

# STUDY OF PHYSICAL PROPERTIES OF MAX PHASES $M_2TiC$ ( $M = Ti, Zr, Hf$ ): A DFT CALCULATION



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## **Dedication**

To my beloved Father & Mother infinitely supportive

## List of Publications

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## **Approval/Declaration by the Supervisor(s)**

This is to certify that Mohammed Sohel has carried out this research work under my supervision, and that he has fulfilled the relevant Academic Ordinance of the Chittagong University of Engineering and Technology, so that he is qualified to submit the following Thesis in the application for the degree of MASTER of PHILOSOPHY IN PHYSICS. Furthermore, the Thesis complies with the PLAGIARISM and ACADEMIC INTEGRITY regulation of CUET.

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## Abstract

The projected ternary carbide MAX phases  $M_2TlC$  ( $M = Ti, Zr, \text{ and } Hf$ ) have been investigated for their phonon dispersion and optical properties, including Mulliken population analysis, optical and theoretical Vickers hardness. We reviewed the compound's structural and electrical characteristics to evaluate the accuracy of our calculations. The examined MAX phases are mechanically and dynamically stable, according to the examination of the formation energies, elastic constants, phonon dispersion, and phonon density of states. Ti-3d, Zr-4d, Hf-5p, 5d, and Tl-6p electronic orbitals, which have a substantial impact on the phases' physical characteristics and heavily contribute to electronic conduction, are the main causes of the sub-band crossing the  $E_F$ . For the phases, the values of total density of states (TDOS) at  $E_F$  are determined to be 3.0, 2.55, and 2.09 states/eV. In the compounds under investigation, the bond Hf-C has the highest covalency of all the bonds. The hardness of the examined compounds may be compared in such a way that  $Ti_2TlC$  for both  $H_{micro}$  (24 GPa) and  $H_{macro}$  (17 GPa) is harder than that of  $Hf_2TlC$  (17 and 13 GPa) and  $Zr_2TlC$  (20 and 16 GPa). The Vickers hardness are discovered to be 2.18, 1.61, and 2.60 GPa, respectively for the phases. The reported hardness values are in the range of 2 to 8 GPa that is comparable with the well-known MAX phase nanolaminates, such as  $Hf_2InC$  (3.45 GPa) and  $Ta_2InC$  (4.12 GPa). The values of the longitudinal optical (LO) and transverse optical (TO) components at  $\Gamma$  are 19.74 and 21.63 THz ( $Ti_2TlC$ ), 16.62 and 17.18 THz ( $Zr_2TlC$ ), and 18.66 and 19.94 THz ( $Hf_2TlC$ ), respectively. The phonon dispersion curves (across the entire BZ) do not exhibit imaginary (negative on the frequency scale) phonon frequency, suggesting the dynamic stability of the phases. The value of reflectivity rises to high as 92%, 82%, and 86% for the compounds  $Ti_2TlC$ ,  $Zr_2TlC$ , and  $Hf_2TlC$ , respectively, at energy 8.76 eV, 9.6 eV, and 10.7 eV. It is an intriguing observation that the reflectivity is always greater than 44% for the phases  $Ti_2TlC$  (11.67 eV),  $Zr_2TlC$  (12.44 eV), and  $Hf_2TlC$  (13.8 eV). According to reflectivity's findings, the visible (1.7 eV–3.3 eV) and IR (1.24 eV–1.7 eV) sectors account for more than 44% of the energy up to 12.0 eV. Therefore, the investigated compounds might be a good contender for use as a covering material to reduce solar heating.

## বিমূর্ত

অভিষ্টিপ্ত টারনারি কার্বাইড MAX পর্যায়  $M_2TIC$  ( $M = Ti, Zr$ , এবং  $Hf$ ) তাদের ফোনন বিচ্ছুরণ এবং অপটিক্যাল বৈশিষ্ট্যগুলির জন্য তদন্ত করা হয়েছে, যার মধ্যে মূলিকেন জনসংখ্যা বিশ্লেষণ, অপটিক্যাল এবং তাড়িতিক ভিকার কঠোরতা রয়েছে। আমরা আমাদের গণনার নির্ভুলতা মূল্যায়ন করতে যোগের কাঠামোগত এবং বৈদ্যুতিক বৈশিষ্ট্য পর্যালোচনা করেছি। পরীক্ষিত MAX পর্যায়গুলি যান্ত্রিকভাবে এবং গতিশীলভাবে স্থিতিশীল, স্থিতিস্থাপক ধ্রুবক, ফোনন বিচ্ছুরণ এবং রাজ্যগুলির ফোনন ঘনত্বের পরীক্ষা অনুসারে।  $Ti-3d$ ,  $Zr-4d$ ,  $Hf-5p$ ,  $5d$ , এবং  $Tl-6p$  ইলেকট্রনিক অরবিটাল, যা পর্যায়গুলির শারীরিক বৈশিষ্ট্যের উপর যথেষ্ট প্রভাব ফেলে এবং ইলেকট্রনিক পরিবাহনে ব্যাপকভাবে অবদান রাখে, সাব-ব্যান্ড অতিক্রম করার প্রধান কারণ। ইএফ।  $Ti_2TIC$ ,  $Zr_2TIC$ , এবং  $Hf_2TIC$ -এর পর্যায়গুলির জন্য, যথাক্রমে,  $E_F$  -তে রাজ্যগুলির মোট ঘনত্বের (TDOS) মানগুলি 3.0, 2.55, এবং 2.09 রাজ্য/eV হিসাবে নির্ধারিত হয়। তদন্তাধীন যোগগুলিতে, সমস্ত বন্ডের মধ্যে  $Hf-C$  বন্ধনের সর্বোচ্চ সমযোজীতা রয়েছে। পরীক্ষিত যোগগুলির কঠোরতা এমনভাবে তুলনা করা যেতে পারে যে  $Ti_2TIC$   $H_{micro}$  (24 GPa) এবং  $H_{macro}$  (17 GPa) উভয়ের জন্য  $Hf_2TIC$  (17 এবং 13 GPa) এবং  $Zr_2TIC$   $Hf_2TIC$  (20 এবং 16 GPa) এর চেয়ে কঠিন। যোগগুলির জন্য Vickers কঠোরতা যথাক্রমে 2.18, 1.61, এবং 2.60 GPa হিসাবে আবিষ্কৃত হয়। রিপোর্ট করা কঠোরতার মানগুলি সুপরিচিত MAX ফেজ ন্যানোলামিনেটের 2 থেকে 8 GPa এর মধ্যে, যেমন  $Hf_2InC$  (3.45 GPa) এবং  $Ta_2InC$  (4.12 GPa)।  $\Gamma$ -এ অনুদৈর্ঘ্য অপটিক্যাল (LO) এবং ট্রান্সভার্স অপটিক্যাল (TO) উপাদানগুলির মান হল যথাক্রমে 18.66 এবং 19.94 THz ( $Hf_2TIC$ ), 16.62 এবং 17.18 THz ( $Zr_2TIC$ ), এবং 19.74 এবং 21.63 THz, THz. সমস্ত তদন্তকৃত যোগগুলিতে, ফোনন বিচ্ছুরণ বক্ররেখাগুলি (সম্পূর্ণ BZ জুড়ে) ফোনন ফ্রিকোয়েন্সি কাল্পনিক (ফ্রিকোয়েন্সি স্কেলে নেতিবাচক) প্রদর্শন করে না, যা পর্যায়গুলির গতিশীল স্থিতিশীলতার পরামর্শ দেয়। 8.76 eV, 9.6 eV এবং 10.7 eV-তে যথাক্রমে  $Ti_2TIC$ ,  $Zr_2TIC$ , এবং  $Hf_2TIC$  যোগগুলির জন্য প্রতিফলিততার মান 92%, 82% এবং 86% পর্যন্ত বেড়ে যায়। এটি একটি চমকপ্রদ পর্যবেক্ষণ যে  $Ti_2TIC$  (11.67 eV),  $Zr_2TIC$  (12.44 eV), এবং  $Hf_2TIC$  (13.8 eV) পর্যায়গুলির জন্য প্রতিফলন সর্বদা 44% এর বেশি। রিফ্রেক্টিভিটির ফলাফল অনুসারে, দৃশ্যমান (1.7 eV–3.3 eV) এবং IR (1.24 eV–1.7 eV) সেক্টরগুলি 12.0 eV পর্যন্ত শক্তির 44%-এর বেশি। অতএব, তদন্তকৃত যোগগুলি সৌর উত্তাপ কমাতে একটি আচ্ছাদন উপাদান হিসাবে ব্যবহারের জন্য একটি ভাল প্রতিযোগী হতে পারে।

# Table of contents

Abstract .....	vi
বিস্তৃত .....	vii
Table of Contents .....	viii
List of Figures .....	ix
List of Tables .....	x
Nomenclature .....	xi
<b>1 INTRODUCTION.....</b>	<b>1</b>
1.1 Background.....	1
1.1.1 MAX Phase materials.....	1
1.2 Crystal Structures of MAX Phase Compounds .....	2
1.3 List of 211 MAX Phase materials.....	3
1.4 History of MAX Phase .....	4
1.5 Properties and Application.....	5
1.6 Context.....	6
1.7 Aims and Objectives.....	7
1.8 Significance, Scope and Definitions .....	7
1.9 Thesis Outline .....	9
<b>2 LITERATURE REVIEW.....</b>	<b>10</b>
<b>3 MATERIALS AND METHODOLOGY.....</b>	<b>15</b>
3.1 Introduction.....	15
3.2 Ab initio Method.....	15
3.2.1 Density Functional Theory (DFT).....	15
3.2.1.1 Local Density Approximation (LDA) .....	15
3.2.1.2 Generalized Gradient Approximation (GGA).....	18
3.3 Pseudopotentials .....	18
3.4 CASTEP code.....	20
<b>4 RESULTS AND DISCUSSION .....</b>	<b>22</b>
4.1 Introduction.....	22
4.2 Geometry Optimization .....	22
4.2.1 Stability of the structure .....	23
4.2.2 Thermodynamic stability.....	26
4.3 Mechanical properties and hardness .....	26
4.4 Electronic properties .....	32
4.4.1 Band structure and density of states (DOS).....	32

4.4.2 Mulliken populations study .....	38
4.5 Optical properties.....	39
<b>5 CONCLUSIONS .....</b>	<b>46</b>
5.1 General.....	46
5.2 Key Findings.....	46
5.3 Practical Implication .....	47
5.4 Suggestions for further research work .....	47
<b>Bibliography .....</b>	<b>48</b>

## List of Figures

<b>Fig. No.</b>	<b>Figure Caption</b>	<b>Page No.</b>
Fig. 1.1	The M, A, and X elements forming all currently known MAX phases. ....	2
Fig. 1.2	Unit cell of $M_{n+1}AX_n$ MAX Phases for $n=1$ or $M_2AX$ , (a), $n=2$ for $M_3AX_2$ (b), $n=3$ for $M_4AX_3$ and $n=4$ for $M_5AX_4$ phases. ....	3
Fig. 1.3	Possible MAX phase applications .....	6
Fig. 3.1	A schematic illustration of all-electrons (blue lines) and pseudo- (red lines) potentials and their corresponding wavefunctions. The radius at which all-electrons and pseudopotentials values match is $r_c$ .....	19
Fig. 4.1	The cell structure of $M_2TlC$ ( $Ti_2TlC$ , $Zr_2TlC$ and $Hf_2TlC$ ).....	23
Fig. 4.2	The PDC and PHDOS of $Ti_2TlC$ (a,b), $Zr_2TlC$ (c,d) and $Hf_2TlC$ (e,f) compounds. Red dashed line represents zero phonon frequency.....	25
Fig. 4.3	Shows contour (3D) and two-dimensional (2D) charts of the $Ti_2TlC$ compound's Young's modulus (Y), shear modulus (G), and Poisson's ratio ( $\nu$ ) .....	30
Fig. 4.4	Band structures of $M_2TlC$ (a) $Ti_2TlC$ (b) $Zr_2TlC$ , and (c) $Hf_2TlC$ .....	33
Fig. 4.5(a)	The total and partial density of states (DOS) of $Ti_2TlC$ .....	35
Fig. 4.5(b)	The TDOS and PDOS of $Zr_2TlC$ .....	36
Fig. 4.5(c)	The TDOS and PDOS of $Hf_2TlC$ .....	37
Fig. 4.6(a-d)	Dielectric function energy dependency actual part, imaginary part, refractive index, extinction coefficient, respectively of the $Ti_2TlC$ , $Zr_2TlC$ , and $Hf_2TlC$ compounds for [100] electric field polarization.....	41
Fig. 4.6(e-h)	The absorption coefficient, (f) photo conductivity, (g) reflectivity, and (d) loss function of the $Ti_2TlC$ , $Zr_2TlC$ , and $Hf_2TlC$ compounds, respectively for [100] electric field polarization.....	42

## List of Tables

Table No.	Table Caption	Page No.
Table 4.1.	Calculated lattice parameters $a(\text{\AA})$ , cell volume $V(\text{\AA}^3)$ , internal parameter $z_M$ of the $M_2\text{TlC}$ ( $\text{Ti}_2\text{TlC}$ , $\text{Zr}_2\text{TlC}$ and $\text{Hf}_2\text{TlC}$ ) compounds.....	24
Table 4.2.	The calculated elastic constants, $C_{ij}$ (GPa), various moduli [bulk $B$ (GPa), shear, $G$ (GPa), shear anisotropy constant, $A$ , Young's, $Y$ (GPa)], Pugh ratio, $G/B$ , and Poisson ratio, $\nu$ of MAX phases $\text{Ti}_2\text{TlC}$ , $\text{Zr}_2\text{TlC}$ and $\text{Hf}_2\text{TlC}$ compounds.. .....	27
Table 4.3.	Calculated shear anisotropic $A_{100}$ , $A_{010}$ and $A_{001}$ of $\text{Ti}_2\text{TlC}$ , $\text{Zr}_2\text{TlC}$ and $\text{Hf}_2\text{TlC}$ compounds.....	28
Table 4.4.	Lists the minimum and maximum values for the Young's, $G$ , and Poisson's ratios of the compounds $\text{Ti}_2\text{TlC}$ , $\text{Zr}_2\text{TlC}$ , and $\text{Hf}_2\text{TlC}$ .. .....	31
Table 4.5.	Calculated Mulliken populations [ $\mu$ -type bond $P^\mu$ , bond length $d^\mu(\text{\AA})$ metallic population $P^{\mu'}$ , bond volume $v_b^\mu(\text{\AA}^3)$ , Vicker's hardness of $\mu$ -type bond $H_V^\mu$ (GPa) and $H_V$ (GPa)] of $\text{Ti}_2\text{TlC}$ , $\text{Zr}_2\text{TlC}$ and $\text{Hf}_2\text{TlC}$ .....	32
Table 4.6.	Mulliken atomic populations of $\text{Ti}_2\text{TlC}$ , $\text{Zr}_2\text{TlC}$ and $\text{Hf}_2\text{TlC}$ compounds...	38

## Nomenclature

BFGS	Broyden–Fletcher–Goldfarb–Shanno
BOP	Bond of Population
BZ	Brillouin Zone
CASTEP	CAMbridge Serial Total Energy Package
DF	Density Functional
DFPT	Density Functional Perturbation Theory
DFT	Density Functional Theory
DOS	Density of States
ECP	Effective Core Potential
EVC	Effective Valence Charge
eV	Electron Volts
GGA	Generalized Gradient Approximation
GPa	Giga Pascals
HF	Hartree–Fock
IR	Infrared
KS	Kohn and Sham
LCAO	Linear Combination of Atomic Orbitals
LDA	Local Density Approximation
LDOS	Local Electronic Density of States
LO	Longitudinal Optical
MAP	Mulliken Atomic Population
PBE	Perdew, Burke, and Ernzerhof
PDC	Phonon Dispersion Curves
PDOS	Projected Density of States/Partial Density of States
PP	Pseudopotential
PWPP	Plane Wave Pseudopotential
RPBE	Revised Perdew-Burke-Ernzerhof
SAW	Surface Acoustic Wave
SCF	Self-Consistent Field
BFGS	Broyden–Fletcher–Goldfarb–Shanno
TO	Transverse Optical
UV	Ultraviolet
VEC	Valence Electron Concentration
VRH	Voigt-Reuss-Hill
WDA	Weighted Density Approximation
X <sub>c</sub>	Exchange-Correlation



# 1 Introduction

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Researchers are fascinated by the comprehensive study of novel chemicals, which are generally significant and helpful in the field of materials science and technology. Therefore, "MAX Phase" designates a family tree of layered ternary nitrides and carbides with unique properties that combine those of metals and ceramics. This class of materials is very exciting and significant. The value of these materials for use in numerical technology and engineering has expanded as a result of these occurrences. An early transition metal, a group A element, and either C or N are components of the relatively recent family of transition metal layered compounds known as  $M_{n+1}AX_n$  (MAX Phase), where  $n=1, 2$ , or  $3$ . These ternary compounds preserve highly extraordinary mechanical and chemical properties like excellent thermal and electric conductivity, and machinability thanks to their distinctive structural arrangements. In order to meet the performance requirements of modern materials with applications in technology for the conversion of waste energy, these qualities might be taken into consideration when searching for innovative phases and their composites.

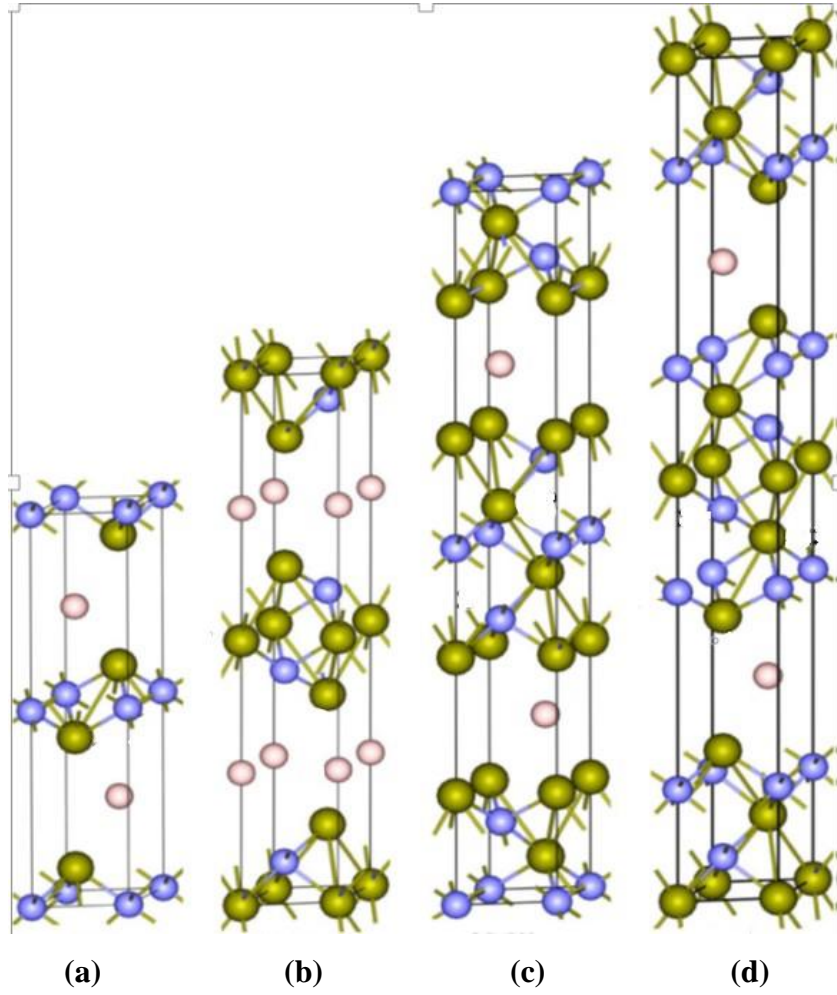
An outline of MAX Phase has been provided in this chapter. The history, characteristics, and applications of the MAX phase as well as a literature review and the goal of the current research activity have all been described.

## 1.1 Background

### 1.1.1 MAX phase materials

A phases are a type of ternary layered compounds with the formal stoichiometry  $M_{n+1}AX_n$  ( $n = 1, 2, 3$ , etc.), where  $M$  is the transition d metal,  $A$  is an element from the A-group in groups 12 to 16 of the periodic chart, and  $X$  is either carbon or nitrogen. The bulk of MAX phases are 211 phases, while some of them are 312s and some are 413s.





**Fig. 1.2** Unit cell of  $M_{n+1}AX_n$  MAX Phases for  $n=1$  or  $M_2AX$ , (a),  $n=2$  for  $M_3AX_2$  (b),  $n=3$  for  $M_4AX_3$  and  $n=4$  for  $M_5AX_4$  phases.

### 1.3 List of 211 max phase materials

This study focuses on the '211' sub group of the  $M_2TlC$  MAX phases where  $M =$  Ti, Zr, and Hf. Below is a list of 211 MAX Phase materials to date.

Ti<sub>2</sub>CdC, Sc<sub>2</sub>InC, Sc<sub>2</sub>SnC, Ti<sub>2</sub>AlC, Ti<sub>2</sub>GaC, Ti<sub>2</sub>InC, Ti<sub>2</sub>TlC, V<sub>2</sub>AlC, V<sub>2</sub>GaC, Cr<sub>2</sub>GaC, Ti<sub>2</sub>AlN, Ti<sub>2</sub>GaN, Ti<sub>2</sub>InN, V<sub>2</sub>GaN, Cr<sub>2</sub>GaN, Ti<sub>2</sub>GeC, Ti<sub>2</sub>SnC, Ti<sub>2</sub>PbC, V<sub>2</sub>GeC, Cr<sub>2</sub>AlC, Cr<sub>2</sub>GeC, V<sub>2</sub>PC, V<sub>2</sub>AsC, Ti<sub>2</sub>SC, Zr<sub>2</sub>InC, Zr<sub>2</sub>TlC, Nb<sub>2</sub>AlC, Nb<sub>2</sub>GaC, Nb<sub>2</sub>InC, Mo<sub>2</sub>GaC, Zr<sub>2</sub>InN, Zr<sub>2</sub>TlN, Zr<sub>2</sub>SnC, Zr<sub>2</sub>PbC, Nb<sub>2</sub>SnC, Nb<sub>2</sub>PC, Nb<sub>2</sub>AsC, Zr<sub>2</sub>SC, Nb<sub>2</sub>SC, Hf<sub>2</sub>InC, Hf<sub>2</sub>TlC, Ta<sub>2</sub>AlC, Ta<sub>2</sub>GaC, Hf<sub>2</sub>SnC, Hf<sub>2</sub>PbC, Hf<sub>2</sub>SnN, Hf<sub>2</sub>SC, Zr<sub>2</sub>AlC, Ti<sub>2</sub>ZnC, Ti<sub>2</sub>ZnN, V<sub>2</sub>ZnC, Nb<sub>2</sub>CuC, Mn<sub>2</sub>GaC, Mo<sub>2</sub>AuC, Ti<sub>2</sub>AuN

## 1.4 History of max phase

The history of the MAX phase is divided into two sections. Their first exposure to time began in the early and middle 1960s and ended roughly in the mid-1990s, a time when the majority of the population was ignored. The second period is that of the past 15 or so years, during which time interest in these phases has exploded. The totally dense and pure  $\text{Ti}_3\text{SiC}_2$  MAX Phase material was created in 1990 by Barsoum and his coworkers, who then characterized it. They allowed it to be exposed so that it would preserve a distinctive combination of some of the best qualities of metals and technical ceramics. One year later, they demonstrated that this compound was only one of more than sixty phases, [1] the majority of which H. Nowotny and colleagues [2] identified and synthesized as powder in the 1960s. When  $\text{Ti}_4\text{AlN}_3$  was discovered in 1999, researchers recognized they were dealing with a sizable family of solids that all behaved in a similar manner. As a result, there has been a notable progress in our understanding of the characteristics of these phases since 1996. This led the researcher to become preoccupied with the creation, characterization, and use of the MAX Phase family starting in 2006. The unique arrangement of metallic and ceramic characteristics in MAX phases has scientists interested in more research. Without taking into account potential solid solutions, there have previously been identified 48 of the 211 conceivable phases, but more have been theoretically predicted [3], [4–7]. Up to date, there are above 80 MAX phase elements have already been synthesized [5,8–13] and the physical characteristics are also investigated [14–18]. Additionally, very recently the MAX phase materials have been employed as a precursor to create the so-called MXenes, which are atomically thin two-dimensional materials with a variety of appealing physical characteristics [19,20].

## 1.5 Properties and application

MAX Phases are hence advantageous in materials research and engineering technology due to the rare mix of metals and ceramics features. Due to their special combination of metallic and ceramic properties, the MAX phase nano layered ternary compounds are appropriate for a wide range of technical applications. Similar to metals, they have strong electrical and thermal conductivity, are machinable, have a low hardness, are resistant to thermal shock, and are damage-tolerant. However, these compounds exhibit ceramic properties such high elastic moduli, high melting temperatures, and resistance to oxidation and corrosion [4–7,13,15,17,21]. The metallic properties of the MAX phases are revealed in, for instance, their frequently high electrical and thermal conductivity, high fracture toughness and high machinability, i.e., their ease of cutting, drilling, polishing, etc., allowing them to be used in a variety of technological applications.

They might help create internal combustion engines that are more effective since they can run at higher temperatures than are now feasible. This is because some MAX phases, in contrast to ceramic materials, which are heat resistant but brittle, combine good high temperature characteristics with fracture toughness.

The MAX phase is a good option for optoelectronic devices in the visible and ultraviolet radiation ranges and can be employed as coating materials for electrical contacts, fast spinning devices like turbine blades, and cutting tools to prevent solar heating.



**Fig. 1.3:** Possible MAX phase applications.

## 1.6 Context

To recommend a compound for technological applications, a conceptually thorough research of the physical properties of materials is needed. Among these, a material's suitability for practical application can be determined by performing a dynamical stability and mechanical stability examination. Furthermore, it has been important to consider the materials as the foundation of many industrial applications that can be determined readily by the thermodynamic properties [22] because of the compounds' resilience at high temperatures and pressures. For instance, the materials' considerable energy dependence on the refractive index and absorption coefficient as a result of these features is crucial for optoelectronic devices. Furthermore, the reflectivity is a crucial quality to mention when selecting coating materials to reduce solar heating [23]. Investigation of various  $M_2TiC$  ( $M = Ti, Zr, Hf$ ) MAX phase characteristics is therefore anticipated.

As a result, we plan to look at Mulliken analysis, optical properties and Vickers hardness in addition to the material properties of considered compounds.

## **1.7 Aims and objectives**

Due to the MAX Phases are made up of a combination of metallic and ceramic material qualities that make them competitive materials for a variety of applications. Utilizing the special multi-efficient ternary carbides to their full potential in a variety of industrial applications would require a thorough understanding of the association between structure and property as well as the research of physical parameters. Therefore, in order to develop their qualities in solid state physics for technological applications, we must attribute to analysis.

- Optimization of the geometrical structure of the  $M_2TlC$  ( $M= Ti, Zr, Hf$ ) using DFT.
- Study of the structural, elastic, and electronic properties of  $M_2TlC$  ( $M= Ti, Zr, Hf$ ) and discuss in detail.
- Investigation of the optical properties (dielectric function, absorption spectrum, conductivity, energy-loss spectrum, refractive index and reflectivity), mechanical and electronic properties of the compounds.
- The analysis of Mullikin population and Vicker hardness of the ternary carbides  $M_2TlC$  ( $M= Ti, Zr, Hf$ ) will also be studied.

## **1.8 Significance, scope and definitions**

We found that the majority of papers focused on the elastic and structural characteristics of the ternary carbides  $M_2TlC$  ( $M = Ti, Zr, Hf$ ). Vickers hardness, optical and thermodynamic properties, as well as Mulliken populations, have not been taken into consideration.

Numerous studies of the  $M_2AX$  phases have been conducted, both theoretically and experimentally, but only a handful have been completed. There aren't many investigations on the compounds'  $M_2TiC$  ( $M = Ti, Zr, Hf$ ) MAX phases, despite their technological importance.  $Ta_2InC$  (TIC) has not yet been synthesized or thoroughly researched, unlike the phases  $Zr_2InC$  (ZIC) and  $Hf_2InC$  (HIC), which have both already been created.

The physical qualities of a compound must be thoroughly investigated theoretically in order to recommend it, particularly for technological applications. The study of a material's dynamical stability is crucial for practical application under high pressure and temperature circumstances. Additionally, the thermodynamic properties offer significant additional data about how materials behave under high pressures and temperatures, which is thought to be the foundation of many industrial applications [24]. The electronic characteristics of materials, which display the electronic reaction of the materials exposed to radiation, are intimately related to the optical properties. The knowledge of a material's refractive index and absorption coefficient is required to choose a material for optoelectronic devices [25]. Additionally, it is possible to forecast which materials will be effective as coating materials to lower solar heating by studying the reflectivity of MAX phases [26]. Therefore, both from a research and an application standpoint, it is desirable to explore these physical characteristics of  $M_2TiC$  ( $M = Ti, Zr, Hf$ ) MAX phases.

We feel compelled to thoroughly explore  $M_2TiC$  ( $M = Ti, Zr, Hf$ ) MAX phases' dynamical stability, thermodynamics, and optical properties for the first time. In addition, the structural, elastic, and electrical properties are discussed along with Mulliken analysis, optical properties and Vickers hardness.



## 1.9 Thesis outline

The thesis is organized as follows:

- Chapter 1 covers the introduction, definition, history, and list of MAX Phase materials, as well as the motivation for and goals of the current study and a brief discussion of related past work.
- A brief summary of the structural characteristics of MAX phase materials is provided in Chapter 2.
- Chapter 3 provides a description of the theoretical approach used in this study.
- The computation of various MAX phase materials' properties is covered in Chapter 4.
- The findings of the study's numerous investigations are reported in chapter 5 along with a brief discussion.
- Chapter 6 of the current work contains a conclusion.

## 2 Literature review

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Researchers' interest in the MAX Phases has grown as a result of their peculiar characteristics related to metals and ceramics. On the MAX Phase, numerous research projects have been carried out. Here are a few review articles that are closely relevant to the current investigations:

A. Bouhemadou et al. reported “first-principles calculations utilizing the pseudo-potential plane-waves approach were used by to examine the structural and elastic properties of  $\text{Sc}_2\text{AC}$ , where  $\text{A} = \text{Al, Ga, In, and Tl}$ . In order to calculate the exchange-correlation approximation energy, the calculations were done using the LDA. They measured the impact of high pressures up to 20 GPa on the predicted internal parameters and lattice constants. They also calculated the Young's moduli, Poisson's ratio, bulk and shear moduli, and Young's moduli for perfect polycrystalline  $\text{Sc}_2\text{AC}$  aggregates. [27]

“The elastic constants for 240 elemental compositions of the huge  $\text{M}_2\text{AX}$  ( $\text{M}=\text{Sc, Ti, Zr, Hf, V, Nb, Ta, Cr, Mo and W}$ ),  $\text{A}=\text{Al, Ga, In, Tl, Si, Ge, Sn, Pb, P, S and As}$ ),  $\text{X}=\text{C or N}$ ] phase family of nanolaminate ceramics have been calculated” by M. F. Cover et al. “They have provided and debated in-depth studies of the data's correlations. These show how different elastic and structural properties connect to one another within the MAX phase family and how the choice of constituents affects them. In particular, the correlations show how the A element governs the process and interacts with the M element to determine the material's compressibility and shear ability along the c axis. The in-plane constants  $\text{C}_{11}$  and  $\text{C}_{12}$  exhibit the X element's biggest influence, which is relatively negligible. These results provide methods for modifying the elastic behavior of the  $\text{M}_2\text{AX}$  phases through the careful selection of M, A, and X elements.” [28]

“The  $\text{Sc}_2\text{AC}$  computations using  $\text{A}=\text{Al}$ ,  $\text{Ga}$ ,  $\text{In}$ , and  $\text{Tl}$  were performed” by D. Music et al. “The hybridization of  $\text{C } 2p$  and  $\text{Sc } 3d$  and  $\text{A } p$  and  $\text{Sc } 3d$  states has been demonstrated, but the antibonding states start to emerge at about 0.4 eV, decreasing the total bonding. The size of the  $\text{A}$  element has a little impact on chemical bonding. These phases could be categorized as weakly coupled MAX phases based on the fact that the constituent  $\text{ScC}$ 's bulk modulus is not conserved.” [29]

S. Gupta et al. described “the ternary carbide  $\text{Zr}_2\text{InC}$ 's synthesis and oxidation behavior. Utilizing thermogravimetric oxidation kinetics in air at 400–700 °C, they were studied. The reaction resulted in  $\text{In}_2\text{O}_3$ , which probably contained some dissolved transition metal ions, and the transition metal oxides. They were either amorphous or nanocrystalline in nature. The oxidation occurred as a result of oxygen's inward migration.” [30]

B. Manoun et al. investigated “using a synchrotron radiation source and a diamond-anvil cell, the lattice parameters of a polycrystalline  $\text{Zr}_2\text{InC}$  sample have been determined in relation to pressure. Up to a pressure of 52 GPa, no phase transitions were noticed. They discovered that  $\text{Zr}_2\text{InC}$  was more compressible along the  $c$ -axis than the  $a$ -axis. With a pressure derivative of 4250 and a bulk modulus of 1275 GPa, the result is in very good agreement with *ab initio* estimates (130.9 GPa). The *ab initio* calculations' results for the parameters  $a$  (3.367) and  $c$  (15.100) are also somewhat bigger than the observed values of 3.35 and 14.91.” [31]

“The characterisation of  $\text{Hf}_2\text{InC}$  ( $\text{Hf}_{1.96}\text{InC}_{1.26}$ ) samples made by reactive hot isostatic pressing of the elemental powders was reported” by M. W. Barsoum et al. “for  $\text{Hf}_2\text{InC}$ , they discovered the nm values of 0.331 and 1.472 for the  $a$  and  $c$  lattice parameters. As a function of temperature, the heat capacities, thermal expansion

coefficients, and thermal and electrical conductivities were also measured. This ternary exhibit good electrical conductivity, and its resistance rises linearly as temperature rises. The thermal expansion coefficient for  $\text{Hf}_2\text{InC}$  is  $7.6310 \text{ K}^{-1}$  in the temperature range of 300 to 1273 K” [32]

According to Y. Medkour et al., “they investigated the structural and electronic properties of a subset of  $\text{M}_2\text{InC}$  compounds that belong to the so-called MAX phases, with  $\text{M} = \text{Ti}, \text{Zr}, \text{and Hf}$ , using a pseudopotential plane waves technique based on density functional theory inside the generalized gradient approximation. They observed the effect of high pressures, up to 50 GPa, on the lattice constants and found that the contractions along the  $c$ -axis were larger than those along the  $a$  axis. The examination of the band structure and density of states as well as the derived elastic constants, demonstrate that these compounds are electrical conductors. The hybridization of the  $\text{M}$ -atom  $d$  states with the  $\text{C}$ -atom  $p$  states ensures a strong directed link between the  $\text{M}$  and  $\text{C}$  atoms. Additionally, they looked into how bond lengths were affected by hydrostatic pressure, finding that in the order of  $\text{Ti}_2\text{InC}$ ,  $\text{Zr}_2\text{InC}$ , and  $\text{Hf}_2\text{InC}$ ,  $\text{M-C}$  and  $\text{M-In}$  bonds became stronger while  $\text{M-In}$  bonds shorten.”[27]

“ $\text{Ti}_2\text{InC}$ ,  $\text{Zr}_2\text{InC}$ , and  $\text{Hf}_2\text{InC}$ 's MAX phases' lattice constants, bulk moduli, and total- and partial-density of states have all been calculated using ab initio methods” stated by X. He et al. “Lattice constants have variations from experimental values that are less than 1.6%. According to calculations, the bulk moduli are 128 GPa, 113 GPa, and 136 GPa, respectively. Among the MAX phases investigated to date, the  $\text{Zr}_2\text{InC}$  has the lowest bulk modulus, which is due to the weaker covalent connection between  $\text{Zr-d}$  and  $\text{C-s}, \text{C-p}$  states” [33]

A. Bouhemadou et al. investigated “the structural and elastic properties of  $M_2\text{InC}$ , where  $M = \text{Sc, Ti, V, Zr, Nb, Hf, and Ta}$  by using ab initio calculations.” “The lattice parameters were found to depend quadratically on the applied pressure. The static finite strain method is used to calculate the elastic constants. For ideal polycrystalline  $M_2\text{InC}$  aggregates, they also deduced the bulk and shear moduli, Young's moduli, and Poisson's ratio. The experimental verification of this first quantitative theoretical prediction of the elastic characteristics of the compounds  $\text{Sc}_2\text{InC}$ ,  $\text{Ti}_2\text{InC}$ ,  $\text{V}_2\text{InC}$ ,  $\text{Zr}_2\text{InC}$ ,  $\text{Nb}_2\text{InC}$ ,  $\text{Hf}_2\text{InC}$ , and  $\text{Ta}_2\text{InC}$  is still pending” [22].

J. A. Warner et al. used “ab initio calculations to determine the lattice constants, bulk moduli, and local and total densities of states of the MAX phases  $\text{Ti}_2\text{TlC}$ ,  $\text{Zr}_2\text{TlC}$ , and  $\text{Hf}_2\text{TlC}$ , belongs to the hexagonal  $P63/mmc$  space group.” “In their research, scientists discovered that all three materials conduct electricity, with the density of states (DOS) at Fermi energy dropping as the transition metal's atomic number increases. They demonstrated that these substances have the lowest anticipated bulk moduli of 125, 120, and 131 GPa, respectively. These are the lowest bulk moduli among the MAX phases now under experimental or theoretical study. They discovered that all three materials are conducting based on the electronic density of states. The weak metal  $M$  ( $M = \text{Ti, Zr, or Hf}$ ) bonding with the A element thallium is responsible for these low values of their bulk moduli” [23].

H. Bolvardi et al. examined “the electrical structure and mechanical properties of the phases  $X_2\text{BC}$  with  $X = \text{Ti, V, Zr, Nb, Mo, Hf, Ta, and W}$  ( $\text{Mo}_2\text{BC}$ -prototype) using ab initio calculations. As the valence electron concentration (VEC) per atom increased by substituting the transition metal  $X$ , they discovered that the six extraordinarily strong bonds between the transition metal and the carbon changed to

lower energies relative to the Fermi level. As a result, the bulk modulus increased to values as high as 350 GPa, or 93% of the value recorded for c-BN. Systems with higher VEC are likely ductile, according to both the bigger positive Cauchy pressure and the higher value of the bulk to shear modulus ratio ( $B=G$ ). Smaller unit cells have higher orbital overlap, which results in more delocalized interatomic interactions and more ductile behavior. The computed phase stabilities show an increasing trend as the VEC is shrunk. Because of their relatively unusual combination of high stiffness and mild ductility, the  $X_2BC$  compounds with  $X = Ta, Mo,$  and  $W$  are excellent choices for safeguarding cutting and shaping tools.”[34]

## 3 Materials and methodology

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### 3.1 Introduction

Compared to other methods, ab initio calculations can produce high-quality quantitative predictions for a variety of systems. In order to investigate structural and electronic properties, calculations were made using the ab initio plane wave pseudo potential (PW-PP) method within the framework of density functional theory (DFT) [35] implemented in CASTEP code [36]. The present chapter goes into great detail about these exacting mathematical processes used in ab initio approaches.

### 3.2 Ab initio method

The phrase "ab initio" means "from the beginning" and is derived from the Latin words "ab" (from) and "initio," an ablative of initium (beginning).

The most common categories of ab initio techniques are: Hartree-Fock (HF) method [37–39] and DFT [35,40]. We are concentrated only for DFT in this study.

#### 3.2.1 Density functional theory (DFT)

The two theorems that Hohenberg and Kohn proved in 1964 [41] and the computational scheme that Kohn and Sham (KS) proposed the following year [42] form the foundation of density functional (DF) techniques. They demonstrated how the electron density affects the overall energy. This means that the essential concept in density functional theory. Electron density are must be understood instead of the challenging many electron wave function.

**Theorem 1 (Uniqueness):** The exact ground state electron density  $\rho_0(\mathbf{r})$  is a unique functional of the ground state expectation value of any observable. This indicates that it is possible to compute all of the system's properties in the ground state if the electron density and functional are known. In particular, the ground state energy  $E_0[\rho_0(\mathbf{r})]$  can be calculated.

**Theorem 2:** The principle of variation The total energy functional  $E[\rho]$  is minimized by  $\rho(\mathbf{r})$ . Thus, with a specific external potential generated by a set of nuclear charges, the second theorem offers a variational condition for calculating  $\rho_0(\mathbf{r})$  and  $E_0[\rho]$  jointly.

e.g. 
$$V(\mathbf{r}) = - \sum_{i,j=1}^{N_{el} N_{at}} \frac{Z_j}{|\mathbf{r}_i - \mathbf{R}_j|}$$

$E_0$  is found by minimizing an expression of the form [20]:

$$\begin{aligned} E[\rho(\mathbf{r})] &= \int \rho(\mathbf{r}) V(\mathbf{r}) d\mathbf{r} + \frac{1}{2} \int \frac{[\rho(\mathbf{r})\rho(\mathbf{r}')]}{|\mathbf{r} - \mathbf{r}'|} d\mathbf{r} d\mathbf{r}', \\ &+ \int \rho(\mathbf{r}) g(\mathbf{r};[\rho]) d\mathbf{r} + \sum_{i,j=1}^{N_{at} N_{at}} \frac{Z_i Z_j}{|\mathbf{R}_i - \mathbf{R}_j|} \\ &= E_{ext} + E_j + E_{kxc} + E_{Rep} N_{uc} \end{aligned} \quad (3.1)$$

with regard to an arbitrary function,  $\rho$ , which stands for the density of  $n$  electrons. The self-consistent field (SCF) method is used in the Kohn and Sham (KS) method to determine the ground state energy as follows:

1. For the one-electron effective Hamiltonian, the  $n/2$  lowest eigen values and related Eigen functions (orbitals) are discovered:

$$2. \quad h_{eff}^{\wedge} \psi_i(\mathbf{r}) \equiv \left[ -\frac{1}{2} \nabla^2 + V_{eff}(\mathbf{r}) \right] \psi_i(\mathbf{r}) = \varepsilon_i \psi_i(\mathbf{r}) \quad (3.2)$$

1. The calculation of density,  $\rho(\mathbf{r})$ :



$$\rho(\mathbf{r}) = 2 \sum_i |\Psi_i(\mathbf{r})|^2$$

2. The re-calculation,  $V_{\text{eff}}$  as a function of the density,  $\rho(\mathbf{r})$ :

$$V_{\text{eff}}(\mathbf{r}) = V(\mathbf{r}) + \int \frac{\rho(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} d\mathbf{r}' + \mu_{\text{xc}}(\mathbf{r}; [\rho]) \quad (3.3)$$

Where  $\mu_{\text{xc}}(\mathbf{r}; [\rho])$  is the exchange-correlation potential.

3. If self-consistency is not reached, go to step 1.
4. At self-consistency,  $\rho(\mathbf{r}) = \rho_0(\mathbf{r})$  (GS density) and the ground state energy can be calculated by the following equation,

$$E_0 = E_{\text{kps}} + \int \rho_0(\mathbf{r}) V(\mathbf{r}) d\mathbf{r} + \frac{1}{2} \int \frac{\rho_0(\mathbf{r}) \rho_0(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} d\mathbf{r} d\mathbf{r}' + E_{\text{xc}} + E_{\text{Rep}} N_{\text{uc}} \quad (3.4)$$

where the pseudo-kinetic energy,  $E_{\text{kps}}$  is defined by,

$$E_{\text{kps}} = \sum_i \int \psi_i(\mathbf{r})^* \left( -\frac{1}{2} \nabla^2 \right) \psi_i(\mathbf{r}) d\mathbf{r} \quad (3.5)$$

and the exchange-correlation energy,  $E_{\text{xc}}$  is expressed as,

$$= E_{\text{ext}} + E_{\text{j}} + E_{\text{kxc}} + E_{\text{Rep}} N_{\text{uc}} \quad (3.6)$$

The Effective Core Potential (ECP) can be calculated by the following equation [43]:

$$E^{\text{DFT}} = \int \varepsilon^{\text{DFT}}(\mathbf{r}) d\mathbf{r} \quad (3.7)$$

is a precise integration that must be used to determine the electron-electron interaction's contribution to energy in the periodic density functional theory (DFT) within the linear combination of atomic orbitals (DFT-LCAO) approach [44].

### 3.2.1.1 Local density approximation (LDA)

Typically, an LDA for the exchange-correlation energy in a spin-unpolarized system is expressed as,

$$E_{xc}^{LDA}[\rho] = \int \rho(\mathbf{r}) \epsilon_{xc}(\rho) d\mathbf{r} \quad (3.8)$$

Where  $\rho$  is the electronic density and  $\epsilon_{xc}$ , the exchange-correlation energy density, is a function of the density alone. The exchange-correlation energy is decomposed in to exchange and correlation terms linearly,

$$E_{xc} = E_x + E_c \quad (3.9)$$

so that separate expressions for  $E_x$  and  $E_c$  are sought.

### 3.2.1.2 Generalized gradient approximation (GGA)

The Generalized Gradient Approximation (GGA) has been used in this study's DFT calculations. Local density approximation is less effective than the generalized gradient approximation (GGA). The GGA has shown to outperform LDA in systems where the charge density is slowly changing. It also applies to the inhomogeneous electron gas system. The exchange-correlation energy  $E_{xc}$  is a function of the spin density and their gradients [44] and can thus produce the more relevant results.

$$[\rho\alpha, \rho\beta] = \int [\rho\alpha(\mathbf{r}), \rho\beta(\mathbf{r}), \nabla\rho\alpha(\mathbf{r}), \nabla\rho\beta(\mathbf{r})] d\mathbf{r} \quad (3.10)$$

The introduction of GGA is intended to greatly raise the caliber of LDA results.

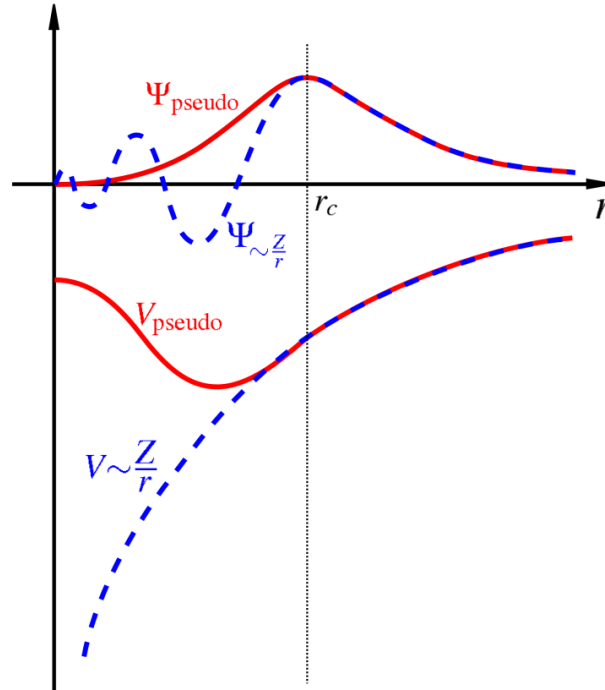
## 3.3 Pseudopotentials

In order to have the modified effective potential term appear in the Schrödinger equation instead of the Columbic potential term for core electrons that is typically

present in the Schrödinger equation, the pseudopotential is an attempt to replace the complex effects of the motion of the core (i.e., non-valence) electrons of an atom and its nucleus with an effective potential, or pseudopotential. The pseudopotential approximation was created in the 1930s by Hans Hellmann.

There are two types of Pseudo potentials:

1. Norm-conserving pseudopotential
2. Ultrasoft pseudopotential

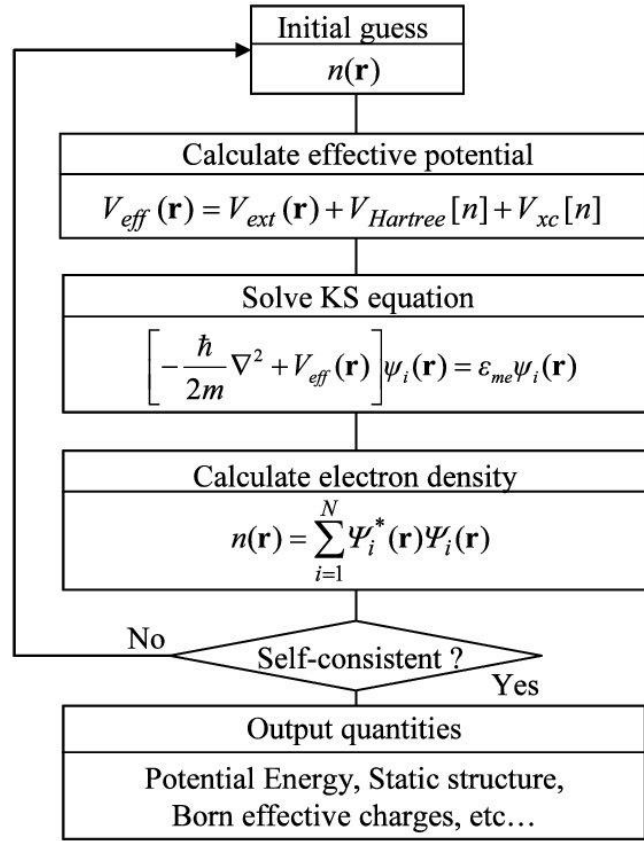


**Fig. 3.1:** A schematic illustration of all-electrons (blue lines) and pseudo- (red lines) potentials and their corresponding wavefunctions. The radius at which all-electrons and pseudopotentials values match is  $r_c$  (26).

### 3.4 CASTEP code

The density functional theory (DFT) [35], as embodied in the CASTEP program code [36], was used to optimize the  $M_2TiC$  ( $M = Ti, Zr, Hf$ ) unit cell and its physical attributes. The functional is used to represent the exchange-correlation potential using the Perdew-Burke-Ernzerh (PBE) of Generalized Gradient Approximation (GGA) [45]. The interaction between electron-ions potentials are preserved within Vanderbilt-type ultrasoft formulation [46] for  $M$  (Ti, Zr, Hf), Ti, and C atoms. The convergence is confirmed by changing the plane's energy cut-off to 400 eV and using the Monkhorst-Pack mesh for the  $7 \times 7 \times 2$  k-point samplings [47]. The tolerance is used for the geometry optimization for self-consistent field  $5.0 \times 10^{-7}$  eV/atom, maximum force on the atom 0.01 eV/Å, highest movement of atom  $5 \times 10^{-4}$  Å, and maximum stress 0.02 GPa. The optimization of atomic configuration is employed using BFGS [48].

Calculation of forces, stresses, elastic constants, and total energy are only a few examples of the physical properties of materials that may be calculated using CASTEP. Subject to the typical band gap considerations of DFT, electronic structure also includes optical properties (such as reflectivity, absorption, refractive index, and dielectric function), electronic charge densities, orbitals, electrostatic potentials, band structure, total and partial electronic density of states, Mulliken population analysis, and band structure. The flow chart for the solving Kohn-Shams equation as follows



We have examined the system's ground state electron density. With the aid of additional potentials, this density is utilized to compute the effective potential ( $V_{eff}$ ), also known as the Kohn-Sham potential. In order to compute the Kohn-Sham equation for non-interacting particles, the  $V_{eff}$  is added to the Hamiltonian. Our program is finished if the newly computed electron density satisfies our requirement; if not, it will continue to calculate until convergence is reached. Whenever we achieve final energy where the system is converged, we would be able to calculate other properties of the system.

## 4 Results and discussion

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### 4.1 Introduction

The computational findings of the inquiry into the physical characteristics of the MAX phase ternary carbide  $M_2TIC$  ( $M = Ti, Zr, Hf$ ) are provided in this chapter. We have performed first principles pseudo potential calculations for the first time to investigate the phonon dispersion, thermodynamic, and optical properties of MAX phases  $M_2TIC$  ( $M = Ti, Zr, Hf$ ), including Mullikan population analysis, and theoretical Vickers hardness. Although  $M_2TIC$ 's lattice parameters, bulk modulus and local electronic density of states (LDOS) have already been examined [22,23], we evaluated these properties together with the compound's structural, elastic, and electronic characteristics to evaluate the accuracy of our calculations. Additionally, we looked into the elastic constants, total and partial densities of states, and bulk modulus. With the aid of a well-established formalism, calculations and discussions of the technically significant optical constants dielectric function, refractive index, photoconductivity, absorption coefficients, loss function, and reflectivity are made.

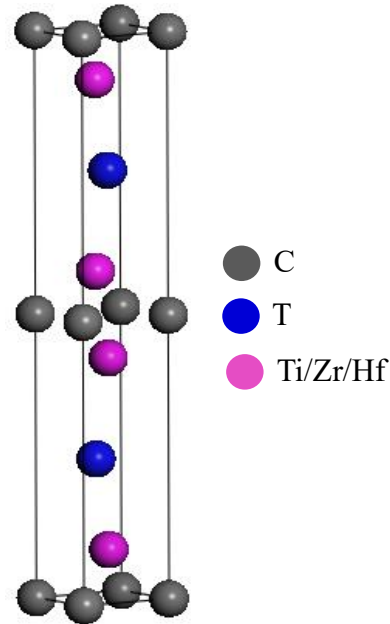
### 4.2 Geometry optimization

By using the cut-off energy as input in the CASTEP code with zero pressure, the values of unit cell volume and enthalpy of the ternary carbides  $M_2TIC$  ( $M = Ti, Zr, Hf$ ) compounds have been determined and are shown in Figure 4.1. To choose the best cut-off energy for the compounds, we optimize the shape to reduce the total energy of the unit cell. As the cut-off energy increases, the cell volume and enthalpy steadily decrease as illustrated in Table 4.1. The convergence is confirmed by changing the plane's energy cutoff to 400 eV and using the Monkhorst-Pack mesh for the  $7 \times 7 \times 2$  k-point samplings [47]. The tolerance is used for the geometry optimization for self-consistent field

$5.0 \times 10^{-7}$  eV/atom, maximum force on the atom 0.01 eV/Å, highest movement of atom  $5 \times 10^{-4}$  Å, and maximum stress 0.02 GPa. The optimization of atomic configuration is employed using Broyden–Fletcher–Goldfarb–Shanno (BFGS) [48].

#### 4.2.1 Stability of the structure

The unit cell of  $M_2TiC$  ( $M = Ti/Zr/Hf$ ) is shown in Figure 4.1. It crystallizes in the  $P6_3/mmc$  (194) space group, which is a part of the hexagonal structure. M (Ti/Zr/Hf), A (Ti), and C's atomic positions in the unit cell are  $(1/3, 2/3, z_M)$ ,  $(1/3, 2/3, 3/4)$ , and  $(0, 0, 0)$ , respectively [22,23]. The parameters of relaxed structure at optimum condition are shown in Table 4.1. The obtained results show a very low deviation (within less than 2%) from reported values that proves our calculation are well consistence and good agreement with previously published outcomes [22,23,48].



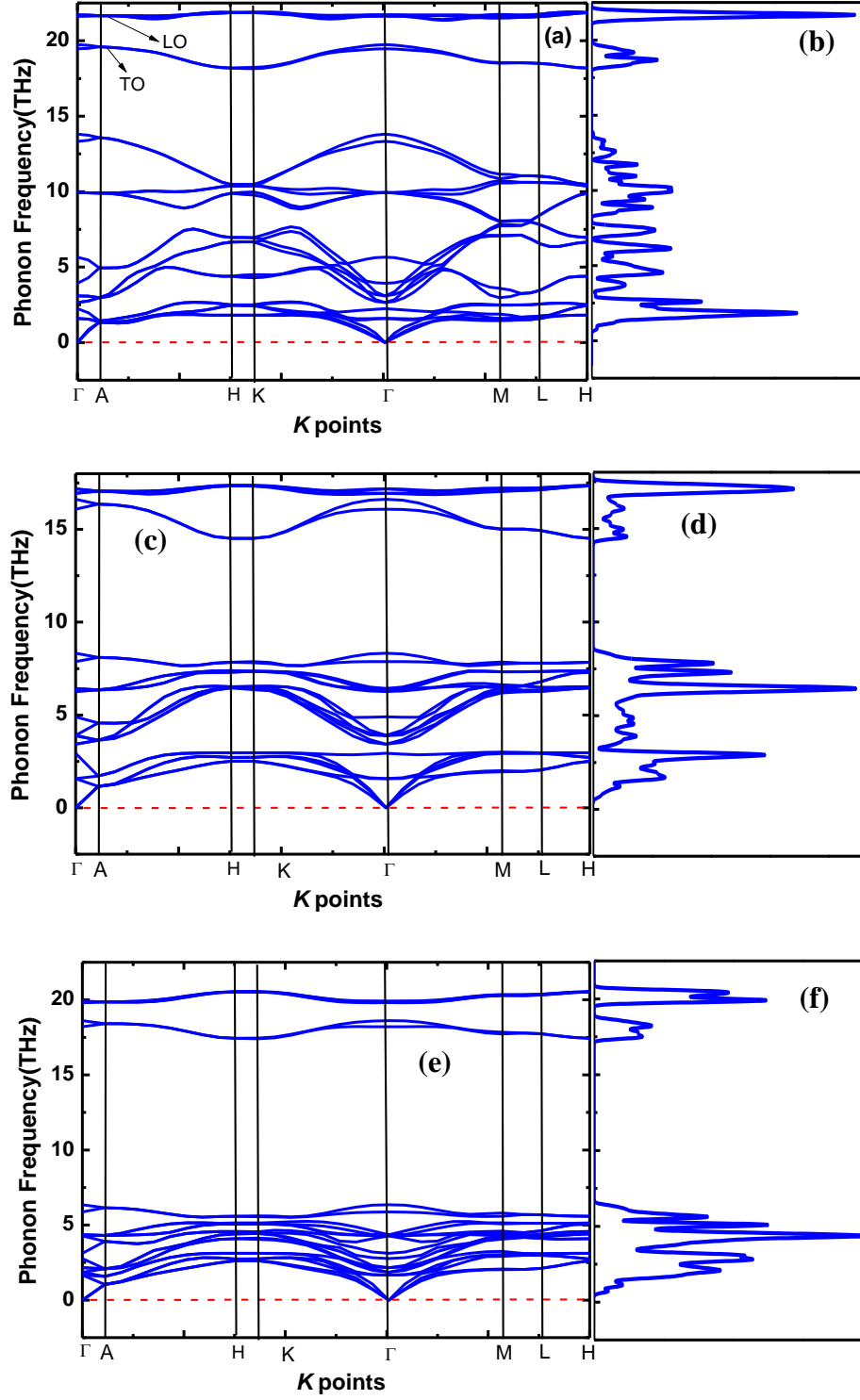
**Fig. 4.1:** The cell structure of  $M_2TiC$  ( $Ti_2TiC$ ,  $Zr_2TiC$  and  $Hf_2TiC$ ).

**Table 4.1.** Calculated lattice parameters  $a(\text{\AA})$ , cell volume  $V(\text{\AA}^3)$ , internal parameter  $z_M$  of the  $M_2\text{TlC}$  ( $\text{Ti}_2\text{TlC}$ ,  $\text{Zr}_2\text{TlC}$  and  $\text{Hf}_2\text{TlC}$ ) compounds.

Phases	$a$	% of deviation	$c$	% of deviation	$c/a$	% of deviation	$z_M$	$V$	Ref.
$\text{Ti}_2\text{TlC}$	3.18	1.0	14.19	1.5	4.46	0.54	0.079	124.19	This work (GGA)
	3.17	0.6	14.20	1.6	4.48	0.93	0.079		GGA [22,23]
	3.15		13.98		4.44				Expt. [49]
$\text{Zr}_2\text{TlC}$	3.39	0.9	15.16	1.1	4.47	0.24	0.0809	150.76	This work (GGA)
	3.37	0.3	15.07	0.5	4.47	0.24	0.0809		GGA [22,23]
	3.36		14.99		4.46				Expt.[49]
$\text{Hf}_2\text{TlC}$	3.38	1.8	14.86	0.5	4.40	1.24	0.0825	147.25	This work (GGA)
	3.37	1.5	14.85	0.5	4.41	1.02	0.0825		GGA [22,23]
	3.32		14.78		4.45				Expt. [49]

Before studying different parameters, stability check of the structure is very much important either experimentally or theoretically. We used two strategies: phonon dispersion for dynamical stability and stiffness constants for mechanical stability ( $C_{ij}$ ).  $\text{Ti}_2\text{TlC}$ ,  $\text{Zr}_2\text{TlC}$ , and  $\text{Hf}_2\text{TlC}$  combinations' phonon dispersion curves (PDC) are calculated using DFPT along the crystal's Brillouin zone's (BZ) high symmetry orientation (Density Functional Perturbation Theory) and illustrate them in Fig.4.2 (a-f). The PDC shows frequencies over the range of wave vector ( $k$ ) are in positive values, i.e., no negative frequency exhibits over  $k$  that indicates all studied compounds are dynamically stable. The PDC curves show distinct transverse optical (TO) and longitudinal optical (LO) components, which are accountable for the solid's optical response. The values of TO and LO at are 19.74, 21.63 THz ( $\text{Ti}_2\text{TlC}$ ), 16.62, 17.18 THz ( $\text{Zr}_2\text{TlC}$ ) and 18.66, 19.94 THz ( $\text{Hf}_2\text{TlC}$ ), respectively. The phonon DOS of  $\text{Ti}_2\text{TlC}$ ,  $\text{Zr}_2\text{TlC}$  and  $\text{Hf}_2\text{TlC}$  phases are placed next to one another for clear thoughtful in Figs.4.2 (b, d, f), respectively, where flat band produces noticeable peak and weak arises from non-flat.





**Fig. 4.2:** The PDC and PHDOS (phonon density of state) of  $\text{Ti}_2\text{TlC}$  (a,b),  $\text{Zr}_2\text{TlC}$  (c,d) and  $\text{Hf}_2\text{TlC}$  (e,f) compounds. Red dashed line represents zero phonon frequency.

#### 4.2.2 Thermodynamic stability

We have calculated the formation energy to ensure the thermodynamic stability of the studied phases using the following equation[50]:

$$E_{for}^{M_2TlC} = \frac{E_{total}^{M_2TlC} - (xE_{solid}^M + yE_{solid}^{Tl} + zE_{solid}^C)}{x + y + z} ; M = \text{Ti, Zr, Hf}$$

Here  $E_{total}^{M_2TlC}$  is the total energy of the compound after optimization of the unit cell.

$E_{solid}^M, E_{solid}^{Tl}, E_{solid}^C$  are the energy of the single phase M, Tl, C respectively. The  $x, y, z$  is the number of atoms in the unit cell for M, Tl, and C respectively, i.e.,  $x=4, y=2$ , and  $z=2$ . The minimum formation energy values for  $\text{Ti}_2\text{TlC}$ ,  $\text{Zr}_2\text{TlC}$ , and  $\text{Hf}_2\text{TlC}$  are found to be -0.5881083 eV/atom, -0.682486 eV/atom, and -0.6163068 eV/atom, respectively. The negative sign of obtained values indicates the  $M_2\text{TlC}$  MAX phases can be experimentally synthesized.

#### 4.3 Mechanical properties and hardness

Cracks are anticipated to be avoided whenever the materials are used for practical purposes. The reaction or deformation of a material to the application of a load or force is revealed by the material's mechanical characteristics. Stiffness, strength, elastic moduli, micro and macro hardness, ductility, and toughness are important factors that define a material's mechanical qualities. The mechanical properties characterizing parameters can be used to understand the fundamental information that is necessary to choose the materials for engineering applications, including elastic moduli, stability, stiffness, brittleness, and ductility of a material. Estimated and provided in Table 4.2. are five separate elastic constants  $C_{ij}$  and polycrystalline elastic moduli, as well as any available recorded evidence [23,48] that shows the estimated elastic constants are not in decent agree with past findings. The determined  $C_{ij}$ 's meet the mechanical stability requirements for the hexagonal system[51]:  $C_{11} > 0, C_{11} > C_{12}, C_{44} > 0, (C_{11} + C_{12}) C_{33} -$

$2C_{13} > 0$ . This supports the MAX phases  $M_2TlC$ 's mechanical stability ( $Ti_2TlC$ ,  $Zr_2TlC$  and  $Hf_2TlC$ ).

**Table 4.2.** The calculated elastic constants,  $C_{ij}$  (GPa), various moduli [bulk  $B$  (GPa), shear,  $G$  (GPa), shear anisotropy constant,  $A$ , Young's,  $Y$  (GPa)], Pugh ratio,  $G/B$ , and Poisson ratio,  $\nu$  of MAX phases  $Ti_2TlC$ ,  $Zr_2TlC$  and  $Hf_2TlC$  compounds.

Phases	$C_{11}$	$C_{12}$	$C_{13}$	$C_{33}$	$C_{44}$	$A$	$B$	$G$	$Y=9 \frac{B_H G_H}{(3B_H + G_H)}$	$G/B$	$MI$	$H_{micro}$	$H_{macro}$	$\nu=(3B_H - Y_H)/6B_H$	Ref
<b><math>Ti_2TlC</math></b>	274	73	51	241	82	0.82	126	93	248	0.74	1.54	24	17	0.163	This
	275	73	46	223	72	0.71	123	86	210	0.70	1.71	17	15	0.210	[23] GGA
<b><math>Zr_2TlC</math></b>	239	69	56	204	69	0.81	115	78	211	0.68	1.67	17	13	0.207	This
	255	60	52	270	70	0.72	117	78	195	0.67	1.67	15	13	0.219	[23] GGA
<b><math>Hf_2TlC</math></b>	278	68	64	245	81	0.77	132	93	252	0.70	1.63	20	16	0.208	This
	278	69	62	230	71	0.68	129	86	214	0.67	1.82	16	14	0.225	[23] GGA

It can be seen that  $C_{11}$  indicates how much resistance there is for distortion toward the a-axis, whereas  $C_{33}$  indicates toward the c-axis. The obtained  $C_{11}$  of  $Ti_2TlC$  and  $Hf_2TlC$  is found to be higher than that of  $Zr_2TlC$ , contrary to expectations, as a result of their greater stiffness, resistance to distortion along the a-axis, and bonding strength. Compounds  $Ti_2TlC$  and  $Hf_2TlC$  display significantly higher  $C_{44}$  values than  $Zr_2TlC$ , which findings in optimum shear modulus ( $G$ ), as tabulated in Table 4.2. The  $C_{44}$  values are connected to shear deformation and damage tolerant response. The  $C_{ij}$  (single-crystal elastic constants) and its corresponding compliance tensors  $S_{ij}$  ( $S_{ij} = C_{ij}^{-1}$ ) are utilized to assess the elastic moduli  $B$ ,  $G$ ,  $Y$ , and  $\nu$  by the equation:  $Y = \frac{9B_H G_H}{(3B_H + G_H)}$  and  $\nu = \frac{(3B_H - Y_H)}{6B_H}$  [52]. The calculated findings are listed in Table 4.2. and elastic moduli ( $B$ ,  $G$ ,  $Y$ ) have been represented by Voigt-Reuss-Hill (VRH) [53,54] equations as:  $B_V = \frac{[2(C_{11}+C_{12})+C_{33}+4C_{13}]}{9}$  and  $G_V = \frac{[C_{11}+C_{12}+2C_{33}-4C_{13}+12C_{44}+12C_{66}]}{30}$ ,  $B_R = \frac{(C_{11}+C_{12})C_{33}-2C_{13}^2}{C_{11}+C_{12}+2C_{33}-4C_{13}}$

and  $G_R = \frac{\left(\frac{5}{2}\right)[C^2 C_{44} C_{66}]}{[3B_V C_{44} C_{66} + C^2(C_{44} + C_{66})]}$ ,  $C^2 = (C_{11} + C_{12})C_{33} - 2C_{13}^2$ ,  $C_{66} = \frac{C_{11} - C_{12}}{2}$ , Hill's value is defined by the mean of higher limit of Voigt and lower limit of Reuss as  $B_H = \frac{B_R + B_V}{2}$  and  $G_H = \frac{G_R + G_V}{2}$ . The calculated Paugh ratios G/B for brittle materials  $G/B > 0.57$  while its ratio in ductile materials  $\nu < 0.57$ . Poisson's ratios for Zr<sub>2</sub>TiC, Ti<sub>2</sub>TiC and Hf<sub>2</sub>TiC compounds were 0.207, 0.163 and 0.208, respectively, which are smaller than the value of Frantsevich's criteria (0.26) [55], showing that the examined compounds are brittle.

An additional statistic taken into account while studying anisotropy is the universal anisotropic index ( $A^U$ ). Utilizing the results from the Voigt and Reuss models of B and G, the following formula is utilized to obtain the  $A^U$ . [56]:

$$A^U = 5 \frac{G_V}{G_R} + \frac{B_V}{B_R} - 6 \geq 0.$$

The values of  $A^U$  are found to be 0.073, 0.367 and 0.077 for the phases Ti<sub>2</sub>TiC, Zr<sub>2</sub>TiC and Hf<sub>2</sub>TiC, respectively. If  $A^U$  do not equal zero, the materials will lose their isotropic properties and become anisotropic. Therefore, it is determined that the compounds under study are anisotropic based on the non-zero values of the aforementioned parameters.

**Table 4.3.** Calculated shear anisotropic  $A_{100}$ ,  $A_{010}$  and  $A_{001}$  of Ti<sub>2</sub>TiC, Zr<sub>2</sub>TiC and Hf<sub>2</sub>TiC compounds.

Phases	$A_{100}$	$A_{010}$	$A_{001}$	$A^U$
Ti <sub>2</sub> TiC	0.78	0.82	0.64	0.073
Zr <sub>2</sub> TiC	0.90	0.71	0.64	0.367
Hf <sub>2</sub> TiC	0.70	0.81	0.56	0.077

We have estimated shear anisotropic factors as shown in Table 4.3 that are expressed

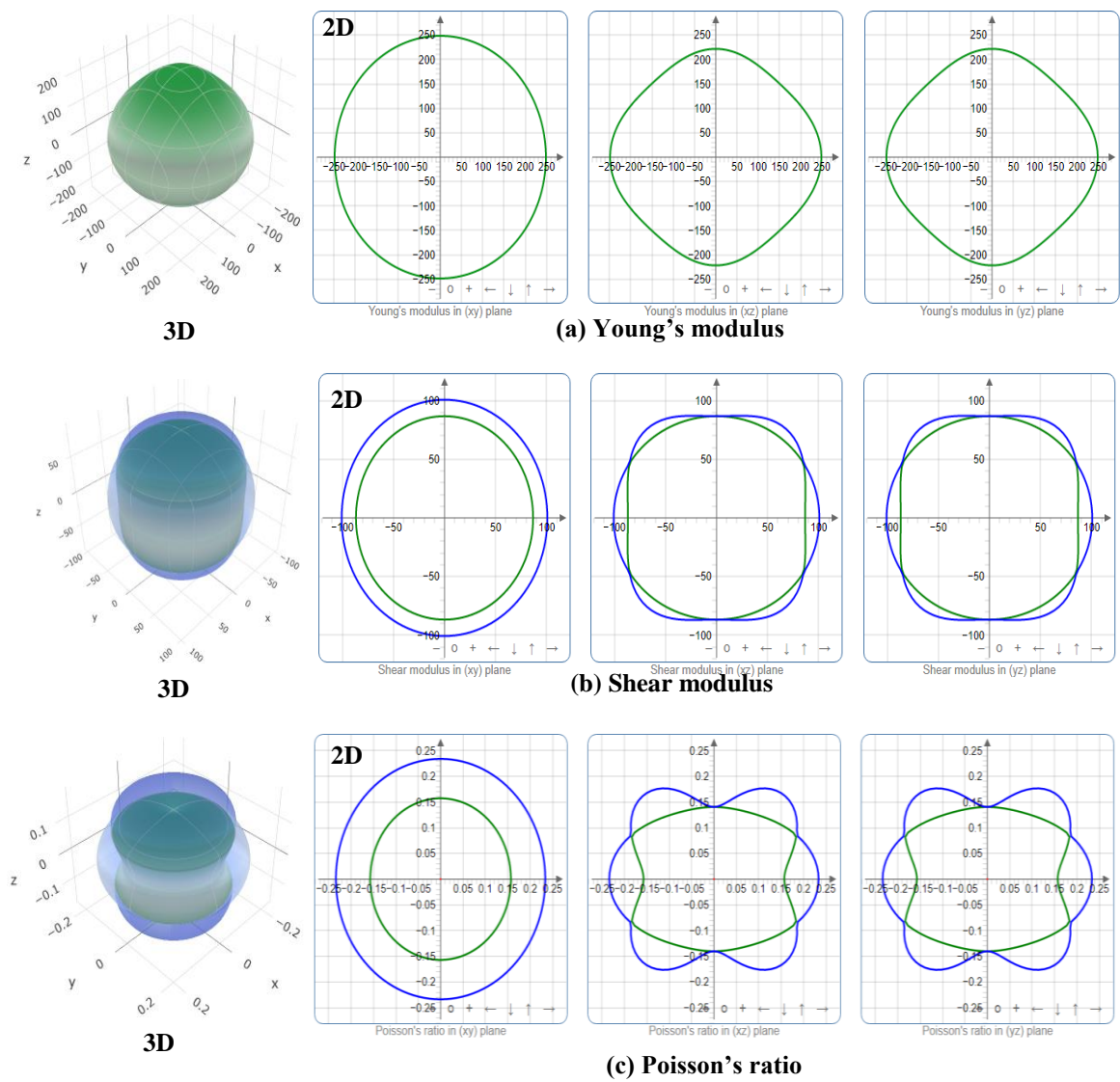
for hexagonal solids:  $A_1 = \frac{1/6(C_{11}+C_{12}+2C_{33}-4C_{13})}{C_{44}}$ ,  $A_2 = \frac{2C_{44}}{C_{11}-C_{12}}$ ,  $A_3 = A_1 \cdot A_2 = \frac{1/3(C_{11}+C_{12}+2C_{33}-4C_{13})}{C_{11}-C_{12}}$  for the {100}, {010} and {001} planes, respectively [55]. If

$A_{\{100\}}$ ,  $A_{\{010\}}$  and  $A_{\{001\}}$  show unit value (one) that indicates solid is isotropic in nature otherwise exhibits anisotropic and deviation from one provides the level of anisotropy.

The calculated factors indicate the studied compounds retain anisotropy property.

Along with 2D images, a 3D contour map can be used to confirm the compounds' anisotropy. It is easier to comprehend the anisotropic behavior by using contour plots (3D) and their two-dimensional (2D) counterparts. Young's modulus, compressibility, shear modulus, and Poisson's ratio are among the variables listed in Table 4.4. that can be utilized to evaluate the degree of anisotropy. These are computed using the ELATE open-source software and displayed in 2D and 3D contour plots, respectively [57].

Only plots for the  $\text{Ti}_2\text{TlC}$  phase are shown in Fig. 4.3 since the plots for the examined compounds are almost identical. The values of Y, G, and are strongly anisotropic and deviate from circles in 2D perspective and spherical shapes in 3D, respectively. This is consistent with the estimated values of A in Table 4.3. and shows the phases to be strongly anisotropic. In the yz and xz planes, Y's value is anisotropic, whereas it is isotropic in the xy plane. The anisotropy factor (A) is calculated by dividing the higher and lower values of Y, G, and [45] by the degree of anisotropy (Table 4.3). Compared to  $\text{Zr}_2\text{TlC}$  and  $\text{Hf}_2\text{TlC}$  compounds, the  $\text{Ti}_2\text{TlC}$  phase is more anisotropic.



**Fig. 4.3:** Shows contour (3D) and two-dimensional (2D) charts of the  $\text{Ti}_2\text{TlC}$  compound's Young's modulus (Y), shear modulus (G), and Poisson's ratio ( $\nu$ ).

**Table 4.4** Lists the minimum and maximum values for the Young's, G, and Poisson's ratios of the compounds Ti<sub>2</sub>TiC, Zr<sub>2</sub>TiC, and Hf<sub>2</sub>TiC.

Phases	Y <sub>min</sub>	Y <sub>max</sub>	Y <sub>max</sub> / Y <sub>min</sub>	G <sub>min</sub>	G <sub>max</sub> G <sub>min</sub>	G <sub>max</sub> / G <sub>min</sub>	ν <sub>min</sub>	ν <sub>max</sub>	ν <sub>max</sub> / ν <sub>min</sub>
Ti <sub>2</sub> TiC	211	248	1.18	87	102	1.17	0.14	0.23	1.64
Zr <sub>2</sub> TiC	202	228	1.13	81	92	1.14	0.21	0.27	1.29
Hf <sub>2</sub> TiC	258	292	1.13	102	121	1.19	0.20	0.28	1.4

To understand hardness of studied compounds, we have calculated two parameters like as micro and macro-hardness following the relations:  $H_{micro} = \frac{(1-2\nu)E}{6(1+\nu)}$  [22] and  $H_{macro} = 2\left[\left(\frac{G}{B}\right)^2 G\right]^{0.585} - 3$  [26]. It is seen that Ti<sub>2</sub>TiC exhibits much higher and greater for  $H_{micro}$  (24 GPa) and  $H_{macro}$  (17 GPa) than that of Zr<sub>2</sub>TiC (17 and 13 GPa) and Hf<sub>2</sub>TiC (20 and 16 GPa), respectively. We can compare the hardness of studied compounds such a way for both hardness Ti<sub>2</sub>TiC > Hf<sub>2</sub>TiC > Zr<sub>2</sub>TiC. The lower Poisson ratio of Ti<sub>2</sub>TiC impacts on higher hardness, however Young modulus of Hf<sub>2</sub>TiC is greater than Ti<sub>2</sub>TiC. The Mulliken bond populations has been considered to calculate Vickers hardness ( $H_v$ ) [14,58] and presented those in Table 4.5.

$$H_v = \left[ \prod_{\mu} \left\{ 740(P^{\mu} - P^{\mu'}) \left( \nu_b^{\mu} \right)^{-5/3} \right\}^{n^{\mu}} \right]^{1/\sum n^{\mu}}$$

Where  $P^{\mu}$  is the Mulliken population of the  $\mu$ -type bond,  $P^{\mu'} = n_{free}/V$  is the metallic population, and  $\nu_b^{\mu}$  is the bond volume of  $\mu$ -type bond. The values are determined to be 2.18, 1.61 and 2.60 GPa for the phases Ti<sub>2</sub>TiC, Zr<sub>2</sub>TiC and Hf<sub>2</sub>TiC compounds, respectively. The Hf<sub>2</sub>TiC shows higher than Ti<sub>2</sub>TiC and Zr<sub>2</sub>TiC phases that are due to maximum elastic moduli. The measured hardness values correspond to well-known

MAX phase nanolaminates (in the 2 - 8 GPa range), including Hf<sub>2</sub>InC (3.45 GPa) and Ta<sub>2</sub>InC (4.12 GPa) [59].

**Table 4.5.** Calculated Mulliken populations [ $\mu$ -type bond  $P^\mu$ , bond length  $d^\mu$ (Å) metallic population  $P^{\mu'}$ , bond volume  $v_b^\mu$  (Å<sup>3</sup>), Vicker's hardness of  $\mu$ -type bond  $H_V^\mu$  (GPa) and  $H_V$  (GPa)] of Ti<sub>2</sub>TiC, Zr<sub>2</sub>TiC and Hf<sub>2</sub>TiC.

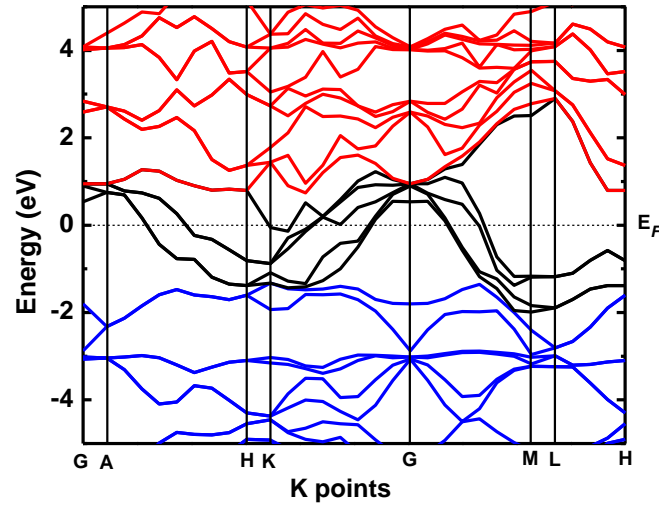
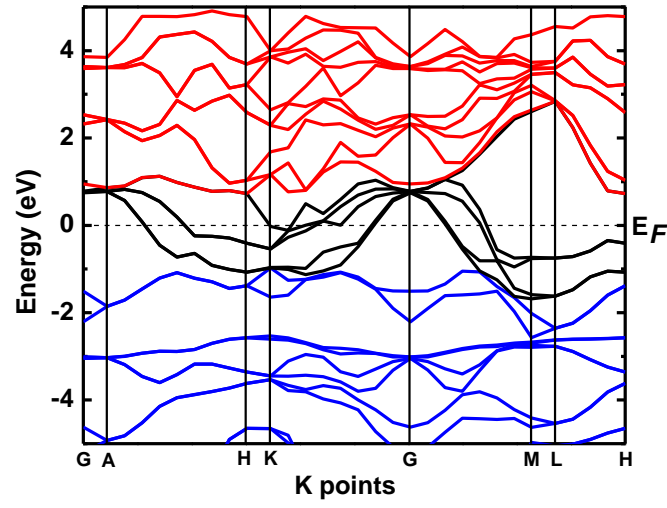
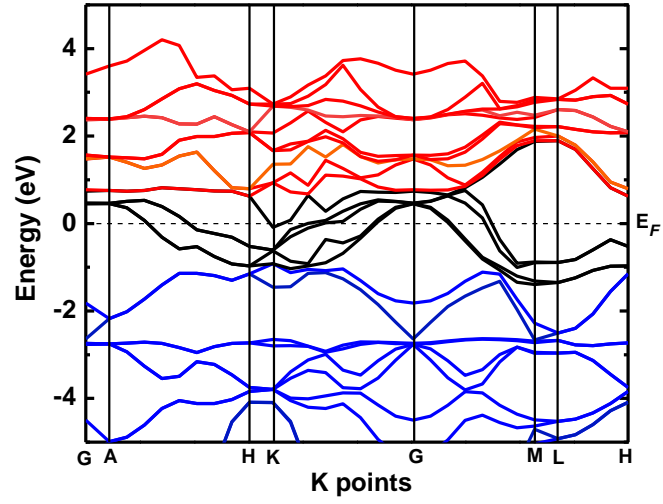
Phases	Bond	$d^\mu$	$P^\mu$	$P^{\mu'}$	$v_b^\mu$	$H_V^\mu$	$H_V$
Ti <sub>2</sub> TiC	C-Ti	2.14	0.99	0.085	31.04	2.18	2.18
Zr <sub>2</sub> TiC	C-Zr	2.30	1.00	0.077	37.69	1.61	1.61
Hf <sub>2</sub> TiC	C-Hf	2.31	1.45	0.022	36.81	2.60	2.60

## 4.4 Electronic properties

### 4.4.1 Band structure and density of states (DOS)

Ti<sub>2</sub>TiC, Zr<sub>2</sub>TiC, and Hf<sub>2</sub>TiC are examples of M<sub>2</sub>TiC compounds with high-symmetry k points. The electronic energy band structures of these compounds are depicted in Fig. 4.4(a),(b),and(c), respectively. Since the compounds' conduction bands (red colors) span the E<sub>F</sub> line (dashed black line) and noticeably overlap the valence bands (blue lines) (black colors), no band gap is observed, demonstrating the compounds' metallic character. Many strongly dispersive bands have been identified at and around the E<sub>F</sub> for the phases Zr<sub>2</sub>TiC and Hf<sub>2</sub>TiC. (Fig. 4.4 b,c). Nonetheless, a complicated hybrid band character has been observed, comprising the quasi-flat band for the Ti<sub>2</sub>TiC combination (Fig. 4.4a) and several low dispersive bands crossing the E<sub>F</sub>. The electrical conductivity should be lower in the c direction in contrast to the ab plane (axis), according to the energy band structure, because there is less energy dispersion there.



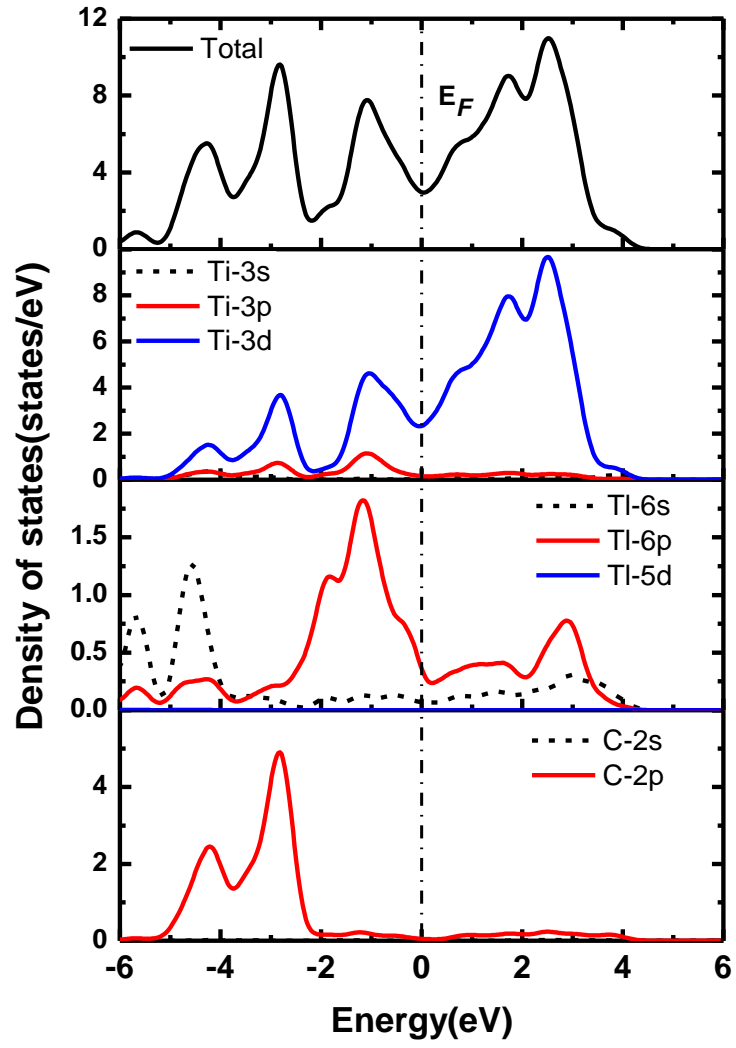


**Fig. 4.4:** Band structures of  $M_2TiC$  (a)  $Ti_2TiC$  (b)  $Zr_2TiC$ , and (c)  $Hf_2TiC$ .

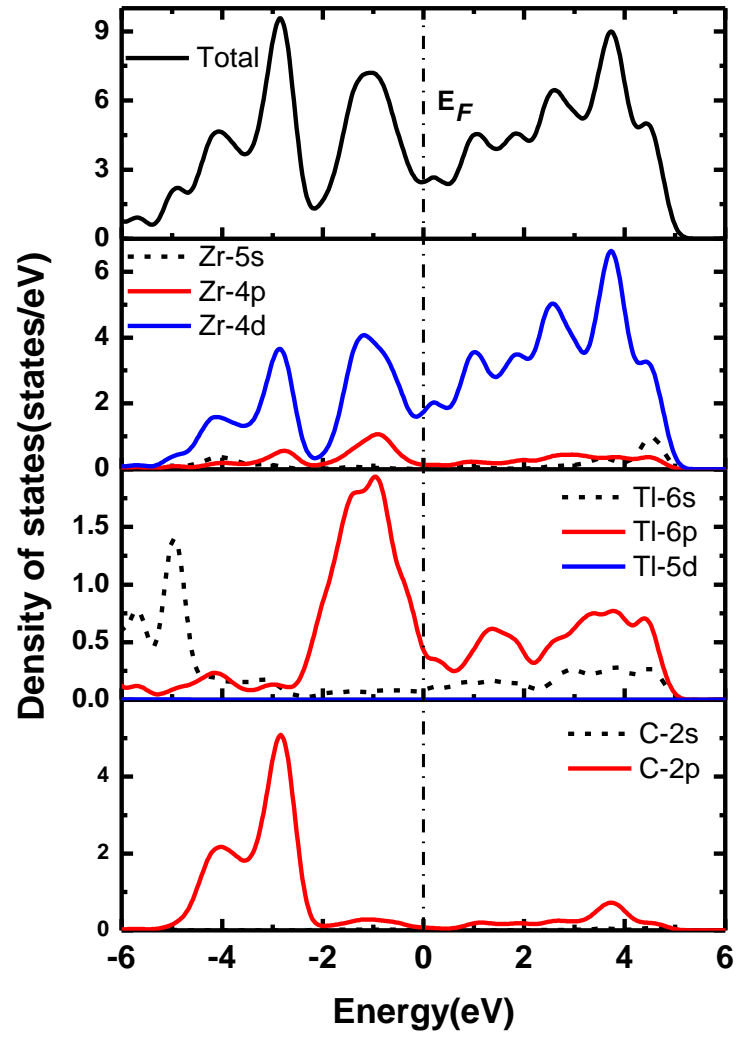
Understanding band structure topographies requires an awareness of both the complete and partial densities of entities. The computed total density of state (TDOS) and partial density of state (PDOS) of the  $M_2TiC$  phases ( $Ti_2TiC$ ,  $Zr_2TiC$ , and  $Hf_2TiC$ ) are shown in Figs. 4.5(a) through (c), where the vertically broken line denotes the Fermi level,  $E_F$ . Three sub-bands can be separated out of the valence band. The Ti-3d, C-2p [for the  $Ti_2TiC$  phase], Zr-4d, C-2p [for the  $Zr_2TiC$  phase], and Hf-5p, 5d, C-2p [for the  $Hf_2TiC$  phase] states are the principal sources of the minimum energy sub-band (-6 eV to -2 eV).

Broad nature's middle sub-band (-2 - 0 eV) is mostly produced by the contributions due to Ti-3p, Ti-3d, Ti-6p [for  $Ti_2TiC$  phase], Zr-4d, Zr-4p, Ti-6p [for  $Zr_2TiC$  phase], Hf-5p, Hf-5d, Ti-6p [for  $Hf_2TiC$  phase]. The upper sub-band (0 - +6 eV) is formed by the aids of orbitals due to Ti-3d, Ti-6s, 6p [for  $Ti_2TiC$  phase], Zr-4d, Ti-6s, 6p [for  $Zr_2TiC$  phase], Hf-6s, 5p, 5d, Ti-6s, 6p [for  $Hf_2TiC$  phase]. The sub-band crossing the  $E_F$  (black colors in Fig. 4.5a,b,c) is resulting mainly from Ti-3d, Zr-4d, Hf-5p, 5d and Ti-6p electronic orbitals that are contributed strongly in the electronic conduction and significantly affect the physical properties of the phases. The value of DOS at  $E_F$  estimates and observed to be 3.0, 2.55 and 2.09 states/eV (Fig. 4.5a,b,c) for  $Ti_2TiC$ ,  $Zr_2TiC$ , and  $Hf_2TiC$  phases, respectively.

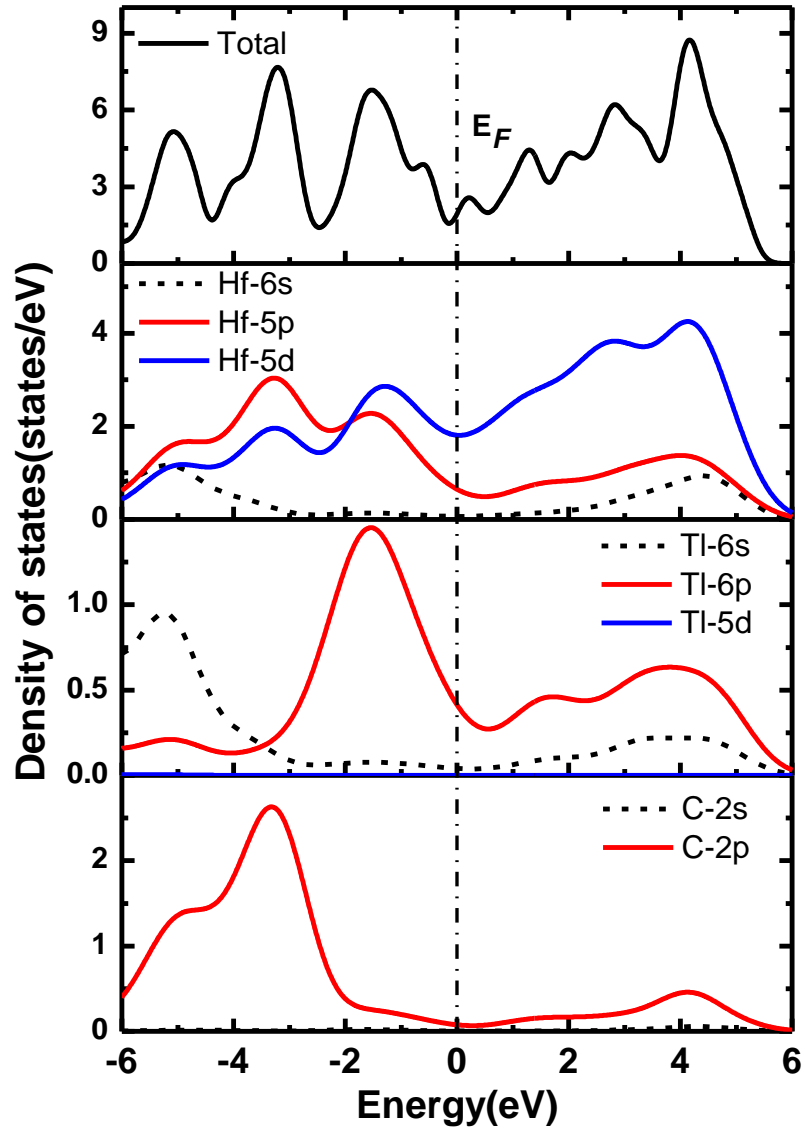
Six bands are firmly mixed and cross the  $E_F$  during the phase  $Hf_2TiC$ , however four bands have crossed the  $E_F$  for  $Ti_2TiC$  and  $Zr_2TiC$ , therefore maximum findings of Vickers hardness are predicted for  $Ti_2TiC$  and  $Zr_2TiC$  phases comparing with  $Hf_2TiC$  phase.



**Fig. 4.5 (a):** The total and partial density of states (TDOS and PDOS) of  $\text{Ti}_2\text{TiC}$



**Fig. 4.5 (b):** The TDOS and PDOS of  $\text{Zr}_2\text{TiC}$



**Fig. 4.5 (c):** The TDOS and PDOS of  $\text{Hf}_2\text{TlC}$

#### 4.4.2 Mulliken populations study

The Mulliken atomic population (MAP) analyzes the EVC (Effective Valence Charge) and their formalism is described elsewhere [18]. The effective valence charge in a crystal refers to the distinction from the formal ionic charge and the Mulliken charge. Whether the bonds are ionic or covalent is shown by the value of EVC. When the values of EVC is zero (positive), the bond is ideal ionic in nature; nevertheless, when it deviates from zero, it displays the level of covalency. The computed EVC is shown in Table 4.6, that shows there is significant covalency in chemical bonding within the investigated compounds [17,58,59].

**Table 4.6.** Mulliken atomic populations of Ti<sub>2</sub>TlC, Zr<sub>2</sub>TlC and Hf<sub>2</sub>TlC compounds.

Mulliken atomic population							
Phases	Atoms	<i>s</i>	<i>p</i>	<i>d</i>	Total	Charge (e)	EVC (e)
Ti <sub>2</sub> TlC	C	1.47	3.29	0.00	4.76	-0.76	4.76
	Ti	2.22	6.72	2.64	11.59	0.41	11.59
	Tl	1.14	1.89	10.02	13.06	-0.06	13.06
Zr <sub>2</sub> TlC	C	1.48	3.31	0.00	4.80	-0.80	4.80
	Zr	2.26	6.61	2.67	11.54	0.46	11.54
	Tl	1.19	1.90	10.02	13.12	-0.12	13.12
Hf <sub>2</sub> TlC	C	1.54	3.35	0.00	4.89	-0.89	4.89
	Hf	0.46	0.44	2.76	3.66	0.34	3.66
	Tl	0.79	1.98	10.02	12.79	0.21	12.79

The findings of the bond of population (BOP) are interpreted: The electronic populations of two atoms interact insignificantly, hence the hardness calculation is omitted; i) Positive: Atoms near one another form bonds; ii) Negative: Atoms near one

another form anti-bonds. Bonds with a maximum BOP value have a high level of covalency. The bond Hf-C in the compounds under study has the highest covalency of all the bonds. Additionally, the Hf<sub>2</sub>TiC has a stronger overall bond than Ti<sub>2</sub>TiC and Zr<sub>2</sub>TiC. As shown in Table 4.5. It is therefore expected that this compound's hardness value is higher than that of the other. The well-known atomic population analysis can also be used to understand how charges move between atoms. For instance, in Ti<sub>2</sub>TiC, charge transfers with values of 0.76e and 0.06e, respectively, from Ti to C and Ti. There are more compounds with comparable phase show topographies.

#### 4.5 Optical properties

When electromagnetic fields with the appropriate energy are applied to occupied stage (lower the E<sub>F</sub>) and unoccupied stages, electronic transitions take place (above the E<sub>F</sub>). As a result, in metal and systems of a similar kind, intra-band and interband contributions, having the latter arriving from the lower energy infrared portion of the spectra, account for the majority of the optical feature of the solids.

The dielectric capability is calculated using the following variables: 3 eV plasma frequencies; 0.05 eV damping [59,60]. Zr<sub>2</sub>TiC, Ti<sub>2</sub>TiC and Hf<sub>2</sub>TiC compounds' optical characteristics can be estimated using frequency-dependent dielectric function. The fictitious component  $\epsilon_2(\omega)$  of the dielectric function  $\epsilon(\omega)$  is calculated using the momentum matrix elements from the inhabited and uninhabited electronic stages and is given by [61].

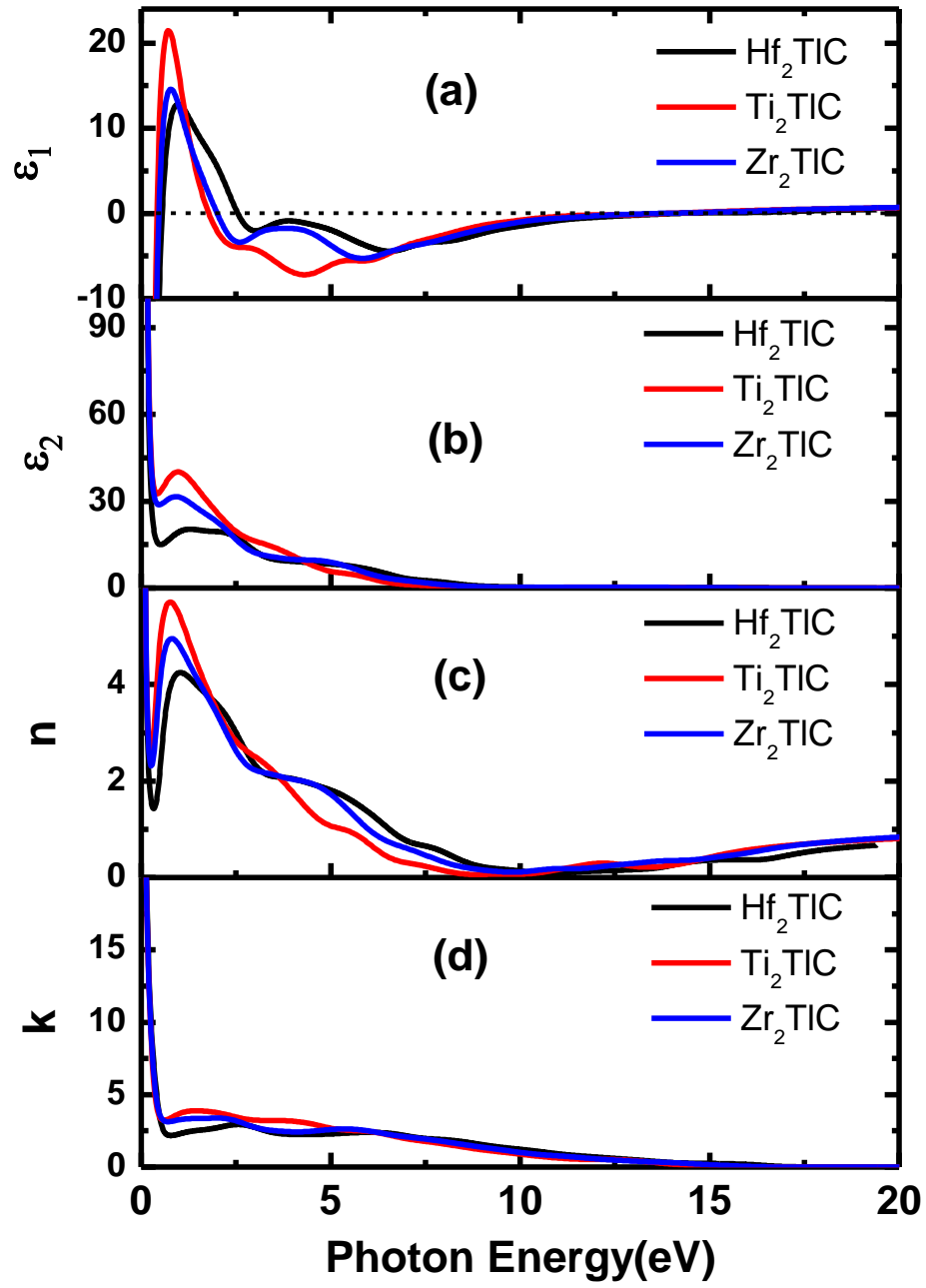
$$\epsilon_2(\omega) = \frac{2e^2\pi}{\Omega\epsilon_0} \sum_{k,v,c} \left| \psi_k^c | \mathbf{u} \cdot \mathbf{r} | \psi_k^v \right|^2 \delta(E_k^c - E_k^v - \hbar\omega)$$

Here,  $\omega$  is the light frequency,  $\mathbf{u}$  is the vector representing the polarization of the input electric field,  $e$  is the electronic charge, and  $\psi_k^c$  is the conduction band wave function at

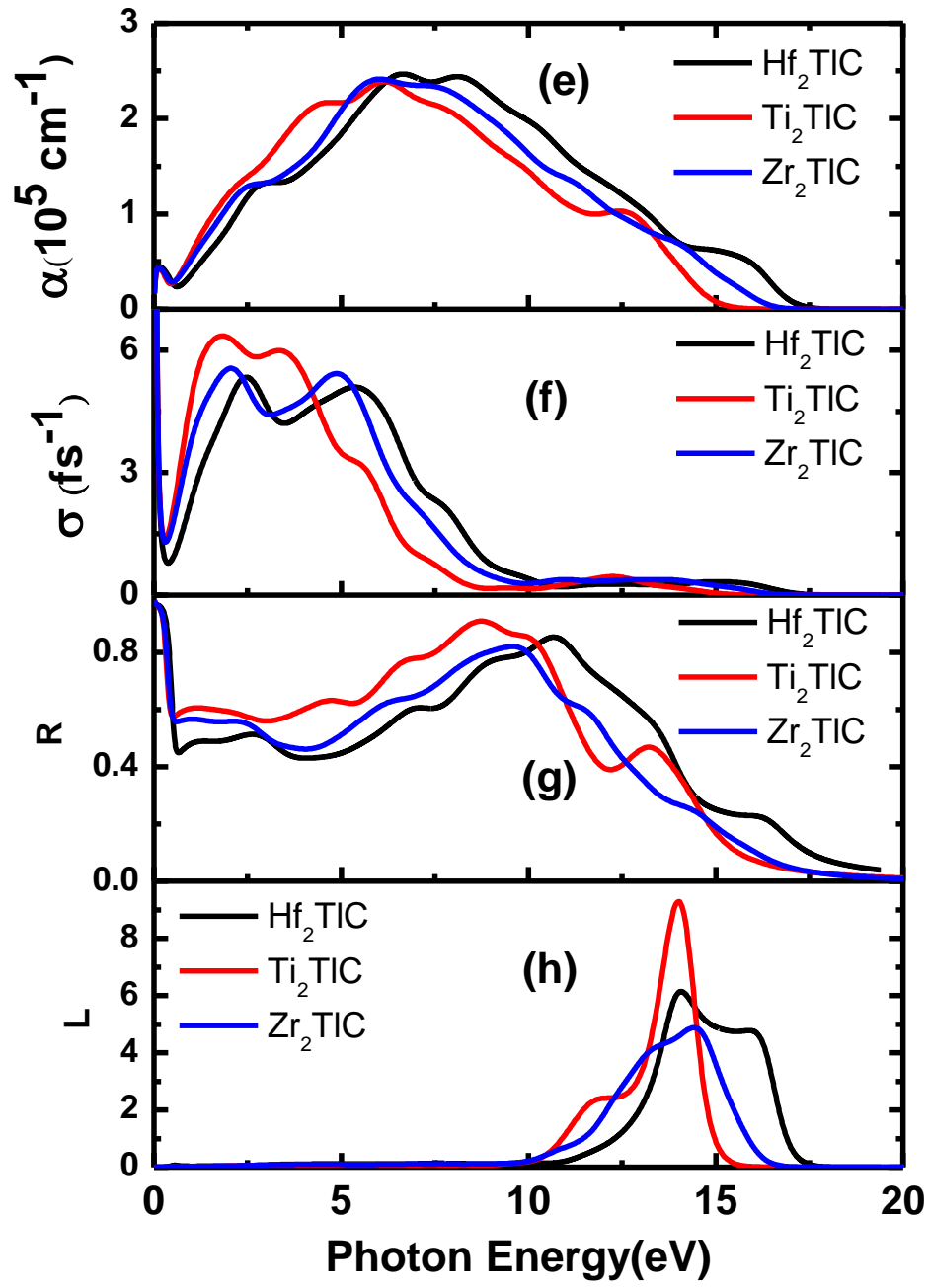
$k$  and  $\psi_k^v$  is valence band wave function at  $k$ , respectively. The Kramers-Kronig equation, which links the real and imaginary parts, may be used to compute the dielectric function's ( $\epsilon_1$ ) real portion.

Ti<sub>2</sub>TiC, Zr<sub>2</sub>TiC, and Hf<sub>2</sub>TiC have had their optical characteristics estimated for photon energies between polarization vectors of the electric field up to 20 eV between [100] and [001]. An observation is made where optical spectra to these polarization directions are comparable and nearly identical. As a result, data along [100] direction are provided in Figs 4.6 (a to h).





**Fig. 4.6 (a-d):** Dielectric function energy dependency actual part, imaginary part, refractive index, extinction coefficient, respectively of the  $\text{Ti}_2\text{TIC}$ ,  $\text{Zr}_2\text{TIC}$ , and  $\text{Hf}_2\text{TIC}$  compounds for [100] electric field polarization.



**Fig. 4.6 (e-h):** (e) absorption coefficient, (f) photo conductivity, (g) reflectivity, and (h) loss function of the  $\text{Ti}_2\text{TlC}$ ,  $\text{Zr}_2\text{TlC}$ , and  $\text{Hf}_2\text{TlC}$  compounds, respectively for [100] electric field polarization

Figure 4.6a illustrates the actual portion of the dielectric function,  $\epsilon_1$ , for the phases  $\text{Ti}_2\text{TlC}$ ,  $\text{Zr}_2\text{TlC}$ , and  $\text{Hf}_2\text{TlC}$ . The optical characteristics of the materials have been greatly influenced by the intra-band transition of electrons, which mostly affects the infrared spectrum's low energy area. The intraband transition of electrons causes the minimum energy peaks, which are measured to be at 0.72, 0.76, and 0.96 eV in the  $\epsilon_1$  curves for the  $\text{Ti}_2\text{TlC}$ ,  $\text{Zr}_2\text{TlC}$ , and  $\text{Hf}_2\text{TlC}$  phases, respectively. For the materials that suggest a Drude-like behavior, the ( $\epsilon_1$ ) exhibits higher negative values, which correlates to our conversation in section 3.3. Fig. 4.6b shows the compounds' hypothetical portion, or  $\epsilon_2$ . For the compounds  $\text{Ti}_2\text{TlC}$ ,  $\text{Zr}_2\text{TlC}$ , and  $\text{Hf}_2\text{TlC}$ , respectively, the  $\epsilon_1$  depicts zero value from below at approximately 14.0, 14.5, and 13.8 eV (Fig. 4.6a), and the  $\epsilon_2$  approaches zero from above at approximately 9.96, 9.93, and 10.75 eV (Fig. 4.6b), further stating the metallic nature of the studied phases.

Figure 4.6. depicts the phases' computed frequency-dependent refractive index and extinction coefficient (c,d). In the design of optoelectronic components, a material's high value of  $n$  is crucial. The primary peaks for the phases  $\text{Ti}_2\text{TlC}$ ,  $\text{Zr}_2\text{TlC}$ , and  $\text{Hf}_2\text{TlC}$ , respectively, are determine to be at 0.76, 0.80, and 0.96 eV for the static values of  $n(0)$ , which are determined to be 20.8, 20.87, and 19.8. The relationship between the extinction and absorption coefficients is given by  $k(\alpha = 4\pi k/\lambda)$ . For  $\text{Ti}_2\text{TlC}$ ,  $\text{Zr}_2\text{TlC}$ , and  $\text{Hf}_2\text{TlC}$ , the sharp peaks were found at 1.45, 2.0, and 2.5 eV, respectively. The intra-band transitions of electrons define these peaks (Fig.4.6d).

The energy that the electron must absorb to excite as a result of light passing through the materials is represented by the absorption coefficient. A calculation of the absorption coefficient ( $\alpha$ ) energy dependence is shown in Fig. 4.6. (e). In the ultraviolet

(UV) region, the value of is strong, whereas it is weak in the IR (infrared) spectrum. The value of increases steadily as photon energy rises in the direction of the UV area, peaking at 6.1, 5.9, and 6.6 eV for the compounds  $\text{Ti}_2\text{TlC}$ ,  $\text{Zr}_2\text{TlC}$ , and  $\text{Hf}_2\text{TlC}$ , respectively. The fact that it has a high value throughout a huge energy range implies, which might be employed in optoelectronic equipment that function in the visible and ultraviolet light spectrums. Because the studied compounds are metallic, their photoconductivity begins at zero photon energy (see Fig. 4.6f).

The  $\text{Ti}_2\text{TlC}$  substance has maximum photo-conductivity at 1.81 eV, while the maxima for other compounds were 2.02 eV ( $\text{Zr}_2\text{TlC}$ ) and 2.45 eV, respectively ( $\text{Hf}_2\text{TlC}$ ). The compounds' photon energy-dependent reflectivity (R) spectra are as seen in Fig. 4.6g. As can be observed, the value of R starts out at 97% for all phases and increases to high values of 92%, 82%, and 86% for the compounds  $\text{Ti}_2\text{TlC}$ ,  $\text{Zr}_2\text{TlC}$ , and  $\text{Hf}_2\text{TlC}$ , respectively, at 8.76 eV, 9.6 eV, and 10.7 eV. A compound with a reflectivity of 44% in the visible light band is reported to be able to reduce solar heating, according to sources [62]. The reflectivity is always over 44% for the phases  $\text{Ti}_2\text{TlC}$  (11.67 eV),  $\text{Zr}_2\text{TlC}$  (12.44 eV), and  $\text{Hf}_2\text{TlC}$  (13.8 eV) beyond they are not maintained, which is an interesting observation. The results of R indicate that the visible (1.7 eV - 3.3 eV) and IR (1.24 meV to 1.7 eV) regions cover energy over 44% up to 12.0 eV. The studied compounds may therefore be a strong candidate for utilize as a covering material to lessen solar heating. The reflectance spectra of all phases strategy zero when the input photon energy is about 20 eV.

The loss spectrum (L), which may also be interpreted using the dielectric function, is defined as the amount of energy lost by the photon during light transmission through the materials. Figure 4.6h depicts estimated values for L for each phase. Up to 10 eV, none of the examined chemicals exhibit any loss. In the 14–14.5 eV energy range, the loss peaks were discovered.

The peaks are more pronounced in the energy region between 0 and 1 where the dielectric function occurs. The plasma frequency,  $\omega_p$ , which is determined to be at 14.0, 14.0, and 14.5 eV for the substances  $\text{Ti}_2\text{TlC}$ ,  $\text{Zr}_2\text{TlC}$ , and  $\text{Hf}_2\text{TlC}$ , respectively, is linked to the loss peaks.

## 5 Conclusions

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### 5.1 General

The ternary carbides  $M_2TiC$  ( $M = Ti, Zr, Hf$ ) MAX phases' dynamical stability, thermodynamics, and optical characteristics have been thoroughly examined. In addition, the compounds' new additional data on elastic, Mulliken analysis, and Vickers hardness is taken into consideration while reviewing the compounds' structural and electrical properties.

### 5.2 Key findings

The following concluding remarks provide a summary of the acquired results:

To evaluate the accuracy of our computations, the compounds' structural, elastic, and electrical properties are reviewed. The examined lattice parameters, elastic constants, and  $C_{ij}$ , such as the polycrystalline elastic constants, were consistent with previous findings and fulfilled the traditional mechanical stability requirements. The ratios Pugh and Poisson support the brittleness of the compounds found in nature. Calculations and analyses have been done on the electronic band formation, DOS, Mulliken atomic, electron charge density locating and bond overlap populations. Since  $Ti_2TiC$  has greater elastic moduli than the other compounds tested, it exhibits a higher anisotropic index than the others. Since the valence and conduction bands overlap with  $E_F$ , no band gap to be seen, that means the compounds are metallic. The examined phases' electronic orbitals Ti-3d, Zr-4d, Hf-5p, 5d, and Ti-6p considerably contribute to the electronic conduction that affects the physical properties. For the phases, the findings of DOS at  $E_F$  were discovered to be 3.0, 2.55, and 2.09 states per eV. These strong covalent bonds are raised from the best hybridization of Ti-3d, Zr-4d, and Hf-5d with Ti-6p and C-2p electrons at the Fermi level. The phases of

Ti<sub>2</sub>TiC, Zr<sub>2</sub>TiC, and Hf<sub>2</sub>TiC compounds, respectively, have Vicker's hardness values of 2.18, 1.61, and 2.60 GPa, indicating a moderately hard nature to the materials. The phonon dispersion curves show no evidence of negative frequency, and as a result, the examined substances are assumed to be dynamically stable. The static values of  $n(0)$  are determined to be 20.8, 20.87, and 19.8, respectively, with the primary peaks for the phases Ti<sub>2</sub>TiC, Zr<sub>2</sub>TiC, and Hf<sub>2</sub>TiC emerging at 0.76, 0.80, and 0.96 eV, suggesting that the materials might be utilized to create optoelectronic appliances. The values of  $R$  reveal that the visible and IR regions (1.24 eV–1.7 eV) cover above 40% of the energy up to 12.0 eV. (1.7 eV – 3.3 eV).

### 5.3 Practical implication

The investigated compounds M<sub>2</sub>TiC (M = Ti, Zr, Hf) represent a promising possibility for actual application as a covering material to lessen solar heating.

### 5.4 Suggestions for further research work

To implement these MAX phases compounds M<sub>2</sub>TiC (M = Ti, Zr, Hf) needs comprehensive study on transport properties with along micro hardness study. For time constrain, we could not study these interesting phenomena. There is a room to study that topics and to proceed implementation of ternary carbide M<sub>2</sub>InC in optoelectronic and industrial applications.

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# Impact of M atomic species on physical properties of $M_2TlC$ ( $M = Ti, Zr, Hf$ ): A first principles calculation

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## ABSTRACT

We characterize the physical feature of  $M_2TlC$  ( $M = Ti, Zr, \text{ and } Hf$ ) MAX phase ternary carbides applying density functional theory. Along with previously calculated structural, elastic, and electrical properties, Vickers hardness, dynamical stability, and optical feature of the phases are also calculated. The Pugh ratio and Poisson's ratio show the compounds' brittleness in conjunction with their potent directional covalent bonds and combination of ionic contributions. The metallic character of the phases is supported by the Fermi level overlap of the conduction band and valence band. The examined materials have moderate hardness, according to the Vickers hardness, with the  $Hf_2TlC$  combination having the highest value of 2.60 GPa. A number of well-known phenomena are used to compute and thoroughly analyze the optical characteristics. Notably, all investigated compounds show reflectivity above 44% up to 12.0 eV energy, which is encompassed by the infrared and visible regions. The compounds could therefore be used, in practice, as a coating material to lessen solar heating.

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## I. INTRODUCTION

The study of MAX phases  $M_{n+1}AX_n$  with  $n = 1-3$ ,  $M =$  early transition metal;  $A =$  A-group elements from groups 12–16 in the Periodic Table and  $X = C$  and/or  $N$  has attracted attention as a sub-branch in materials science and technology because of their fascinating features and several research since their innovation during the 1960s.<sup>1–6</sup> Subject to the value of  $n$  ( $n = 1, 2, 3$ ), the MAX phases  $M_3AX_2$ ,  $M_2AX$ , and  $M_4AX_3$  are indicated as 312, 211, and 413, respectively. The nanolayered ternary compounds' MAX phases provide a distinctive blend of metallic and ceramic qualities, making them sustainable for a wide range of technical implementation. They have similar properties to metals, including high conductivity, low hardness, machinability, resistance to thermal shock, and damage tolerance. Up to date, there are more than 80 MAX phase elements that have already been synthesized<sup>7–12</sup> and the physical characteristics are also investigated.<sup>13–17</sup> The  $M_2AX$  phases with  $M =$  (titanium, vanadium, chromium, niobium, tantalum,

zirconium, hafnium),  $A =$  (aluminum, sulfur, Tn, arsenic, indium, gallium), and  $X =$  (nitrogen, carbon) are extensively studied conceptually and experimentally owing to their attractive properties, such as physical as well as optical and dynamical stability.<sup>1–6,18–27</sup> A large scientific community has paid continuous effort to add new MAX phases due to enormous demand and possible applicability resulting in more than 80 MAX phases that have already been synthesized from predicted 665 MAX phases. Very recent 2D MXenes attracted attention of scientists where the  $MAX^{26}$  phase has been used as a precursor, which is a breakthrough in the history of 2D materials world, which especially enhances storage capacity where MXenes as an anode material in batteries.<sup>28,29</sup>

The widespread research exertion has been paid to study of  $M_2AX$  (211) phases,<sup>13–21,26</sup> but until now, very few are fully studied. There are a few reports on the  $M_2TlC$  ( $M = Ti, Zr, Hf$ ) compounds; however, these are exhibited very much interesting and are technologically important properties.<sup>30,31</sup> Surprisingly, these substances have the smallest bulk moduli in the MAX phases that have been

conceptually or experimentally discovered so far. The phases are carried out using the density of states (DOS) at Fermi energy, which reduces with increasing the atomic number of transition materials. However, the phases are neither synthesized nor studied in detail yet.

A detail conceptual study of physical characteristics of materials is required to recommend a compound in technological applications. Among them, dynamical stability along with mechanical stability check is significant to judge if the material is suitable for practical application. Moreover, the compounds' stability at high temperatures and pressures is crucial to consider the materials as the basis of many industrial applications that can be determined easily by the thermodynamic properties.<sup>32</sup> For instance, in optoelectronic devices, significant energy dependence on the absorption coefficient and refractive index due to these properties is essential for the materials.<sup>33</sup> In addition, the reflectivity is a vital feature to recommend as coating materials to lessen solar heating.<sup>34</sup> Consequently, investigation of different features of  $M_2TlC$  ( $M = Ti, Zr, Hf$ ) MAX phases is anticipated.

As a result, we intend to investigate the material characteristics of  $M_2TlC$  ( $M = Ti, Zr, Hf$ ) MAX phases, as well as charge density mapping, Mulliken analysis, Fermi surface topology, and Vickers hardness.

II. COMPUTATIONAL METHODOLOGY

The density functional theory (DFT),<sup>35</sup> as embodied in the CASTEP program code,<sup>36</sup> was used to optimize the  $M_2TlC$  ( $M = Ti, Zr, Hf$ ) unit cell and its physical attributes. The functional is used to represent the exchange–correlation potential using the Perdew–Burke–Ernzerhof (PBE) of Generalized Gradient Approximation (GGA).<sup>37</sup> The interaction between electron–ions potentials is preserved within Vanderbilt-type ultrasoft formulation<sup>38</sup> for  $M$  ( $Ti, Zr, Hf$ ),  $Tl$ , and  $C$  atoms. The convergence is confirmed by changing the plane's energy cutoff to 400 eV and using the Monkhorst–Pack mesh for the  $7 \times 7 \times 2$  k-point samplings.<sup>39</sup> The tolerance is used for the geometry optimization for self-consistent field  $5.0 \times 10^{-7}$  eV/atom, maximum force on the atom 0.01 eV/Å, highest movement of atom  $5 \times 10^{-4}$  Å, and maximum stress 0.02 GPa. The optimization of atomic configuration is employed using Broyden–Fletcher–Goldfarb–Shenno (BFGS).<sup>40</sup>

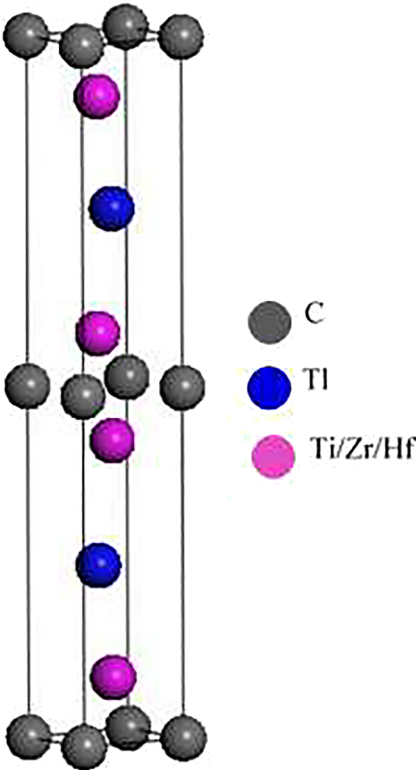


FIG. 1. The cell structure of  $M_2TlC$  ( $Ti_2TlC$ ,  $Zr_2TlC$ , and  $Hf_2TlC$ ).

III. RESULTS AND DISCUSSION

A. Stability of the structure

The unit cell of  $M_2TlC$  ( $M = Ti/Zr/Hf$ ) is shown in Fig. 1. It crystallizes in the  $P6_3/mmc$  (194) space group, which is a part of the hexagonal structure.  $M$  ( $Ti/Zr/Hf$ ),  $A$  ( $Tl$ ), and  $C$ 's atomic positions in the unit cell are  $(1/3, 2/3, z_M)$ ,  $(1/3, 2/3, 3/4)$ , and  $(0, 0, 0)$ , respectively.<sup>30,31</sup> The parameters of the relaxed structure at optimum conditions are shown in Table I. The obtained results show

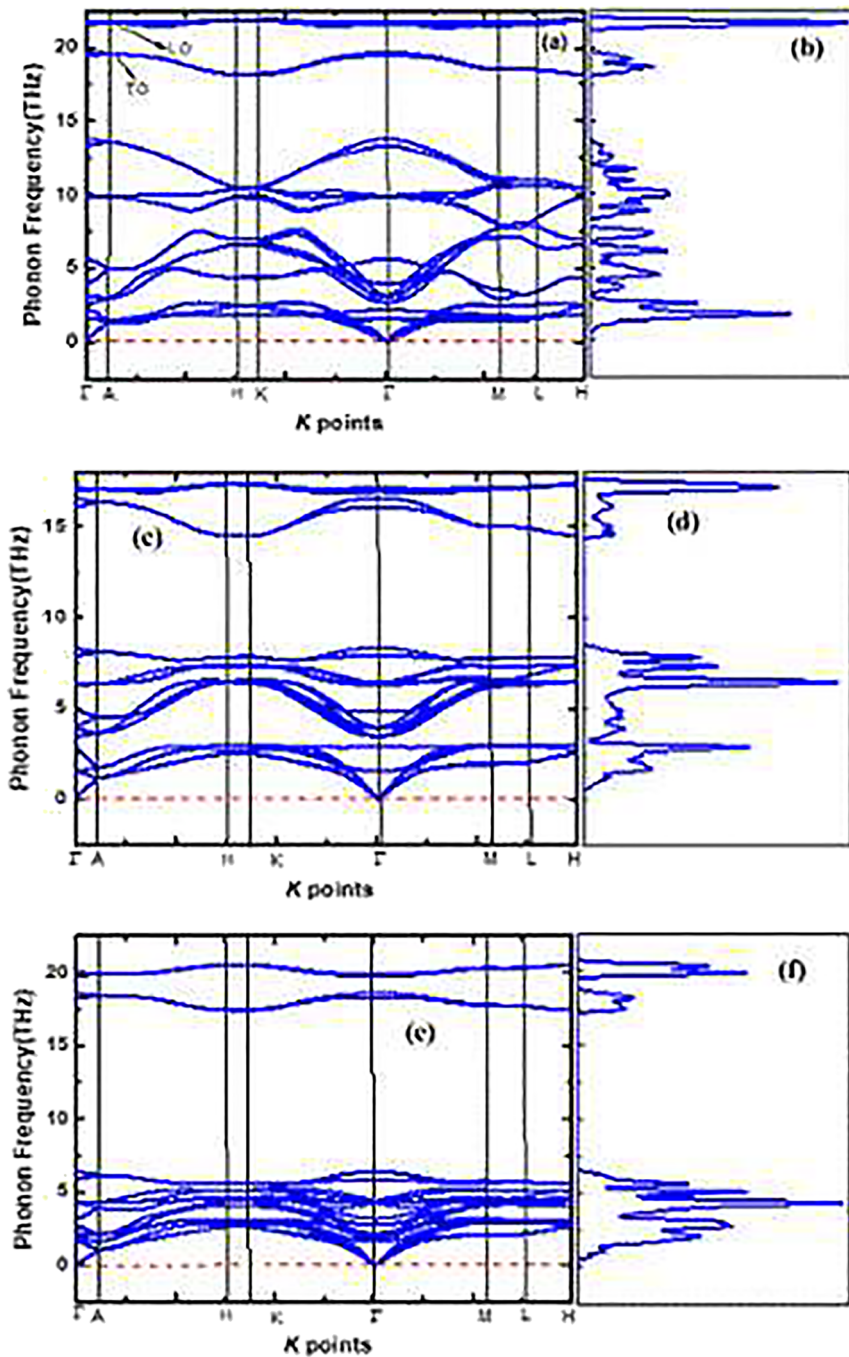
TABLE I. Calculated lattice parameters  $a$ (Å), cell volume  $V$ (Å<sup>3</sup>), and internal parameter  $z_M$  of the  $M_2TlC$  ( $Ti_2TlC$ ,  $Zr_2TlC$ , and  $Hf_2TlC$ ) compounds.

Phases	$A$	% of deviation	$c$	% of deviation	$c/a$	% of deviation	$z_M$	$V$	References
$Ti_2TlC$	3.18		14.19		4.46		0.0790	124.19	This work (GGA)
	3.17	0.03	14.20	0.01	4.48	0.02	0.0790		GGA <sup>30,31</sup>
	3.15	0.09	13.98	0.21	4.44	0.02			Expt. <sup>41</sup>
$Zr_2TlC$	3.39		15.16		4.47		0.0809	150.76	This work (GGA)
	3.37	0.02	15.07	0.09	4.47	0.00	0.0809		GGA <sup>30,31</sup>
	3.36	0.03	14.99	0.17	4.43	0.04			Expt. <sup>41</sup>
$Hf_2TlC$	3.38		14.86		4.40		0.0825	147.25	This work (GGA)
	3.37	0.01	14.85	0.01	4.41	0.01	0.0825		GGA <sup>30,31</sup>
	3.32	0.06	14.78	0.08	4.43	0.03			Expt. <sup>41</sup>

a very low deviation (within less than 0.02%) from the reported values, which proves that our calculation is well consistent and in good agreement with the previously published outcomes.<sup>30,31,40</sup>

Before studying different parameters, stability check of the structure, either experimentally or theoretically, is very important. We used two strategies: phonon dispersion for dynamical stability and stiffness constants for mechanical stability ( $C_{ij}$ ).  $\text{Ti}_2\text{TlC}$ ,  $\text{Zr}_2\text{TlC}$ , and  $\text{Hf}_2\text{TlC}$  combinations' phonon dispersion curves (PDC) are

calculated using Density Functional Perturbation Theory (DFPT) along the crystal's Brillouin zone's (BZ) high symmetry orientation (density functional perturbation theory) and illustrate them in Figs. 2(a)–2(f). The PDC shows that frequencies over the range of wave vector ( $k$ ) are in positive values, i.e., no negative frequency exhibits over  $k$  that indicates all studied compounds are dynamically stable. The PDC curves show distinct transverse optical (TO) and longitudinal optical (LO) components, which are accountable



**FIG. 2.** The PDC and PHDOS of  $\text{Ti}_2\text{TlC}$  [(a) and (b)],  $\text{Zr}_2\text{TlC}$  [(c) and (d)], and  $\text{Hf}_2\text{TlC}$  [(e) and (f)] compounds. The red dashed line represents zero phonon frequency.



**TABLE II.** The calculated elastic constants,  $C_{ij}$  (GPa), various moduli [bulk  $B$  (GPa), shear,  $G$  (GPa), shear anisotropy constant,  $A$ , Young's,  $Y$  (GPa)], Pugh ratio,  $G/B$ , and Poisson ratio, and  $\nu$  of MAX phases  $\text{Ti}_2\text{TlC}$ ,  $\text{Zr}_2\text{TlC}$ , and  $\text{Hf}_2\text{TlC}$  compounds.

Phases	$C_{11}$	$C_{12}$	$C_{13}$	$C_{33}$	$C_{44}$	$A$	$B$	$G$	$Y = 9B_H G_H / (3B_H + G_H)$	$G/B$	MI	$H_{\text{micro}}$	$H_{\text{macro}}$	$\nu = (3B_H - Y_H) / 6B_H$	References
$\text{Ti}_2\text{TlC}$	274	73	51	241	82	0.82	126	93	248	0.74	1.54	24	17	0.163	This
	275	73	46	223	72	0.71	123	86	210	0.70	1.71	17	15	0.210	GGA <sup>31</sup>
$\text{Zr}_2\text{TlC}$	39	69	56	204	69	0.81	115	78	211	0.68	1.67	17	13	0.207	This
	255	60	52	270	70	0.72	117	78	195	0.67	1.67	15	13	0.219	GGA <sup>31</sup>
$\text{Hf}_2\text{TlC}$	278	68	64	245	81	0.77	132	93	252	0.70	1.63	20	16	0.208	This
	278	69	62	230	71	0.68	129	86	214	0.67	1.82	16	14	0.225	GGA <sup>31</sup>

for the solid's optical response. The values of TO and LO are 19.74, 21.63 THz ( $\text{Ti}_2\text{TlC}$ ); 16.62, 17.18 THz ( $\text{Zr}_2\text{TlC}$ ); and 18.66, 19.94 THz ( $\text{Hf}_2\text{TlC}$ ), respectively. The phonon DOS of  $\text{Ti}_2\text{TlC}$ ,  $\text{Zr}_2\text{TlC}$ , and  $\text{Hf}_2\text{TlC}$  phases are placed next to one another for clarity in Figs. 2(b), 2(d), and 2(f), respectively, where the flat band produces noticeable peak and weak peak arises from the non-flat band.

## B. Mechanical properties and hardness

Cracks are anticipated to be avoided whenever the materials are used for practical purposes. The reaction or deformation of a material to the application of a load or force is revealed by the material's mechanical characteristics. Stiffness, strength, elastic moduli, micro- and macrohardness, ductility, and toughness are important factors that define a material's mechanical qualities. The mechanical properties characterizing parameters can be used to understand the fundamental information that is necessary to choose the materials for engineering applications, including elastic moduli, stability, stiffness, brittleness, and ductility of a material. Estimated and provided in Table II are five separate elastic constants  $C_{ij}$  and polycrystalline elastic moduli, as well as any available recorded evidence<sup>31,40</sup> that shows the estimated elastic constants are not in decent agree with past findings. The determined  $C_{ij}$ 's meet the mechanical stability requirements for the hexagonal system:<sup>42</sup>  $C_{11} > 0$ ,  $C_{11} > C_{12}$ ,  $C_{44} > 0$ ,  $(C_{11} + C_{12}) C_{33} - 2C_{13}^2 > 0$ . This supports the MAX phases  $\text{M}_2\text{TlC}$ 's mechanical stability ( $\text{Ti}_2\text{TlC}$ ,  $\text{Zr}_2\text{TlC}$ , and  $\text{Hf}_2\text{TlC}$ ).

It can be seen that  $C_{11}$  indicates how much resistance is there for distortion toward the  $a$ -axis, whereas  $C_{33}$  indicates toward the  $c$ -axis. The obtained  $C_{11}$  of  $\text{Ti}_2\text{TlC}$  and  $\text{Hf}_2\text{TlC}$  is found to be higher than that of  $\text{Zr}_2\text{TlC}$ , contrary to expectations, as a result of their greater stiffness, resistance to distortion along the  $a$ -axis, and bonding strength.  $\text{Ti}_2\text{TlC}$  and  $\text{Hf}_2\text{TlC}$  compounds display significantly higher  $C_{44}$  values than  $\text{Zr}_2\text{TlC}$ , the findings in optimum shear modulus ( $G$ ), as tabulated in Table II. The  $C_{44}$  values are connected to shear deformation and damage tolerant response. The  $C_{ij}$  (single-crystal elastic constants) and its corresponding compliance tensors  $S_{ij}$  ( $S_{ij} = C_{ij}^{-1}$ ) are utilized to assess the elastic moduli  $B$ ,  $G$ ,  $Y$ , and  $\nu$  by the equation:  $Y = \frac{9B_H G_H}{(3B_H + G_H)}$  and  $\nu = \frac{(3B_H - Y_H)}{6B_H}$ .<sup>43</sup> The calculated findings are listed in Table II, and elastic moduli ( $B$ ,  $G$ ,  $Y$ ) have been represented by Voigt–Reuss–Hill (VRH)<sup>44,45</sup> equations as  $B_V = \frac{[2(C_{11} + C_{12}) + C_{33} + 4C_{13}]}{9}$  and  $G_V = \frac{[C_{11} + C_{12} + 2C_{33} - 4C_{13} + 12C_{44} + 12C_{66}]}{30}$ ,

**TABLE III.** Calculated shear anisotropic  $A_{100}$ ,  $A_{010}$ , and  $A_{001}$  of  $\text{Ti}_2\text{TlC}$ ,  $\text{Zr}_2\text{TlC}$ , and  $\text{Hf}_2\text{TlC}$  compounds.

Phases	$A_{100}$	$A_{010}$	$A_{001}$
$\text{Ti}_2\text{TlC}$	0.78	0.82	0.64
$\text{Zr}_2\text{TlC}$	0.90	0.71	0.64
$\text{Hf}_2\text{TlC}$	0.70	0.81	0.56

$B_R = \frac{C_{11} + C_{12}}{C_{11} + C_{12} + 2C_{33} - 4C_{13}^2}$ , and  $G_R = \frac{(\frac{5}{2})[C^2 C_{44} C_{66}]}{[3B_V C_{44} C_{66} + C^2(C_{44} + C_{66})]}$ ,  $C_{66} = \frac{C_{11} - C_{12}}{2}$ , Hill's value is defined by the mean of higher limit of Voigt and lower limit of Reuss as  $B_H = \frac{B_R + B_V}{2}$  and  $G_H = \frac{G_R + G_V}{2}$ . The calculated Pugh ratios  $G/B$  for brittle materials  $G/B > 0.57$ , while its ratio in ductile materials  $\nu < 0.57$ . Poisson's ratios for  $\text{Zr}_2\text{TlC}$ ,  $\text{Ti}_2\text{TlC}$ , and  $\text{Hf}_2\text{TlC}$  compounds were 0.207, 0.163, and 0.208, respectively, which are smaller than the value of Frantsevich's criteria (0.26),<sup>46</sup> showing that the examined compounds are brittle.

We have estimated shear anisotropic factors as shown in Table III that are expressed for hexagonal solids:  $A_1 = \frac{1/6(C_{11} + C_{12} + 2C_{33} - 4C_{13})}{C_{44}}$ ,  $A_2 = \frac{2C_{44}}{C_{11} - C_{12}}$ , and  $A_3 = A_1 \cdot A_2 = \frac{1/3(C_{11} + C_{12} + 2C_{33} - 4C_{13})}{C_{11} - C_{12}}$  for the  $\{100\}$ ,  $\{010\}$ , and  $\{001\}$  planes, respectively.<sup>46</sup> If  $A_{\{100\}}$ ,  $A_{\{010\}}$ , and  $A_{\{001\}}$  show unit value (one) that indicates solid is isotropic in nature otherwise exhibits anisotropic and deviation from one provides the level of anisotropy. The calculated factors indicate that the studied compounds retain anisotropy property.

Along with 2D images, a 3D contour map can be used to confirm the compounds' anisotropy. It is easier to comprehend the anisotropic behavior by using contour plots (3D) and their two-dimensional (2D) counterparts. Young's modulus, compressibility, shear modulus, and Poisson's ratio are among the variables listed in Table IV that can be utilized to evaluate the degree of anisotropy.

**TABLE IV.** The minimum and maximum values for the Young's,  $G$ , and Poisson's ratios of the compounds  $\text{Ti}_2\text{TlC}$ ,  $\text{Zr}_2\text{TlC}$ , and  $\text{Hf}_2\text{TlC}$ .

Phases	Y <sub>min</sub>	Y <sub>max</sub>	Y <sub>max</sub> /	G <sub>min</sub>	G <sub>max</sub>	G <sub>max</sub> /	v <sub>mn</sub>	v <sub>max</sub>	v <sub>max</sub> /
			Y <sub>min</sub>			G <sub>min</sub>			v <sub>min</sub>
Ti <sub>2</sub> TlC	211	248	1.18	87	102	1.17	0.14	0.23	1.64
Zr <sub>2</sub> TlC	202	228	1.13	81	92	1.14	0.21	0.27	1.29
Hf <sub>2</sub> TlC	258	292	1.13	102	121	1.19	0.20	0.28	1.4

These are computed using the ELATE open-source software and displayed in 2D and 3D contour plots, respectively.<sup>47</sup>

Only plots for the  $\text{Ti}_2\text{TlC}$  phase are shown in Fig. 3 since the plots for the examined compounds are almost identical. The values of  $Y$  and  $G$  are strongly anisotropic and deviate from circles in 2D perspective and spherical shapes in 3D, respectively. This is consistent with the estimated values of  $A$  in Table III and shows the phases to be strongly anisotropic. In the  $yz$  and  $xz$  planes,  $Y$ 's value is anisotropic, whereas it is isotropic in the  $xy$  plane. The anisotropy factor ( $A$ ) is calculated by dividing the higher and lower values of  $Y$  and  $G$  (Ref. 37) and by the degree of anisotropy (Table III). Compared to  $\text{Zr}_2\text{TlC}$  and  $\text{Hf}_2\text{TlC}$  compounds, the  $\text{Ti}_2\text{TlC}$  phase is more anisotropic.

To understand hardness of the studied compounds, we have calculated two parameters, namely micro- and macro-hardness, following the relations:  $H_{\text{micro}} = \frac{(1-2\nu)E}{6(1+\nu)}$ <sup>30</sup> and  $H_{\text{macro}} = 2\left[\left(\frac{G}{B}\right)^2 G\right]^{0.585} - 3$ .<sup>33</sup> It is seen that  $\text{Ti}_2\text{TlC}$  exhibits much higher and greater for  $H_{\text{micro}}$  (24 GPa) and  $H_{\text{macro}}$  (17 GPa) than that of  $\text{Zr}_2\text{TlC}$  (17 and 13 GPa) and  $\text{Hf}_2\text{TlC}$  (20 and 16 GPa), respectively. We can compare the hardness of the studied compounds such a way for both hardness  $\text{Ti}_2\text{TlC} > \text{Hf}_2\text{TlC} > \text{Zr}_2\text{TlC}$ . The lower Poisson ratio of  $\text{Ti}_2\text{TlC}$  impacts higher hardness; however, Young modulus of  $\text{Hf}_2\text{TlC}$  is greater than  $\text{Ti}_2\text{TlC}$ . The Mulliken bond populations have been considered to calculate Vickers hardness ( $H_v$ )<sup>13,48,49</sup> and are presented in Table V,

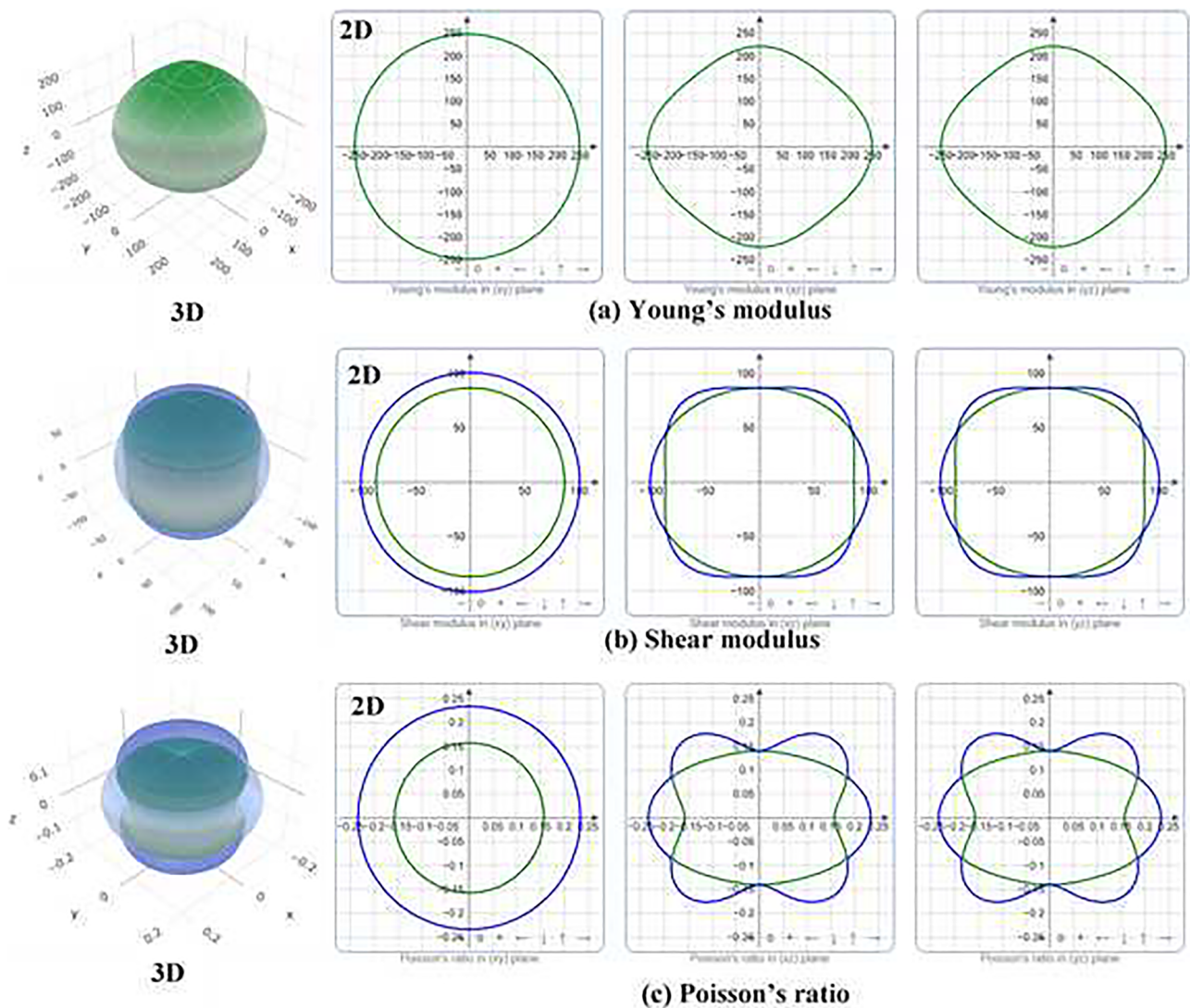


FIG. 3. Contour (3D) and two-dimensional (2D) charts of the  $\text{Ti}_2\text{TlC}$  compound's Young's modulus ( $Y$ ), shear modulus ( $G$ ), and Poisson's ratio ( $\nu$ ).



**TABLE V.** Calculated Mulliken populations [ $\mu$ -type bond  $P^\mu$ , bond length  $d^\mu$  (Å) metallic population  $P^{\mu'}$ , bond volume  $v_b^\mu$  (Å<sup>3</sup>), and Vicker's hardness of  $\mu$ -type bond  $H_V^\mu$  (GPa) and  $H_V$  (GPa)] of Ti<sub>2</sub>TiC, Zr<sub>2</sub>TiC, and Hf<sub>2</sub>TiC.

Phases	Bond	$d^\mu$	$P^\mu$	$P^{\mu'}$	$v_b^\mu$	$H_V^\mu$	$H_V$
Ti <sub>2</sub> TiC	C–Ti	2.14	0.99	0.085	31.04	2.18	2.18
Zr <sub>2</sub> TiC	C–Zr	2.30	1.00	0.077	37.69	1.61	1.61
Hf <sub>2</sub> TiC	C–Hf	2.31	1.45	0.022	36.81	2.60	2.60

$$H_V = \left[ \prod_{\mu} \left\{ 740 \left( P^\mu - P^{\mu'} \right) \left( v_b^\mu \right)^{-5/3} \right\}^{n^\mu} \right]^{1/\sum n^\mu},$$

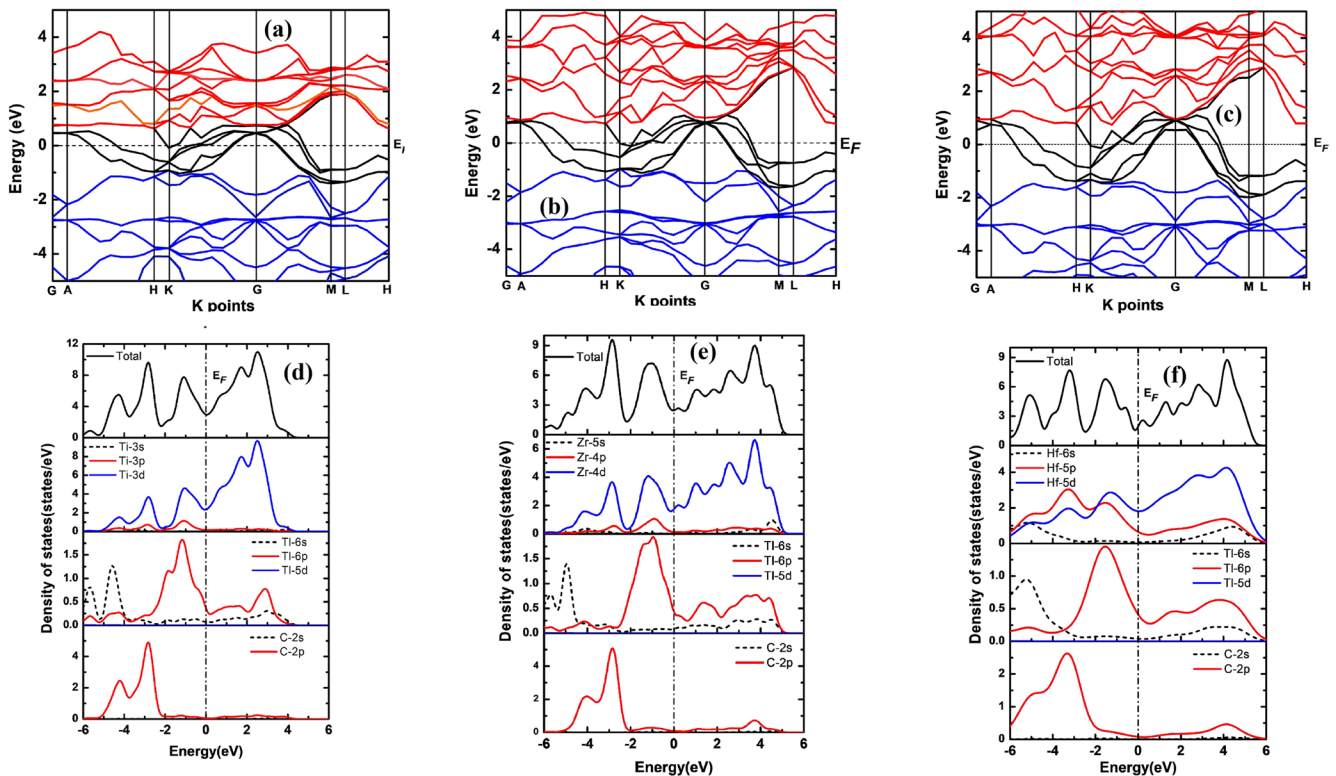
where  $P^\mu$  is the Mulliken population of the  $\mu$ -type bond,  $P^{\mu'} = n_{\text{free}}/V$  is the metallic population, and  $v_b^\mu$  is the bond volume of the  $\mu$ -type bond. The values are determined to be 2.18, 1.61, and 2.60 GPa for the phases Ti<sub>2</sub>TiC, Zr<sub>2</sub>TiC, and Hf<sub>2</sub>TiC compounds, respectively. The Hf<sub>2</sub>TiC shows higher than Ti<sub>2</sub>TiC and Zr<sub>2</sub>TiC phases that are due to maximum elastic moduli. The measured hardness values correspond to the well-known MAX phase nanolaminates (in the 2–8 MPa range), including Hf<sub>2</sub>InC (3.45 MPa) and Ta<sub>2</sub>InC (4.12 MPa).<sup>50</sup>

## C. Electronic properties

### 1. Band structure and density of states (DOS)

Ti<sub>2</sub>TiC, Zr<sub>2</sub>TiC, and Hf<sub>2</sub>TiC are examples of M<sub>2</sub>TiC compounds with high-symmetry k points. The electronic energy band structures of these compounds are depicted in Figs. 4(a)–4(c), respectively. Since the compounds' conduction bands (red colors) span the  $E_F$  line (dashed black line) and noticeably overlap the valence bands (blue lines) (black colors), no bandgap is observed, demonstrating the compounds' metallic character. Many strongly dispersive bands have been identified at and around the  $E_F$  for the phases Zr<sub>2</sub>TiC and Hf<sub>2</sub>TiC [Figs. 4(b) and 4(c)]. Nonetheless, a complicated hybrid band character has been observed, comprising the quasi-flat band for the Ti<sub>2</sub>TiC combination [Fig. 4(a)] and several low dispersive bands crossing the  $E_F$ . The electrical conductivity should be lower in the c direction in contrast to the ab plane (axis), according to the energy band structure, because there is less energy dispersion there.

Understanding band structure topographies requires an awareness of both the complete and partial densities of entities. The computed total density of states (TDOS) and partial (PDOS) of the M<sub>2</sub>TiC phases (Ti<sub>2</sub>TiC, Zr<sub>2</sub>TiC, and Hf<sub>2</sub>TiC) are shown in Figs. 4(d)–4(f), where the vertically broken line denotes the Fermi level,  $E_F$ . Three sub-bands can be separated out of the valence band. The Ti-3d, C-2p [for the Ti<sub>2</sub>TiC phase], Zr-4d, C-2p [for the Zr<sub>2</sub>TiC



**FIG. 4.** Band structures of M<sub>2</sub>TiC (a) Ti<sub>2</sub>TiC (b) Zr<sub>2</sub>TiC, and (c) Hf<sub>2</sub>TiC. The total and partial density of states (DOS) of (d) Ti<sub>2</sub>TiC, (e) Zr<sub>2</sub>TiC, and (f) Hf<sub>2</sub>TiC.

phase], and Hf-5p, 5d, C-2p [for the Hf<sub>2</sub>TiC phase] states are the principal sources of the minimum energy sub-band (−6 to −2 eV).

Broad nature's middle sub-band (−2 to 0 eV) is mostly produced by the contributions due to Ti-3p, Ti-3d, Ti-6p [for Ti<sub>2</sub>TiC phase], Zr-4d, Zr-4p, Ti-6p [for Zr<sub>2</sub>TiC phase], Hf-5p, Hf-5d, Ti-6p [for Hf<sub>2</sub>TiC phase]. The upper sub-band (0 to +6 eV) is formed by the aid of orbitals due to Ti-3d, Ti-6s, 6p [for Ti<sub>2</sub>TiC phase], Zr-4d, Ti-6s, 6p [for Zr<sub>2</sub>TiC phase], and Hf-6s, 5p, 5d, Ti-6s, 6p [for Hf<sub>2</sub>TiC phase]. The sub-band crossing the  $E_F$  [black colors in Figs. 3(a)–3(c)] resulted mainly from Ti-3d, Zr-4d, Hf-5p, 5d, and Ti-6p electronic orbitals that are contributed strongly in the electronic conduction and significantly affect the physical properties of the phases. The value of DOS at  $E_F$  estimates is observed to be 3.0, 2.55, and 2.09 states/eV [Figs. 4(d)–4(f)] for Ti<sub>2</sub>TiC, Zr<sub>2</sub>TiC, and Hf<sub>2</sub>TiC phases, respectively.

Six bands are firmly mixed and cross the  $E_F$  during the phase Hf<sub>2</sub>TiC; however, four bands have crossed the  $E_F$  for Ti<sub>2</sub>TiC and Zr<sub>2</sub>TiC. Therefore, maximum findings of Vickers hardness are predicted for Ti<sub>2</sub>TiC and Zr<sub>2</sub>TiC phases compared with Hf<sub>2</sub>TiC phase.

## 2. Mulliken populations study

The Mulliken atomic population (MAP) analyzes the EVC (Effective Valence Charge), and their formalism is described elsewhere.<sup>17</sup> The effective valence charge in a crystal refers to the distinction from the formal ionic charge and the Mulliken charge. Whether the bonds are ionic or covalent is shown by the value of EVC. When the value of EVC is zero (positive), the bond is ideal ionic in nature; nevertheless, when it deviates from zero, it displays the level of covalency. The computed EVC is shown in Table VI, which shows there is significant covalency in chemical bonding within the investigated compounds.<sup>16,48,50</sup>

The findings of the binary output program bond overlap population (BOP) are interpreted—(i) zero: the electronic populations of two atoms interact insignificantly; hence, the hardness calculation is omitted; (ii) positive: atoms near one another form bonds; and (iii) negative: atoms near one another form anti-bonds. Bonds with a maximum BOP value have a high level of covalency. The bond Hf–C in the compounds under study has the highest covalency

of all the bonds. Additionally, the Hf<sub>2</sub>TiC has a stronger overall bond than Ti<sub>2</sub>TiC and Zr<sub>2</sub>TiC. As shown in Table V, it is therefore expected that this compound's hardness value is higher than that of the other. The well-known atomic population analysis can also be used to understand how charges move between atoms. For instance, in Ti<sub>2</sub>TiC, charge transfers with values of 0.76 and 0.06e, respectively, from Ti to C and Ti. There are more compounds with comparable phase that show topographies.

## D. Optical properties

When electromagnetic fields with the appropriate energy are applied to occupied (lower the  $E_F$ ) and unoccupied stages, electronic transitions take place (above the  $E_F$ ). As a result, in metals and systems of a similar kind, intra-band and inter-band contributions, having the latter arriving from the lower energy infrared (IR) portion of the spectra, account for the majority of the optical feature of the solids.

The dielectric capability is calculated using the following variables: 3 eV plasma frequencies and 0.05 eV damping.<sup>50,51</sup> Zr<sub>2</sub>TiC, Ti<sub>2</sub>TiC, and Hf<sub>2</sub>TiC compounds' optical characteristics can be estimated using frequency-dependent dielectric function. The fictitious component  $\epsilon_2(\omega)$  of the dielectric function  $\epsilon(\omega)$  is calculated using the momentum matrix elements from the inhabited and uninhabited electronic stages and is given by<sup>52</sup>

$$\epsilon_2(\omega) = \frac{2e^2\pi}{\Omega\epsilon_0} \sum_{k,v,c} |\psi_k^c|\mathbf{u}\cdot\mathbf{r}|\psi_k^v|^2 \delta(E_k^c - E_k^v - E).$$

Here,  $\omega$  is the light frequency,  $\mathbf{u}$  is the vector representing the polarization of the input electric field,  $e$  is the electronic charge,  $\psi_k^c$  is the conduction band wave function at  $k$ , and  $\psi_k^v$  is valence band wave function at  $k$ , respectively. The Kramers–Kronig equation, which links the real and imaginary parts, may be used to compute the dielectric function's ( $\epsilon_1$ ) real portion.

Ti<sub>2</sub>TiC, Zr<sub>2</sub>TiC, and Hf<sub>2</sub>TiC have had their optical characteristics estimated for photon energies between polarization vectors of the electric field up to 20 eV between [100] and [001]. An observation is made where optical spectra to these polarization directions are comparable and nearly identical. As a result, data along [100] direction are provided in Figs. 5(a)–5(h). The frequency-dependent dielectric function  $\epsilon(\omega) = \epsilon_1(\omega) + i\epsilon_2(\omega)$  is used to evaluate the optical properties of the substances under investigation. The transition matrix components in between inhabited and uninhabited electronic stages are used to investigate the fictitious portion of the dielectric function,  $\epsilon_2$ , as illustrated as<sup>53</sup>

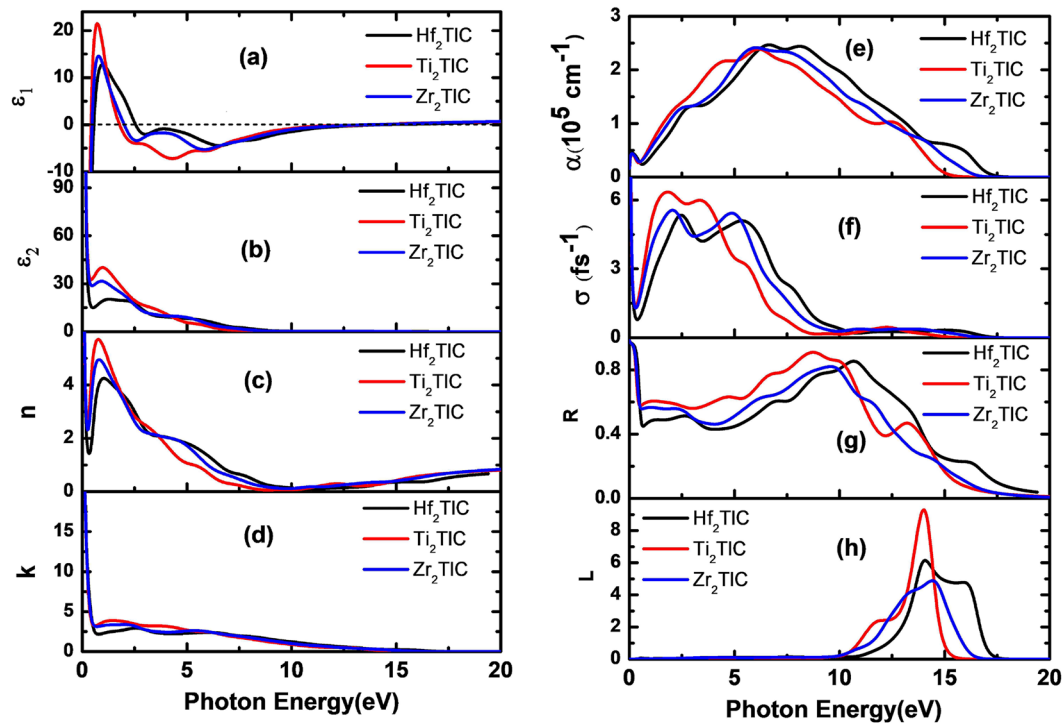
$$\epsilon_2(\omega) = \frac{2e^2\pi}{\Omega\epsilon_0} \sum_{k,v,c} |\psi_k^c|\mathbf{u}\cdot\mathbf{r}|\psi_k^v|^2 \delta(E_k^c - E_k^v - E).$$

The variables indicated in the aforementioned equation have conventional meanings. The Kramers–Kronig equation, which connects the actual component for the fictional part, can be employed to calculate the actual part of the dielectric function ( $\epsilon_1$ ).

Figure 5(a) illustrates the actual portion of the dielectric function,  $\epsilon_1$ , for the phases Ti<sub>2</sub>TiC, Zr<sub>2</sub>TiC, and Hf<sub>2</sub>TiC. The optical characteristics of the materials have been greatly influenced by the intra-band transition of electrons, which mostly affects the infrared spectrum's low energy area. The intra-band transition of electrons

TABLE VI. Mulliken atomic populations of Ti<sub>2</sub>TiC, Zr<sub>2</sub>TiC, and Hf<sub>2</sub>TiC compounds.

Phases	Atoms	Mulliken atomic population					EVC (e)
		<i>s</i>	<i>p</i>	<i>d</i>	Total	Charge (e)	
Ti <sub>2</sub> TiC	C	1.47	3.29	0.00	4.76	−0.76	4.76
	Ti	2.22	6.72	2.64	11.59	0.41	11.59
	Tl	1.14	1.89	10.02	13.06	−0.06	13.06
Zr <sub>2</sub> TiC	C	1.48	3.31	0.00	4.80	−0.80	4.80
	Zr	2.26	6.61	2.67	11.54	0.46	11.54
	Tl	1.19	1.90	10.02	13.12	−0.12	13.12
Hf <sub>2</sub> TiC	C	1.54	3.35	0.00	4.89	−0.89	4.89
	Hf	0.46	0.44	2.76	3.66	0.34	3.66
	Tl	0.79	1.98	10.02	12.79	0.21	12.79



**FIG. 5.** Dielectric function energy dependency of (a) real part, (b) imaginary part, (c) refractive index, (d) extinction coefficient (left panel) and (e) absorption coefficient, (f) photoconductivity, (g) reflectivity, (h) loss function (right panel) of the  $\text{Ti}_2\text{TlC}$ ,  $\text{Zr}_2\text{TlC}$ , and  $\text{Hf}_2\text{TlC}$  compounds for [100] direction, respectively.

causes the minimum energy peaks, which are measured to be at 0.72, 0.76, and 0.96 eV in the  $\epsilon_1$  curves for the  $\text{Ti}_2\text{TlC}$ ,  $\text{Zr}_2\text{TlC}$ , and  $\text{Hf}_2\text{TlC}$  phases, respectively. For the materials that suggest a Drude-like behavior, the  $\epsilon_1$  exhibits higher negative values, which correlates with our discussion in Sec. III C. Figure 5(b) shows the compounds' hypothetical portion, or  $\epsilon_2$ . For the compounds  $\text{Ti}_2\text{TlC}$ ,  $\text{Zr}_2\text{TlC}$ , and  $\text{Hf}_2\text{TlC}$ , respectively, the  $\epsilon_1$  depicts zero value from below at ~14.0, 14.5, and 13.8 eV [Fig. 5(a)], and the  $\epsilon_2$  approaches zero from above at ~9.96, 9.93, and 10.75 eV [Fig. 5(b)], further stating the metallic nature of the studied phases.

Figure 5 depicts the phases' computed frequency-dependent refractive index and extinction coefficient [(c) and (d)]. In the design of optoelectronic components, a material's high value of  $n$  is crucial. The primary peaks for the phases  $\text{Ti}_2\text{TlC}$ ,  $\text{Zr}_2\text{TlC}$ , and  $\text{Hf}_2\text{TlC}$ , respectively, are determined to be at 0.76, 0.80, and 0.96 eV for the static values of  $n(0)$ , which are determined to be 20.8, 20.87, and 19.8. The relationship between the extinction and absorption coefficients is given by  $k(\alpha = 4\pi k/\lambda)$ . For  $\text{Ti}_2\text{TlC}$ ,  $\text{Zr}_2\text{TlC}$ , and  $\text{Hf}_2\text{TlC}$ , the sharp peaks were found at 1.45, 2.0, and 2.5 eV, respectively. The intra-band transitions of electrons define these peaks [Fig. 5(d)].

The energy that the electron must absorb to excite as a result of light passing through the materials is represented by the absorption coefficient. A calculation of the absorption coefficient ( $\alpha$ ) energy dependence is shown in Fig. 5(e). In the ultraviolet (UV) region, the value is strong, whereas it is weak in the IR (infrared) spectrum. The value increases steadily as photon energy rises in the direction of the

UV area, peaking at 6.1, 5.9, and 6.6 eV for the compounds  $\text{Ti}_2\text{TlC}$ ,  $\text{Zr}_2\text{TlC}$ , and  $\text{Hf}_2\text{TlC}$ , respectively. The fact that it has a high value throughout a huge energy range implies which might be employed in optoelectronic equipment that function in the visible and ultraviolet light spectra. Because the studied compounds are metallic, their photoconductivity begins at zero photon energy [see Fig. 5(f)].

The  $\text{Ti}_2\text{TlC}$  substance has maximum photo-conductivity at 1.81 eV, while the maxima for other compounds were 2.02 eV ( $\text{Zr}_2\text{TlC}$ ) and 2.45 eV, respectively ( $\text{Hf}_2\text{TlC}$ ). The compounds' photon energy-dependent reflectivity ( $R$ ) spectra are shown in Fig. 5(g). As can be observed, the value of  $R$  starts out at 97% for all phases and increases to high values of 92%, 82%, and 86% for the compounds  $\text{Ti}_2\text{TlC}$ ,  $\text{Zr}_2\text{TlC}$ , and  $\text{Hf}_2\text{TlC}$ , respectively, at 8.76, 9.6, and 10.7 eV. A compound with a reflectivity of 44% in the visible light band is reported to be able to reduce solar heating, according to sources.<sup>54</sup> The reflectivity is always over 44% for the phases  $\text{Ti}_2\text{TlC}$  (11.67 eV),  $\text{Zr}_2\text{TlC}$  (12.44 eV), and  $\text{Hf}_2\text{TlC}$  (13.8 eV) and beyond that they are not maintained, which is an interesting observation. The results of  $R$  indicate that the visible (1.7–3.3 eV) and IR (1.24 meV–1.7 eV) regions cover energy over 44% up to 12.0 eV. The studied compounds may therefore be a strong candidate for use as a covering material to lessen solar heating. The reflectance spectra of all phases strategy are zero when the input photon energy is about 20 eV.

The loss spectrum ( $L$ ), which may also be interpreted using the dielectric function, is defined as the amount of energy lost by the photon during light transmission through the materials. Figure 5(h)

depicts the estimated values of  $L$  for each phase. Up to 10 eV, none of the examined chemicals exhibit any loss. In the 14–14.5 eV energy range, the loss peaks were discovered.

The peaks are more pronounced in the energy region between 0 and 1 where the dielectric function occurs. The plasma frequency,  $\omega_p$ , which is determined to be at 14.0, 14.0, and 14.5 eV for the substances  $Ti_2TiC$ ,  $Zr_2TiC$ , and  $Hf_2TiC$ , respectively, is linked to the loss peaks.

#### IV. CONCLUSIONS

The thermal and optical feature, electronic charge density, Mulliken bond overlap population, Vickers' hardness, and dynamic stability of 211 MAX phases  $M_2TiC$  ( $M = Ti, Zr, Hf$ ) were investigated using first-principles pseudopotential simulations. To evaluate the accuracy of our computations, the compounds' structural, elastic, and electrical properties are reviewed. The examined lattice parameters, elastic constants, and  $C_{ij}$ , such as the polycrystalline elastic constants, were consistent with previous findings and fulfilled the traditional mechanical stability requirements. The ratios Pugh and Poisson support the brittleness of the compounds found in nature. Calculations and analyses have been done on the electronic band formation, DOS, Mulliken atomic, electron charge density locating and bond overlap populations. Since  $Ti_2TiC$  has greater elastic moduli than the other compounds tested, it exhibits a higher anisotropic index than the others. Since the valence and conduction bands overlap with  $E_F$ , no bandgaps are seen, which means the compounds are metallic. The examined phases' electronic orbitals  $Ti-3d$ ,  $Zr-4d$ ,  $Hf-5p$ ,  $5d$ , and  $Tl-6p$  considerably contribute to the electronic conduction that affects the physical properties. For the phases, the findings of DOS at  $E_F$  were discovered to be 3.0, 2.55, and 2.09 states per eV. According to the electronic charge mapping, strong covalent bonds are created between  $Ti$ ,  $Zr$ , and  $Hf$  with  $Tl$  states, while weak covalent bonds are created between  $Ti$ ,  $Zr$ , and  $Hf$  with  $Tl$  states. These strong covalent bonds are raised from the best hybridization of  $Ti-3d$ ,  $Zr-4d$ , and  $Hf-5d$  with  $Tl-6p$  and  $C-2p$  electrons at the Fermi level. The phases of  $Ti_2TiC$ ,  $Zr_2TiC$ , and  $Hf_2TiC$  compounds, respectively, have Vicker's hardness values of 2.18, 1.61, and 2.60 GPa, indicating a moderately hard nature to the materials. The phonon dispersion curves show no evidence of negative frequency, and as a result, the examined substances are assumed to be dynamically stable. The Debye temperatures for the phases of  $Ti_2TiC$ ,  $Zr_2TiC$ , and  $Hf_2TiC$  are discovered to be 440, 369, and 334 K, respectively. The static values of  $n(0)$  are determined to be 20.8, 20.87, and 19.8, respectively, with the primary peaks for the phases  $Ti_2TiC$ ,  $Zr_2TiC$ , and  $Hf_2TiC$  emerging at 0.76, 0.80, and 0.96 eV, suggesting that the materials might be utilized to create optoelectronic appliances. The values of  $R$  reveal that the visible and IR regions (1.24 meV–1.7 eV) cover above 40% of the energy up to 12.0 eV (1.7–3.3 eV). As a result, the investigated compounds represent a promising possibility for actual application as a covering material to lessen solar heating.

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#### AUTHOR DECLARATIONS

##### Conflict of Interest

The authors have no conflicts to disclose.

##### Author Contributions

**M. Sohel:** Conceptualization (equal); Data curation (equal); Investigation (equal); Methodology (equal); Software (equal); Writing – original draft (equal); Writing – review & editing (equal). **M. M. Uddin:** Conceptualization (equal); Supervision (equal); Writing – original draft (equal); Writing – review & editing (equal). **M. A. Ali:** Writing – review & editing (supporting). **M. M. Hossain:** Writing – review & editing (supporting). **A. K. M. A. Islam:** Writing – review & editing (supporting). **S. H. Naqib:** Writing – review & editing (supporting).

##### DATA AVAILABILITY

The data that support the findings of this study are available within the article.

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