamber [\[7\]](#page-7-3) i.e. the reaction volume is maintained under prescribed temperature zones, typically 90° -94 $^{\circ}$ C for denaturation (that causes DNA melting by disrupting the hydrogen bonds), 50° - 70° C for renaturation (i.e. annealing) and 70° -90 $^{\circ}$ C for extension. By using the secondary flow effect, thermal homogeneity can be achieved in PCR amplification on a spinning disk. Aamsia et al. [\[35\]](#page-8-5) demonstrated an automated centrifugal

microfluidic system for PCR amplification using novel thermoelectric heating and ice-valves. Seigrist et al. [\[7\]](#page-7-3) numerically and experimentally analyzed the rotating PCR microchamber filling behavior at different inlet pressure boundary conditions (0.5 kPa to 2.0 kPa). They showed that, due to the induced centrifugal and Coriolis force, the nature of chamber filling is different for rotating case from that of non-rotating case.

5. Summary

In this paper, we have summarized the recent advances in the applications of radially rotating microchannels. A review of experimental and numerical studies available in the current literature was performed. The applicability of analytical correlations for conventional macro-sized channels to describe the rotating microchannel flow phenomena has been discussed. Numerical results showed that, for low rotational Reynolds number, the effect of Coriolis force is small and the existing analytical solutions for fully developed pipe or channel flow can be used. However, for high rotational Reynolds number, the deviation of flow parameters in rotating microchannel flow can be significant and the uncertainty introduced by using the analytical results can be high. By comparing the friction relation of a centrifugally driven flow from that of a nonrotating channel flow, a critical rotational Reynolds number $(Re_{\alpha cr})$ can be identified, above which the effect of rotation is significant. However, a clear picture of flow regimes showing where the secondary flow effect is considerably high is still missing. Thus, it is evident that further systematic investigations are needed to find out the range Reynolds number (*Re*) and rotational Reynolds number (*Re_n*), for which the secondary flow inside rotating microchannels can be considered significant.

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Appendix A

Table 1. Selected literature for application of centrifugal microfluidics

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