COMPUTATIONAL STUDY OF STRUCTURAL, ELASTIC, ELECTRONIC, AND OPTICAL PROPERTIES OF SULFUR SUBSTITUTED PEROVSKITES, ATaO3-xSx

(A = K, Rb, Cs)



By

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CERTIFICATION

The thesis titled "COMPUTATIONAL STUDY OF STRUCTURAL, ELASTIC, ELECTRONIC, AND OPTICAL PROPERTIES OF SULFUR SUBSTITUTED PEROVSKITES, ATaO_{3-x}S_x (A = K, Rb, Cs)", submitted by **Hasina Akter**, Roll No. 20MSPHY002F, Session: 2020-2021 has been accepted as satisfactory in partial fulfilment of the requirement for the degree of Master of Science in Physics on 30 May 2024.

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Dedication

I dedicate this thesis to my beloved parents, who brought me into this world and nurtured me from childhood to the person I am today.

List of Publications

Journal Article

- 1. Akter H, Hossain MM, Uddin MM, Naqib SH, Ali MA. Effects of S substitution on the structural, optoelectronic, and thermomechanical properties of KTaO₃ through density functional theory. Journal of Physics and Chemistry of Solids 2024;190:112021. https://doi.org/10.1016/j.jpcs.2024.112021.
- 2. Akter H, Ali MA, Hossain MM, Uddin MM, Naqib SH. Oxysulfide perovskites: reduction of the electronic band gap of RbTaO₃ by sulfur substitution. Physica Scripta 2024;99:045950. https://doi.org/10.1088/1402-4896/ad31f3.

Conference

- 1. Structural phase transition and the effect of Sulfur substitution on the physical properties of KTaO₃: a DFT insights. H. Akter, M. A. Ali, M. M. Hossain, M. M. Uddin, S. H. Naqib. 5th International conference on "Physics for Sustainable Development & Technology (ICPSDT-2023)" 7-8 September 2023; organized by Department of Physics, CUET.
- 2. Effect of S Substitution on the Physical Properties of RbTaO₃. H. Akter, M. A. Ali, M. M. Hossain, M. M. Uddin and S. H. Naqib. (National Conference on Physics -2023) 9-11 March, 2023; organized by Bangladesh Physical Society.

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This is to certify that Hasina Akter bearing roll number 20MSPHY002F has carried out this research work under my/our supervision and that she has fulfilled the relevant Academic Ordinance of the Chittagong University of Engineering and Technology so that she is qualified to submit the following Thesis in the application for the degree of MASTER OF SCIENCE in PHYSICS. Furthermore, the Thesis complies with the PLAGIARISM and ACADEMIC INTEGRITY regulations of CUET.

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May 2024 The Author

(Hasina Akter)

Abstract

In this study, the effects of sulfur substitution on the structural, mechanical, electronic, optical, and thermodynamic properties of ATaO_{3-x}S_x have been investigated using the WIEN2k code in the framework of Density Functional Theory (DFT). The cubic phase of ATaO₃ (A= K, Rb and Cs) transforms to tetragonal for ATaO₂S and ATaOS₂, the latter transforms again to a cubic phase with added sulfur for ATaS3. The results indicated a notable reduction in the band gap upon substituting S for O anions in ATaO₃. Specifically, KTaO₃ exhibited a band gap of 3.57 eV, which subsequently decreased to 1.808 eV, 0.264 eV, and 0.078 eV for KTaO₂S, KTaOS₂, and KTaS₃, respectively. Similarly, RbTaO₃ exhabit a reduction in its band gap from 2.717 eV to 1.438 eV, 0.286 eV, and 0.103 eV for RbTaO₂S, RbTaOS₂, and RbTaS₃ compounds. CsTaO₃ had an initial band gap of 3.076 eV, which decreased to 0.909 eV, 0.376 eV, and 0.143 eV for CsTaO₂S, CsTaOS₂, and CsTaS₃ compounds, respectively. Furthermore, these compounds have displayed promising optical characteristics characterized by high absorption coefficients (~106 cm⁻¹), minimal reflectivity (<30%), and robust optical conductivity within the visible spectrum, making them ideal candidates for a range of optoelectronic technologies. Our comprehensive investigation has reinforced the stability of all computed phases, showcasing exceptional electronic, mechanical, and optical properties, including semiconducting behavior, ductility, anisotropy, absorptivity, and low reflectivity. The altered band gap and optical features observed in KTaO₂S and RbTaO₂S suggest significant potential for their utilization in solar cells, offering promising prospects for enhancing solar energy conversion efficiency. Additionally, the elevated lattice thermal conductivity observed in KTaO₃, RbTaO₃, and CsTaO₃ indicates their potential as promising candidates for heat sink materials.

বিমূৰ্ত

এই গবেষণায়, ATaO3 এর structural, mechanical, electronic, optical, thermodynamic বৈশিষ্ট্যের উপর S-প্রতিস্থাপনের প্রভাব, Density Functional Theory (DFT) এর ভিত্তিতে WIEN2k কোড ব্যবহার করে পর্যবেক্ষণ করা হুয়েছে। ATaO3 (A= K, Rb এবং Cs) এর cubic পর্যায়টি ATaO₂S এবং ATaOS₂ এর জন্য tetragonal-এ রূপান্তরিত হয়, যা আরো S- প্রতিস্থাপনে (ATaS₃) পুনরায় cubic-এ রূপান্তরিত হয়। ফলাফলগুলো ATaO3 এ O anions-এর জন্য S প্রতিস্থাপন করার পরে band gap একটি উল্লেখযোগ্য হ্রাস প্রদর্শন করে। বিশেষভাবে, KTaO3 -এর band gap 3.57 eV প্রদর্শন করেছে, যা পরবর্তীতে KTaO₂S, KTaOS₂ এবং KTaS₃-এর জন্য যথাক্রমে 1.808 eV, 0.264 eV, এবং 0.078 eV-এ কমে এসেছে। একইভাবে, RbTaO3 এর জন্য, RbTaO2S, RbTaOS2, এবং RbTaS3 যৌগগুলোর জন্য band gap 2.717 eV থেকে 1.438 eV, 0.286 eV, এবং 0.103 eV-এ হ্রাস প্রেয়েছে৷ CsTaO3-এর প্রাথমিক band gap ছিল 3.076 eV, যা CsTaO₂S, CsTaOS₂ এবং CsTaS₃ যৌগের জন্য যথাক্রমে 0.909 eV, 0.376 eV এবং 0.143 eV- এ কমেছে। অধিকন্তু, এই যৌগগুলো high optical absorption coefficient (~106 cm), low optical reflectivity (<30% এর মধ্যে) এবং high optical conductivity দ্বারা আমাদের গবেষণাকৃত যৌগগুলোর, অপটোইলেকট্রনিক ক্ষেত্রে ব্যবহারের জন্য উপযুক্ততা প্রদর্শন করে। আমাদের বিস্তৃত অনুসন্ধান যৌগগুলোর stability, electronic, mechanical এবং optical বৈশিষ্ট্যপুলো প্রদর্শন করে, যার মধ্যে রয়েছে semiconducting behavior, brittleness, anisotropy, high optical absorption coefficient এবং low optical reflectivity । KTaO2S এবং RbTaO2S তে পরিবর্তিত band gap এবং optical বৈশিষ্ট্যগুলো সৌর কোষে তাদের ব্যবহারের সম্ভাবনা, সৌর শক্তি রূপান্তর দক্ষতা বাড়ানোর সক্ষমতা নির্দেশ করে। অতিরিক্তভাবে, KTaO₃, RbTaO₃, এবং CsTaO₃ এ high lattice thermal conductivity, এদের heat sink materials হিসেবে ব্যবহারের জন্য সম্ভাবনা নির্দেশ করে।

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Abbreviations

AE All-Electron

AO Atomic Orbital

BF Block Function

BO Born –Oppenheimer

BZ Brillouin Zone

CO Crystal Orbital

DF Density Functional

DFPT Density Functional perturbation Theory

DFT Density Functional Theory

DOS Density Of States

ECP Effective Core Potential

eV Electron Volts

GGA Generalized Gradient Approximation

GPa Giga Pascals

GS Ground State

GSES Ground States Electronic Structure

GTOs Gaussian Type Functions

HF Hartree-Fock

IR Infrared

KS Kohn and sham

LCAO Linear Combination of Atomic Orbital

LDA Local Density Approximation

LO Longitudinal Optical

PBE Perdew, Burke, and Ernzerhof

PDOS Projected Density of States/ Partial Density of States

PP Pseudopotential

PWPP Plane Wave Pseudopotential

QST Quadratic Synchronous Transit

RPBE Revised Perdew-Burke-Ernzerhof

SAW Surface Acoustic Wave

SCF Self-Consistent Field

STOs Slater Type Orbitals

TO Transverse Optical

Tb-mBJ Tran and Blaha modified Becke-Johnson potential

XC Exchange Correlation

XRD X-Ray Diffraction

Chapter 1: INTRODUCTION

1.1 Background

Perovskite compounds, which take their name from the Russian mineralogist Lev Perovski, have become central subjects of extensive research in materials science over the past few decades [1]. Their ABX₃ crystal structure, where A, B represent cations and X are anions, presents a unique foundation for their versatile properties and potential applications across diverse scientific and technological fields. Perovskites' intriguing crystal structure, characterized by a network involving sharing corners BX6 octahedra with an A-site cation at the center, allows for remarkable structural flexibility and a wide range of tunable properties. Originating from Lev Perovski's discovery of the mineral perovskite in the early 19th century, the understanding and synthesis of perovskite materials gained momentum in the mid-20th century, leading to profound insights and numerous applications [2,3]. One of the distinguishing features of perovskite compounds is their ability to substitute elements at the A, B, and X sites, enabling precise control over their composition, morphology, and crystallinity. Various synthesis methods, incorporating solid-state reactions together with sol-gel scheme, and solution-based techniques like the perovskite precursor solution method, have been employed to tailor these materials according to specific requirements [4].

Several oxide perovskites, including NaTaO₃, KTaO₃, BaZrO₃, SrTiO₃, BaTiO₃, CaTiO₃, LaFeO₃, EuAlO₃, and YMnO₃, have exhibited favorable characteristics such as optical transparency, electrical conductivity, structural stability, and thermal properties. These materials have found utility in various domains, including energy harvesting,

electronics, catalysis, sensing, and information storage. Notably, alkaline oxide perovskites like KTaO₃, RbTaO₃, and CsTaO₃ have garnered considerable attention due to their excellent thermal characteristics and electrical band gaps that fall within the visible region of the electromagnetic spectrum. These attributes make them particularly well-suited for applications in optoelectronics and photovoltaics [5-16]. Oxide perovskite materials, specifically ATaO₃ (A= K, Rb, Cs), present a formidable challenge for effective solar energy utilization due to their wide band gaps—KTaO3 at 3.64 eV, RbTaO₃ at 2.75 eV, and CsTaO₃ at 3.15 eV [17,18]. These characteristics result in limited absorption of solar irradiation, particularly in the UV range. There is an imperative need to narrow their band gaps to unlock the solar energy potential of ATaO₃ [A = Rb, K, Cs] compounds. A promising avenue to achieve this involves the introduction of suitable anions at the oxygen (O) sites within the oxide perovskite structure. While traditional cation doping reduces the conduction band-edge potential, anion dopants at the O-sites present a novel and potentially more effective approach. Among these anion dopants, sulfur (S) emerges as a highly promising candidate due to its electron configuration resembling oxygen. By substituting O sites with S, ATaO₃ [A = Rb, K, Cs], compounds can transform, resulting in a shifted valence band edge and a remarkable band gap reduction by approximately 1.4 eV, all without introducing undesirable holes or defects. This innovative strategy finds support in recent theoretical discoveries. It draws parallels to the success of S-doped titanium dioxide (TiO₂), renowned for its capability to split water into hydrogen (H₂) and oxygen (O₂) [19]. Previous research has illuminated the efficacy of S-doping in reducing band gaps and enhancing visible-light activity in various perovskite materials, including sulfur-doped strontium titanate (SrTiO₃), barium titanate (BaTiO₃), and sodium tantalate (NaTaO₃) [20]. These studies have paved the way for investigating the reduction of the band gap in ATaO₃ through S doping, aiming to improve its solar energy utilization.

Our primary goal is to decrease the band gap of the previously synthesized Perovskite compound ATaO₃ [A = Rb, K, Cs]. Why is reducing the band gap essential? We are exploring applications of S-containing perovskites, aiming for their suitability in solar cell technology. Referring to the seminal work of W. Shockley and H. J. Queisser [21], achieving the highest solar cell efficiency requires a material with a band gap of around 1.43 eV. Reducing the band gap is vital for improving the electrical conductivity of semiconductor materials. With this goal in focus, our objective is to decrease the band gap of the specified oxide perovskite ATaO₃ by introducing anion doping.

1.2 Context

The versatility of perovskite materials and their diverse electronic and optical attributes make them promising candidates for various applications. While various oxide perovskites have already demonstrated favorable properties, including BaTiO₃, NaTaO₃, BaZrO₃, SrTiO₃, KTaO₃, LaFeO₃, CaTiO₃, EuAlO₃, and YMnO₃, their band gaps may need modification to meet the requirements of specific applications. This study focuses on S-doping to reduce band gaps and enhance the suitability of RbTaO₃, KTaO₃, and CsTaO₃ for solar cells, aligning with current research trends in materials science and energy technology.

1.3 Aims and Objectives

Aim: We intend to study the effect of S-substitution on the physical properties of oxide perovskite ATaO_{3-x}S_x compounds [A = Rb, K, Cs]. The primary aim of this study is to reduce the band gap of oxide perovskite compounds, including RbTaO₃, KTaO₃, and CsTaO₃, through anion doping with sulfur (S). This reduction in band gap is sought to make these materials suitable for solar cell technology applications. Achieving a band gap of approximately 1.43 eV is critical for maximizing the efficiency of solar cells, as demonstrated by the work of W. Shockley and H. J. Queisser [21].

Objectives:

- 1. Investigation of the crystalline substance and determination of the lattice parameters of ATaO_{3-x}Sx perovskite compounds using Wien2K [22] based on Density Functional Theory (DFT) [23].
- 2. Verification of the phase stability by calculating formation energy, phonon dispersion curves, and elastic constants.
- 3. Study of the electronic band structure and density of states of ATaO_{3-x}S_x [A = Rb, K, Cs] compounds, with the aim of reducing the band gap in RbTaO₃, KTaO₃, and CsTaO₃ through S doping to enhance their suitability for solar cell applications.
- 4. Analyzing the optical properties of ATaO_{3-x}S_x [A = Rb, K, Cs] perovskite compounds, including their light absorption capacity, reflectivity spectra, and photoconductivity, to gain insights into their performance in optoelectronic devices.
- 5. Evaluation of the mechanical properties of ATaO_{3-x}S_x [A = Rb, K, Cs] compounds and their elastic anisotropic behavior to assess their structural integrity and reliability.
- 6. Exploration of the thermal characteristics of ATaO_{3-x}S_x [A = Rb, K, Cs] compounds to comprehend their behavior under varying temperature conditions and their suitability for heat-seeking technology.

1.4 Significant Scope and Defination:

This thesis explores paramount significance, focusing on the transformative potential of sulfur (S) doping to reduce band gaps in oxide perovskite compounds, specifically KTaO₃, RbTaO₃, and CsTaO₃. The goal is to enhance their viability for advanced solar cell applications, aligning with the pioneering work of W. Shockley and H. J. Queisser [21]. The investigation encompasses a multifaceted approach, delving into the crystal

structure, phase stability, electronic properties, optical attributes, mechanical behaviors, vibrational dynamics, and thermal characteristics of these materials. The strategic reduction of the band gap, orchestrated through S substitution, holds the promise of maximizing the efficiency of solar cells, making this research a significant contribution to materials science and renewable energy technology.

1.5 Thesis Outline:

This thesis is organized into several chapters to present the research and its findings systematically. The outline of the thesis is as follows:

Chapter 1 (Introduction): The significance of perovskite structures in science and technology, focusing on band gap modification in semiconductor materials. We introduce the core investigation S doping in oxide perovskite compounds for optoelectronic applications.

Chapter 2 (Literature Review): A comprehensive review of relevant literature on perovskite materials, their properties, and the impact of anion doping on band gaps and conductivity.

Chapter 3 (Methodology): Details the computational methods and techniques used to study S-doped RbTaO₃, KTaO₃, and CsTaO₃, including density functional theory (DFT) calculations.

Chapter 4 (Results and Discussion): Demonstrates the results of the DFT-based calculations, including changes in band gaps, electronic structures, and thermal conductivity, and discusses their implications.

Chapter 5 (Conclusion): This thesis summarizes research findings, emphasizing their significance in advancing our understanding of ATaO₃ perovskite compounds. It highlights the contribution to the exploration of their characteristics and potential applications. Furthermore, this chapter include the future research directions and recommendations for further exploration.

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Chapter 2: LITERATURE REVIEW

2.1 General

The investigation of S-substituted or doped perovskite materials to modulate larger band gaps has emerged as a burgeoning area of study within advanced materials science. Perovskite materials, characterized by their distinctive electronic and optical properties, have captured significant attention and hold great promise for diverse applications in optoelectronics. Among these applications, perovskite materials are being explored for their potential in solar cells, light-emitting diodes, and photodetectors. Introducing sulfur substitution or doping within perovskite structures offers an enticing avenue to engineer and fine-tune their band gaps. Such endeavors aim to reduce band gaps and enhance these materials' stability and overall performance characteristics. This literature review aims to comprehensively summarize key findings, challenges, and prospects in the dynamic S-substituted or doped perovskite materials field.

2.2 Historical Background

Perovskite compounds have garnered considerable interest in recent times owing to their outstanding properties and wide-ranging applications. This literature review briefly overviews the latest progress and significant discoveries in perovskite materials. Within the realm of photovoltaics, hybrid organic-inorganic perovskite solar cells have arisen as a disruptive technology, showcasing impressive power conversion efficiencies surpassing 25.7% [1]. Their notable attributes, such as high absorption coefficients, adjustable bandgaps, and straightforward fabrication processes, position perovskite solar cells as promising contenders for efficient conversion of solar energy

at a low cost [2,3]. Ongoing research endeavors primarily concentrate on enhancing device stability and scalability and elucidating degradation mechanisms to expedite their commercial adoption.

Furthermore, perovskite compounds have transcended their utility in photovoltaics and found applications in other domains. In light-emitting diodes (LEDs), perovskite-based emitters exhibit remarkable color purity, customizable emission wavelengths, and exceptional brightness, rivaling traditional semiconductor materials. This has prompted extensive investigations into perovskite LEDs for applications in displays, lighting, and various optoelectronic fields [4,5]. Table 2.1 provides an overview of some previously explored perovskite compounds and their corresponding methodologies.

Table 2.1: Some previously studied Perovskite compounds, along with their band gaps.

Compounds	Methodology	Band Gap Eg	Reference
		(eV)	
CsSnCl ₃	Experimentally	2.9	[6]
CsSnBr ₃	Experimentally	1.3	[7]
CsSnI ₃	Experimentally	1.8	[8]
SrNbO ₃	Experimentally	1.9	[9]
MAPbI ₃	Experimentally	1.5	[10]
MAPbBr ₃	Experimentally	2.39	[11]
CsPbCl ₃	DFT	2.82	[12]
FASnI ₃	Experimentally	1.27	[13]

The table shows that certain compounds exhibit wide band gaps, while others display band gaps within the visible range. This diversity in band gaps suggests that perovskite compounds are well-suited for various optoelectronics applications.

A literature review on S-substituted or doped perovskite materials for tuning larger band gaps reveals a growing body of research in advanced materials science. Perovskite materials have captured significant attention owing to their distinct electronic and optical characteristics, positioning them as promising contenders for a range of optoelectronic uses such as solar cells, photodetectors and light-emitting diodes. The introduction of sulfur substitution or doping in perovskite structures provides an encouraging pathway to tailor the band gap, improve stability, and enhance the performance of these materials. This review summarizes key findings, challenges, and prospects in this area [14–16].

The effect of chalcogen (S, Se, Te) doping in ATiO₃ (A =Ba, Ca, Sr) perovskites utilizing Density Functional Theory. It reveals that up to 7.5% of chalcogen doping results in reduced bandgap widths, preserving p-type semiconductor behavior. This is particularly notable in BaTiO₃ incorporating 2.5% and 5% Te doping, making it a more attractive option for photovoltaic applications than SrTiO₃Te and CaTiO₃Te [17]. The differences between sulfide perovskite BaZrS3 and its oxide counterpart BaZrO3. It notes that BaZrS₃ possesses a lower band gap, and neither material exhibits ferroelectric behavior at absolute zero. The study also investigates the role of atomic characteristics in influencing band gaps and dielectric responses [18]. Researchers synthesize sulfurdoped titanium dioxide (TiO₂) through the annealing process of titanium disulfide (TiS₂). This process shifts the TiO₂ absorption edge to lower energy regions. Theoretical analyses suggest that this shift is due to the interaction of S 3p states with the valence band, which offers opportunities for fine-tuning electronic properties in TiO₂ [19]. Density Functional Theory to assess the impact of sulfur doping in α -Fe₂O₃. It reveals that increased sulfur concentration progressively decreases the band gap, enhancing optical absorption within the visible spectrum. Notably, at around 5.6% sulfur concentration, the material exhibits an optimal direct band gap of around 1.45 eV,

exhibiting strong optical absorption and improved carrier properties, establishing α -Fe₂O_{3-x}S_x as a prospective and cost-effective material for solar cell applications [20].

Table 2.2: Effect of Sulfur substitution on previously studied Perovskite compounds.

Compounds	Methodology	Band Gap, Eg	Sulfur	Reference
		(eV)	substituted	
			or doped Eg(eV)	
BaZrO ₃	DFT	3.9	1.7	[18]
ZnTaO ₃	Experimentally	3.1	2.6	[21]
CaTiO ₃	DFT	3.2	1.8	[17]
BaTiO ₃	DFT	2.9	1.2	[17,22]
LiNbO ₃	DFT	4.2	2.8	[23]
NaTaO ₃	Experimentally	4.0	2.5	[24]
SrTiO ₃	DFT	3.5	1.4	[25,26]

The data in Table 2.2 demonstrates that the sulfur substitution significantly reduces the band gap of various perovskite materials. Specifically, when sulfur is introduced, the band gap of BaZrO₃ decreases from 3.90 eV to 1.7 eV, while ZnTaO₃ exhibits a reduction from 3.1 eV to 2.65 eV [21]. Similarly, for CaTiO₃, the band gap decreases from 3.2 eV to 1.8 eV [17], and BaTiO₃ experiences a band gap reduction from 2.9 eV to 1.2 eV [17,22]. A significant reduction in band gaps upon introducing sulfur substitution in LiNbO₃, NaTaO₃, and SrTiO₃ is also reported, where the band gap values was found to be decreased from 2.9 eV to 1.2 eV, 4.2 eV to 2.8 eV, and 4.0 eV to 2.5 eV, respectively [23, 24].

These findings highlight a consistent trend across different perovskite materials, where sulfur substitution lowers the band gap. This observation is supported theoretically

and experimentally, underscoring the efficacy of sulfur incorporation as a method for modifying the band gap in these materials. Such reductions in band gap hold significant implications for potential applications in electronic devices, photovoltaics, and optoelectronics, offering enhanced tunability and performance in these systems.

Previous studies have consistently reported our selected materials as possessing wide band gaps in the case of our selected compounds, namely RbTaO₃, KTaO₃, and CsTaO₃. We summarized the previously calculated data to further advance our understanding of these compounds and explored new, uncharted information.

Table 2.3: Summary of the previous studies on ATaO_{3-x}S_x compounds.

Compound	Structural	Mechanical	Optical	Electronic	Thermal	Ref.
KTaO ₃	Yes	Yes	Yes	Yes	Yes	[27,28]
RbTaO₃	Yes	Yes	Yes	Yes	Yes	[29,30]
CsTaO ₃	Yes	Yes	Yes	Yes	Yes	[30,31]
KTaO ₂ S	No	No	No	No	No	
RbTaO ₂ S	No	No	No	No	No	
CsTaO ₂ S	No	No	No	No	No	
KTaOS ₂	No	No	No	No	No	
RbTaOS ₂	No	No	No	No	No	
CsTaOS ₂	No	No	No	No	No	
KTaS ₃	No	No	No	No	No	
RbTaS₃	No	No	No	No	No	
CsTaS ₃	No	No	No	No	No	

The following data presents earlier findings on the absorption coefficient and optical reflectivity of various oxide perovskite compounds. Previous studies, including

analyses of absorption coefficient and optical reflectivity, have demonstrated a compelling property of oxide perovskite compounds: their remarkable ability to strongly absorb light in the visible spectrum while reflecting minimal amounts—these unique characteristics position oxide perovskites as prolific candidates for application as absorber materials in solar cells.

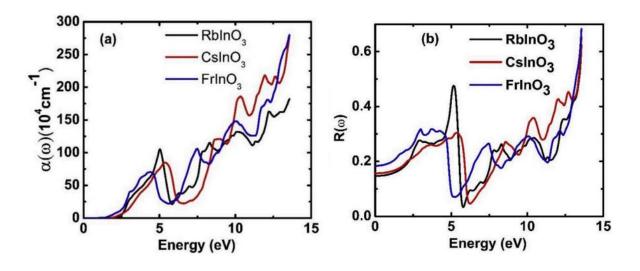


Fig 2.1 : Absorption Coefficient and Reflectivity of YInO₃ (Y = Rb, Cs, Fr) [32]

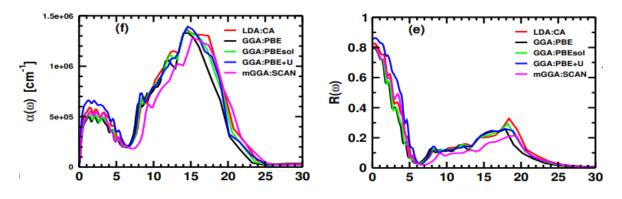


Fig 2.2: Absorption Coefficient and Reflectivity of MgZnO₃[33]

Previous studies, including analyses of absorption coefficient and optical reflectivity, have demonstrated a compelling property of oxide perovskite compounds: their remarkable ability to strongly absorb light in the visible spectrum while reflecting minimal amounts—these unique characteristics position oxide perovskites as prolific

candidates for application as absorber materials in solar cells. The exceptional light absorption potential, coupled with potential advantages like tunable bandgaps and high carrier mobilities, has spurred our investigation of KTaO₃ as a particularly promising candidate within the perovskite family.

2.3 Summary and Implications

Summary:

The literature review discusses the burgeoning field of S-substituted or doped perovskite materials, aiming to modulate larger band gaps. Perovskite materials have garnered considerable interest owing to their distinct electronic and optical characteristics, positioning them as promising candidates for optoelectronic applications like solar cells, LEDs, and photodetectors. Introducing sulfur substitution or doping within perovskite structures offers a promising avenue to engineer their band gaps, enhance stability, and improve overall performance characteristics. The review highlights key findings, challenges, and prospects in this area.

Additionally, the chapter presents various studies on the effect of sulfur substitution on perovskite compounds, theoretically and experimentally. It reveals a consistent trend across different materials, where sulfur substitution reduces band gap width. This reduction holds significant implications for potential applications in electronic devices, photovoltaics, and optoelectronics, offering enhanced tunability and performance in these systems.

Implications:

1. **Band Gap Engineering:** The ability to modulate band gaps through sulfur substitution opens up new possibilities for tailoring perovskite materials to suit specific applications, such as optimizing their performance in solar cells and LEDs.

- 2. **Enhanced Performance:** By reducing band gaps, sulfur substitution improves the absorption characteristics of perovskite materials, potentially leading to higher efficiencies in optoelectronic devices.
- 3. **Stability Improvement:** Incorporating sulfur may also enhance perovskite materials' stability, addressing one of the key challenges in their commercial adoption.
- 4. **Cost-Effectiveness:** Some sulfur-doped materials, such as α -Fe2O_{3-x}S_x, exhibit promising properties for solar cell applications and offering a cost-effective alternative to traditional semiconductor materials.
- 5. **Diverse Applications:** The wide range of perovskite compounds explored in the literature review underscores their versatility for various optoelectronic applications beyond photovoltaics, including LEDs and photodetectors.

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Chapter 3: THEORETICAL METHODOLOGY

3.1 Introduction

The present investigation employs a rigorous methodology to validate the firstprinciples calculations for oxide perovskites, specifically ATaO_{3-x}S_x [A = Rb, K, Cs], by utilizing the full-potential linear augmented plane wave (FP-LAPW) method, a robust approach founded on the principles of density functional theory (DFT) [1,2]. These calculations are executed using the widely recognized WIEN2k code [3], a dependable tool for computational materials science. The primary objectives of this methodology encompass the optimization of crystal structures, the computation of mechanical properties, and the determination of electronic and optical properties. The Generalized Gradient Approximation by Perdew-Burke-Ernzerhof (GGA-PBE) [4,5] is employed for crystal structure optimization to achieve these objectives. At the same time, the modified Becke-Johnson approach (TB-mBJ) [6] is used for electronic and optical property calculations. These computational tools provide a solid foundation for our research, ensuring reliable and accurate results. In the pursuit of precision, our methodology employs a substantial 10 × 10 × 10 mesh (1000 K-points) covering the primary Brillouin zone of reciprocal space. Stability is a critical consideration in our study, and to achieve this, we utilize FP-LAPW basis functions extended to RMT × Kmax = 6, RMT denotes the minimal radius of the muffin-tin spheres, while Kmax indicates the maximum magnitude of the k-vector in the expansions of plane wave. An energy convergence threshold of 10⁻⁵ Ry is set, and the charge density is Fourier extended to Gmax = 12. This level of detail ensures that our calculations are robust and trustworthy. Furthermore, we assess the density of states employing the tetrahedron method, a technique renowned for its precision in determining the electronic structure of materials. Various parameters are computed using the IRelast package [7] to evaluate the elastic properties, enhancing our understanding of the mechanical characteristics of the oxide perovskites under investigation. We determined the structural parameters by analyzing the relationship between energy and volume, fitting the data with the Burch-Murnaghan equation of state [8]. To investigate the crystal's vibrational characteristics, we calculated its phonon spectra using the finite displacement method within the PHONOPY code [9]. We utilized density functional perturbation theory within this code to derive phonon frequencies and force constant matrices [10]. We determine the force constants for the cubic phase by employing a supercell sized $(2 \times 2 \times 2)$. Within the tetragonal phase, our calculations involve both $(1 \times 1 \times 1)$ and $(2 \times 2 \times 2)$ supercells, involving atomic shifts of 0.01 Å along the lattice vectors throughout the computations. These phonon dispersion curves are instrumental in elucidating the vibrational properties and stability of the materials, adding to a thorough comprehension of their behavior. This chapter presents a brief description of the theories and tools used in this study.

3.2 WIEN2k code

The WIEN2k code, initially crafted by Karlheinz Schwarz and Peter Blaha, is a powerful tool for computations of electronic structure utilizing density functional theory. It employs the FP-LAPW (Full Potential Linearized Augmented Plane-Wave) method extensively [11]. It is renowned for its ability to handle various levels of theory, including LDA, meta-GGA, GGA, hybrid-DFT and LDA+U. Notably, it's an all-electron scheme capturing relativistic effects. When interfaced with Phonopy, WIEN2k extends its capabilities to perform phonon dispersion calculations [3]. It is coded in FORTRAN90 and offers Fine-grained parallelism implemented to efficiency on clusters or multi-core machines. The W2web interface on the web also facilitates input

generation and material modeling. Within WIEN2k, the self-consistent field (SCF) cycle can be run either with or without concurrent atomic position optimization.

3.3 PHONOPY

PHONOPY, developed by Atsushi Togo, is accessible as open-source tool for extending phonon at the gamma point calculations from first-principles software to complete phonon dispersion relations curves throughout Brillouin Zone (BZ) [12]. It operates within a supercell, and the precision of the phonon dispersion improves with a larger dimensions of the supercell. PHONOPY is compatible with ab initio tools like WIEN2K and VASP for force calculations. It supports both quasi-harmonic and harmonic approximations. Forces on atoms are used to create interatomic force constants (IFC) or force constants (FC) through methods like density functional perturbation theory. These constants are then used to calculate phonon frequencies via a dynamical matrix. VASP involves finite difference or DFPT methods to compute gamma-point phonon frequencies and interpolate them across high-symmetry k-points in the BZ to obtain complete phonon dispersion.

3.4 The Full Potential APW methods

A significant transition has occurred in the realm of electronic structure computations for crystalline materials. This journey has advanced from Slater's Augmented Plane Wave (APW) approach to the Linearized Augmented Plane Wave (LAPW) approach, and it has further evolved with the introduction of the innovative APW+lo technique, elucidated by Schwarz et al. in 2001 [3].

3.5 The LAPW method

The Linearized Augmented Plane-Wave method (LAPW) is a realization of the Kohn-Sham Density Functional Theory (DFT) tailored for periodic materials [13,14]. LAPW treats valence and core electrons equally within the framework of DFT and does not

employ shape approximations, encompassing charge density and the complete potential. This comprehensive approach is often termed the FLAPW (Full-Potential Linearized Augmented Plane-Wave) method, which accounts for all-electron interactions [15]. It distinguishes itself by not relying on pseudopotential approximations and utilizes a systematically expandable basis set. These characteristics establish LAPW as one of the most precise DFT implementations, applicable to all crystalline materials, regardless of their chemical composition.

3.6 Schrödinger equation

The Schrödinger equation, formulated by Erwin Schrödinger in 1926, is a fundamental concept in quantum mechanics, describing how the quantum states of a physical system evolve. It is often called a wave function or state vector and plays a crucial role in physics and mathematics. In mathematical terms, Schrödinger's equation and its variations find widespread applications in partial differential equations, including geometry, spectral theory, integral systems, and scattering theory [16].

There are two primary forms of the Schrödinger equation: the Schrödinger equation with time-dependent, which describes the progression of the system over time, and the time-independent Schrödinger equation, which is suitable for systems in a stationary state.

In a general quantum system, the Schrödinger equation accounting for time evolution is represented as:

$$\Psi(x,t) = -i\hbar \,\partial \, \Psi_{\frac{\partial t}{\partial t}}^{(x,t)} \tag{1}$$

Here, \hbar denotes the reduced Planck constant, $\Psi(x,t)$ is the wave function, and $\partial \Psi_{\partial t}^{(x,t)}$ represents the time derivative of the wave function.

When considering a solitary particle within a potential, the time-independent Schrödinger equation manifests in the following manner:

$$\left[\left(-\frac{\hbar^2}{2m}\right)\nabla^2 + V(x)\right]\mathcal{Y}(x) = E\mathcal{Y}(x) \tag{2}$$

Where, the symbol m refers to the mass of the particle, V(x) signifies the time-independent potential energy, ∇^2 represents the Laplacian operator and E denotes the energy of the particle.

Depending on the physical context, one can solve the Schrödinger equation in various dimensions, such as three-dimensional, two-dimensional or one-dimensional systems. In each case, the equation describes the quantum behavior of particles and provides solutions that help understand their energy levels and behavior. In summary, the Schrödinger equation is a foundational concept in quantum mechanics that describes the behavior of physical systems and is essential for understanding the quantum states of particles in various dimensions.

3.7 The Density Functional Theory

In the 1960s, Density Functional Theory (DFT) emerged as an alternative to the computationally intensive Hartree-Fock method. DFT is a approach for determining the properties of many-electron systems by utilizing functionals that depend on the spatial distribution of electron density instead of wavefunctions. Its roots originates from the 1927 Thomas-Fermi model, which proposed an approximately even distribution of electrons within an atom [17,18]. Yet, this model suffered from inaccuracies due to overlooked electron correlation and exchange energy. Density Functional Theory's groundwork was reinforced by the 1964 publication of the Hohenberg-Kohn theorems, providing a more rigorous theoretical framework [2].

3.7.1 The Hohenberg–Kohn Theorems

During the 1960s, the development of Density Functional Theory (DFT) offered a method to compute a system's total energy while considering exchange and correlation effects. This groundbreaking work was attributed to Pierre Hohenberg and Walter Kohn [2], who established in 1964 that the electronic energy in the ground state was exclusively determined by the electronic density, denoted as $\varrho(r)$. This development led to the formulation of two pivotal theorems:

Theorem 1: The total energy E_{total} and the external potential $V_{ext}(r)$, are both functional expressions of the electron density $\varrho(r)$.

Theorem 2: The lowest energy state of the system is achieved only when the electron density is in its ground state configuration. In simpler terms, any other energy associated with a random electron density is higher than the energy corresponding to the ground-state density:

$$E_{GS}[\rho(r)] \ge E_{GS}[\rho_{GS}(r)] \tag{3}$$

Here, the "GS" represents the ground state. In contrast, $[\rho(r)]$ represents the system's energy calculated with a random electron density, while $E_{GS}[\rho_{GS}(r)]$ is the minimum energy attainable with the ground-state electron density. To streamline subsequent expressions, we omit the "GS" subscript. As a result, the energy function, under the Born-Oppenheimer approximation, can be formulated as:

$$E[\rho(r)] = T[\rho(r)] + V_{ee}[\rho(r)] + V_{en}[\rho(r)]$$
(4)

In this equation, $[\rho(r)]$, $V_{ee}[\rho(r)]$ and $V_{en}[\rho(r)]$ represent the kinetic energy, electron-electron interaction energy, and electron-nuclear interaction energy, respectively. When we isolating the energy originating from the interaction between electrons and

the external potential, the total power can be expressed as a function of the electron density: $E[\rho(r)] = F_{HK}[\rho(r)] + \int \rho(r)V_{ext}(r)dr$ (5)

3.7.2 The Kohn-Sham Equations

The Hohenberg-Kohn Theorems state that finding the total energy starts with identifying the density in the lowest energy state of the system. Yet, the exact link between energy and density remains unknown. In 1965, Kohn and Sham [19] devised a technique relying on the one-electron Schrödinger equation. This method helps ascertain the density (Q) using equations considering correlation and exchange effects. Their key equation simplifies the complex wave function of many electrons into a solitary Slater determinant made up of one-electron wavefunctions.

$$\left(-\frac{\hbar^2}{2m}\,V^2 + \upsilon_{eff}(r)\right)\varphi_i(r) = \varepsilon_i\varphi_i(r) \tag{6}$$

In this equation, $v_{eff}(r)$ is referred to as the Kohn-Sham potential, embodying the effective external potential governing the motion of non-interacting particles. $\varphi_i(r)$ denotes the Kohn-Sham orbital function, the Kohn-Sham orbital function, with ε_i representing the eigenvalue associated with the respective Kohn-Sham orbital, indicative of its energy. The electron density calculation is expressed by the following equation:

$$\rho(r) = \sum_{i=1}^{N} \left| \phi_i(r) \right| \tag{7}$$

Here, N represents the quantity of electrons within the system. The expression for $v_{eff}(r)$ can be presented as:

$$v_{eff}(r) = E_{ff}(r) + e^2 \int \frac{\rho(r')}{|r - r'|} dr' + V_{xc}(r)$$
(8)

The total energy, considering the Kohn-Sham external field, can be represented as follows:

$$E(\rho) = T(\rho) + E_{ee}(\rho) + \int v_{eff}(r)\rho(r)dr + V_{xc}(\rho)$$
(9)

In this context, $T(\rho)$ represents the kinetic energy of non-interacting electrons, $E_{ee}(\rho)$ signifies the interaction energy among electrons, $v_{eff}(r)$ is the external potential as specified earlier, and $V_{xc}(\rho)$ stands for the exchange-correlation potential, which can be expressed as:

$$V_{xc} = \frac{\delta E_{xc}(\rho)}{\delta \rho(r)} \tag{10}$$

 $E_{xc}(\rho)$ consists of four terms: the Kinetic functional, the Coulomb functional, the Correlation functional and the Exchange functional. Since some components of DFT cannot be known precisely approximations are introduced. Therefore, a proper approximation of the $E_{xc}(\rho)$ term results in a method that is both efficient and accurate, such as the Generalized Gradient Approximation (GGA), the Local Density Approximation (LDA), both widely accepted in modern DFT research.

To solve Kohn-Sham equations iteratively for a many-body system, one must define the exchange-correlation and Hartree potentials, which depend on the electron density. Initially, a trial density is used, and after each iteration, the electron density is updated based on the resulting single-electron wave functions. This process continues until the electron density converges within a chosen criterion. The converged density serves as the ground state, enabling calculating the system's total energy.

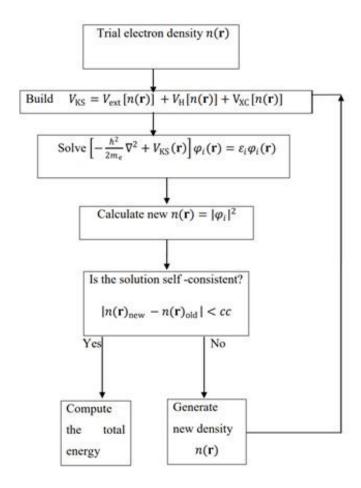


Figure 3.1: Illustration of how the self-consistent field (SCF) process works to solve Kohn-Sham equations using a chosen convergence criterion.

3.8 Approximations for the Exchange-Correlation Energy

The Hohenberg, Sham, and Kohn approach offer a precise option to the Schrödinger equation for determining the ground-state energy. However, the primary source of error in density functional theory (DFT) typically stems from the exchange-correlation function (EXC) approximations. This function can only be derived strictly for the homogeneous electron gas, where the electron density is constant $\rho(\vec{r}) = C$, a rare occurrence in real materials. Variations in electron density govern essential properties like chemical bonds. The search for suitable functionals is a significant endeavor in condensed matter physics, with four primary generations of correlation and exchange

approximations: (i) gradient-corrected or generalized gradient approximation (GGA), (ii) hybrid functional, (iii) meta-GGA, and (iv) Local Density Approximation (LDA), which incorporate a segment of precise exchange from Hartree-Fock theory into the exchange-correlation term of DFT.

3.8.1 Generalized Gradient Approximation (GGA)

The Generalized Gradient Approximation (GGA) goes beyond density and considers density gradients to enhance the accuracy of ground-state energies and molecular geometries. This expression with spin is denoted as:

$$E_{XC}^{GGA}(\rho(r)) = \int \rho(r) \varepsilon_{XC}(\rho(r_{\uparrow}, r_{\downarrow}, \nabla r_{\uparrow}, \nabla r_{\downarrow})) dr$$
(11)

As theoretical advancements have progressed, various GGA functions like PBE [5] and PW91[20] have been developed to reduce errors. While GGA mitigates underestimations, it still has shortcomings, such as overestimating lattice constants for heavy elements and underestimating band gaps. Striking a balance between computational efficiency and accuracy, the Perdew-Burke-Ernzerhof (PBE) approach incorporating GGA functionality is widely regarded as the most appropriate approach for electronic structure calculations in this context.

3.8.2 Hybrid Functionals

Becke introduced the hybrid methodology in 1993 [21], enriches Density Functional Theory (DFT) by incorporating an exchange component from Hartree-Fock theory into the exchange-correlation term. This hybridization with Hartree-Fock exchange offers a straightforward enhancement for calculating various feature in molecular scheme, including atomization energies, vibration frequencies, and bond lengths, which often need to be more adequately described by basic DFT functionals. Correlation functional and hybrid exchange and can be expressed as:

$$E_{XC}^{hybrid} = E_C^{DFT1} + \alpha E_X^{HF} + (1 - \alpha) E_X^{DFT2}$$
(12)

Here, DFT1 and DFT2 may be functional from LDA or GGA schemes, and the parameter α weights the contribution of Hartree-Fock (HF) and DFT. Additional widely-used parameter-free hybrid functional encompass PBE₀ and Heyd-Scuseria-Ernzerhof (HSE) [22]. These functionals differ in their selection of the exchange-correlation DFT functional term and mixing parameter α .

3.8.3 TB-mBJ

One significant challenge in KS-DFT is its failure to predict the band gaps of insulators and semiconductors precisely. Both LSDA and GGA fall short of providing an accurate portrayal of the band structure in systems with strong correlations. A new functional called the Tran-Blaha modified Becke-Johnson exchange potential (TB-mBJ) was introduced to address this issue. TB-mBJ has been successfully incorporated into the WIEN2k code, offering a solution to improve band gap predictions in these materials [23].

3.9 Volume Optimization in Wien2k: Unveiling Material Properties

Volume optimization in Wien2k is a crucial tool rooted in density functional theory (DFT) for manipulating a material's characteristics by adjusting its unit cell volume. This method allows researchers to systematically investigate the impact of volume changes on electronic structure, energy levels, and stability. The software can determine the equilibrium volume at which the material is most stable by computing the total energy across various volumes while keeping atomic positions constant. This information is essential for understanding phase transitions, structural stability, and electronic properties under varying conditions. The applications of volume optimization are diverse, spanning from understanding pressure-induced phase changes to enhancing material properties in fields like semiconductors and

photovoltaics. Overall, volume optimization in Wien2k serves as a powerful approach to unveil the intricate relationship between unit cell volume and material behavior, driving progress in various scientific domains.

3.10 Mechanical Properties

Three essential accepted elastic stability criteria exist for cubic crystals [24].

$$C_{11}+2C_{12}>0, C_{44}>0, C_{11}-C_{12}>0$$
 (13)

The six independent elastic constants acquired for the tetragonal crystal, namely C_{11} , C_{12} , C_{13} , C_{33} , C_{44} , and C_{66} , validate the elastic stability criteria. The spinodal, shear, and born criteria are employed in conjunction with the bulk, shear, and tetragonal shear moduli, respectively. The following expressions represent them [25].

$$C_{11} - C_{12} > 0 ag{14}$$

$$C_{11} + C_{33} - 2C_{13} > 0 ag{15}$$

$$C_{11}>0, C_{33}>0$$
 (16)

$$C_{44} > 0, C_{66} > 0$$
 (17)

$$2C_{11} + C_{33} + 2C_{12} + 4C_{13} > 0 (18)$$

$$\frac{1}{3}(C_{11} + 2C_{13}) < B < \frac{1}{3}(C_{11} + 2C_{33}) \tag{19}$$

In tetragonal crystals, the resistances to linear compression are measured by C_{11} and C_{33} along the x and z directions, respectively [26].

The bulk modulus and the shear modulus in Hill's average for cubic [27] and tetragonal [28] systems are given as,

$$B_V = \frac{1}{3}(C_{11} + 2C_{12}) \tag{20}$$

$$G_V = \frac{1}{5} [(C_{11} - C_{12}) + 3C_{44}] \tag{21}$$

And,
$$B_V = \frac{1}{9} (2C_{11} + C_{12} + C_{33} + 4C_{13})$$
 (22)

$$G_V = \frac{1}{30} (M + 3C_{11} - 3C_{12} + 12C_{44} + 6C_{66})$$
 (23)

The above quantities can be taken in the Reuss average [28,29],

$$B_R = \frac{1}{3}C_{11} + 2C_{12}) = B_V \tag{24}$$

$$G_R = \left[\frac{4}{5}(C_{11} - C_{12})^{-1} + \frac{3}{5}C_{44}^{-1}\right]^{-1}$$
(25)

and,
$$B_R = \frac{C^2}{M}$$
 (26)

$$G_R = 15/\left[\frac{^{18B_V}}{c^2} + \frac{^6}{^{C_{11}} - ^{C_{12}}} + \frac{^6}{^{C_{44}}} + \frac{^3}{^{C_{66}}}\right]$$
 (27)

Where, $M = C_{11} + C_{12} + 2C_{33} - 4C_{13}$ and $C^2 = (C_{11} + C_{12})C_{33} - 2C_{13}^2$.

Also, in Hill empirical average [30], the Bulk modulus and share modulus are expressed by,

$$B = \frac{1}{2}(B_V + B_R) \tag{28}$$

$$G = \frac{1}{2}(G_V + G_R) \tag{29}$$

respectively. The young modulus (Y) and Poisson's (ν) ratio for polycrystalline materials [31] are frequently calculated by using the following relationships:

$$Y = \frac{9BG}{3B+G} \text{ and } v = \frac{3B-Y}{6B}$$
 (30)

3.10.1 Elastic Anisotropy

Various formalisms are available to evaluate the elastic anisotropy of crystals. For cubic crystals, the Zener anisotropy is utilized to quantify their anisotropic behavior [32].

$$A^{U} = \frac{2C_{44}}{(C_{11} - C_{12})} \tag{31}$$

For the tetragonal crystal, shear anisotropy factors $A_{\{100\}}$ and $A_{\{001\}}$ for the $\{100\}$ and $\{001\}$ planes, respectively, were calculated by the following equation:

$$A_{100} = \frac{4c_{44}}{(c_{11} + c_{33} - 2c_{13})} \text{ and } A_{001} = \frac{2c_{66}}{(c_{11} - 2c_{13})}$$
 (32)

The factors A_{100} and A_{001} , smaller or greater than unity, measure the degree of anisotropy, while the values equal to unity reveal completely isotropic properties.

By utilizing the relationship between the highest anisotropy (Voigt, V) and the lowest anisotropy (Reuss, R) of the bulk and shear modulus, one can compute the percentage anisotropy in compressibility (A_B), percentage shear anisotropy (A_G), and the universal anisotropy index A^U [33],

$$A^{U} = 5\frac{G_{V}}{G_{R}} + \frac{B_{V}}{B_{R}} - 6$$
, $A_{B}\frac{B_{V} - B_{R}}{B_{V} + B_{R}} \times 100\% \times 100\%$, and $A_{G} = \frac{G_{V} - G_{R}}{G_{V} + G_{R}} \times 100\%$ (33)

3.11 Electronic Properties

3.11.1 Band Structure

In the field of solid-state physics, the electronic band structure of a material delineates the permissible and forbidden energy states for electrons. This phenomenon emerges from the scattering of electron waves governed by quantum mechanics within the periodic crystalline lattice. A band structure diagram encapsulates information about both intramolecular (within the molecule) and intermolecular (between molecules) interactions. Understanding this diagram provides crucial insights into a material's electronic conductivity, optical characteristics, and resistance to oxidation or reduction through doping. Consequently, comprehension of the structure of electronic bands facilitates the predictive understanding of critical solid-state properties. The structure of electronic bands hinges on the of individual atomic orbitals and the arrangement of the crystal lattice. It is the pivotal link between a material's crystal structure and physical characteristics. Within the Brillouin zone (BZ), each state is defined by a wave

number (K), and these wave numbers densely populate the BZ in large crystals. Constructing a BZ around each lattice wave number results in a complete space-filling, with one zone for everyone. The Brillouin zone volume aligns with the primitive cell volume in the wave number lattice, equating the quantity of K points within the BZ with the primitive cells in the crystal. This equality between the states in the Brillouin zone and primitive cells is significant when considering electron occupation in crystal band states. Given a finite set of wave numbers defining states and an endless array of states, each wave number must correspond to an infinite set of states. When specifying a state, we define its wave number and position within the energy hierarchy. The state with the lowest energy at a specific wave number is termed the first band, with subsequent states at the equal wave number denoted as the second band, and so forth. Therefore, every electronic state is identified by both its wave number and band index, each with a clearly defined energy value. The energy of the nth band is denoted as $E_n(k)$, forming a nearly continuous function of wave number for each band, especially in extensive crystals where wave numbers allowed by periodic boundary conditions are densely packed. This set of functions $E_n(k)$, together forms the energy band structure. In a band structure diagram, the quantity of bands aligns with the count of atomic orbitals within the unit cell. The spectrum of energy eigenvalues in a periodic system culminates in the band structure, underscoring the profound impact of lattice periodicity on the behavior of electrons. In essence, the electronic band arrangement of the crystal emerges from the spreading out of isolated energy levels of atoms to form bands of allowable electron energies. This intricate interplay between electrons and the crystalline lattice ultimately defines the material's electronic properties.

3.11.2 Density of state (DOS)

The density of states (DOS) stands as a fundamental concept used to describe the electronic properties of solid materials. It arises from forming electronic energy bands

within solids [34]. The DOS measures the quantity of electronic states electronic states per energy unit interval. We commonly express in relation to the density of states, denoted as $D(\varepsilon)$, which can be represented as: $D(\varepsilon) = \sum_i \delta(\varepsilon - \varepsilon_i)$.

In this equation, the summation extends over all the Kohn-Sham eigenvalues $\varepsilon_i(k)$. To gain insights into the electronic states surrounding individual atoms; we define the local density of states (LDOS) as the count of electronic states within a specific energy range within a volume around an atom [35]. We can further conceptualize the total DOS as the sum of the LDOS for all atoms in a crystal: $D(\varepsilon) = \sum_n d_n(\varepsilon)$.

In this equation, 'n' represents the different atoms within the unit cell, and $d_n(\varepsilon)$ is each atom's local density of states. The detailed decomposition of these local states into the state-resolved density of states is referred to as the projected density of states (PDOS).

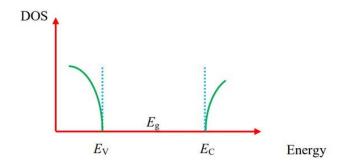


Figure 3.2: The Electronic Density of States (DOS) in crystalline solids near the band gap. The DOS can be segregated into two distinct regions: conduction and valence bands.

For a perfect crystal, the density of states exhibits a characteristic behavior: zero within the band gap. This is because the band gap (E_g) represents an energy range without electronic energy levels. In crystalline solids, the band gap is the boundary between two distinct regions known as the valence and conduction bands, as illustrated in Figure 3.2.

3.12 Optical Properties

Calculating optical spectra doesn't just consider occupied and unoccupied electronic states but also band characteristics. Therefore, exploring optical properties provides a deeper understanding of a material's electronic structure. The dielectric functions were calculated to analyze the frequency-dependent optical properties of semiconductors. The dielectric function (ε) is a well-known parameter that describes solid materials' optical behavior. Both components, the real part ($\varepsilon_1(\omega)$) and the imaginary part ($\varepsilon_2(\omega)$), were considered in the study as follows. [36]:

$$\varepsilon(\omega) = \varepsilon_1(\omega) + i\varepsilon_2(\omega) \tag{34}$$

The real part $(\varepsilon_1(\omega))$ of the dielectric function describes how electromagnetic radiation polarizes and disperses, whereas the imaginary part $(\varepsilon_2(\omega))$ reflects the material's absorptive behavior. These elements are linked through the Kramers-Kronig relations [37]. The equation employed to calculate the real part $(\varepsilon_1(\omega))$ of the dielectric function based on the imaginary part is as follows [38]:

$$\varepsilon_1(\omega) = 1 + \frac{2}{\pi} p \int_0^\infty d\omega' \frac{\omega' \varepsilon_2(\omega')}{{\omega'}^2 - \omega^2}$$
(35)

The principal value of the integral is denoted by P. The imaginary component ($\epsilon_2(\omega)$) of the dielectric function elucidates the transitions between occupied and unoccupied states for a fixed Brillouin zone k-vector. This parameter relies on both the momentum matrix element and the density of states. The subsequent expression can be employed to compute $\epsilon_2(\omega)$ [38,39]:

$$\varepsilon_{2}(\omega) = \left(\frac{h^{2}e^{2}}{\pi m^{2}\omega^{2}}\right) \sum_{i j} \int d^{3} k \langle i_{k} | p^{\alpha} | j_{k} \rangle \langle j_{k} | p^{\beta} | i_{k} \rangle \chi^{\delta} \left(\varepsilon_{i_{k}} - \varepsilon_{j_{k}} - \omega\right)$$
(36)

The momentum matrix element (p) signifies the interaction between the states of bands α and β at the crystal momentum k. Here, the crystal wave vector k denotes the wave functions associated with the valence and conduction bands as i_k and j_k , respectively.

Through the utilization of the dielectric function, crucial optical parameters such as the absorption coefficient ($\alpha(\omega)$), refractive index ($n(\omega)$), and extinction coefficient ($k(\omega)$) can be determined.

$$\alpha(\omega) = \sqrt{2\omega} \left(\sqrt{\varepsilon_1^2(\omega) + \varepsilon_2^2(\omega)} - \varepsilon_1(\omega)\right)^{1/2} \tag{37}$$

$$n(\omega) = \frac{1}{\sqrt{2}} \left(\sqrt{\varepsilon_1^2(\omega) + \varepsilon_2^2(\omega)} + \varepsilon_1(\omega) \right)^{1/2}$$
 (38)

$$k(\omega) = \frac{1}{\sqrt{2}} \left(\sqrt{\varepsilon_1^2(\omega) + \varepsilon_2^2(\omega)} - \varepsilon_1(\omega) \right)^{1/2}$$
(39)

The reflectance and optical conductivity can be inferred as provided below

$$R(\omega) = \left| \frac{\sqrt{s(\omega) - 1}}{\sqrt{s(\omega) + 1}} \right|^2 \tag{40}$$

$$\sigma(\omega) = -\frac{i\omega}{4\pi} \varepsilon(\omega) \tag{41}$$

3.13 Thermal Properties

The Debye temperature (Θ_D) serves as a parameter indicating the temperature of the highest normal mode of vibration within a crystal. It can be estimated utilizing the average sound velocity (V_m), which is contingent upon the elastic constants (the bulk modulus B and the shear modulus G). The Debye temperature can be calculated using the following expression [40]:

$$\Theta_D = \frac{h}{k_B} \left[\left(\frac{3n}{4\pi} \right) N_A \rho / M \right] V_m \tag{42}$$

In this equation, the parameters h, k, n, N, ρ , and M represent Planck's constant, Boltzmann's constant, the number of atoms in the molecule, Avogadro's number, the mass density, and the molar mass, respectively. The subsequent equation can approximate the average sound velocity in polycrystalline material:

$$V_m = \left[\frac{1}{3} \left(\frac{1}{v_l^3} + \frac{2}{v_t^3}\right)\right]^{-1/3} \tag{43}$$

Where, the longitudinal sound velocity (ν_1) and transverse sound velocity (ν_1) in a solid are connected to the elastic moduli (bulk and shear modulus) and density. Employing Navier's equation yields the following expression:

$$V_l = [(3B + 4G)/3\rho]^{1/2}$$
 and $V_t = [G/\rho]^{1/2}$ (44)

The empirical formula to ascertain the lattice thermal conductivity (K_{ph}) of ATaO3-xSx, derived by Slack [41], relies on the heat conduction stemming from the vibration of the lattice ions within a solid. It can be articulated as:

$$K_{ph} = A(\gamma) \frac{M_{av} \Theta_D^3}{\gamma^2 n^{2/3} T}$$
 and $\gamma = \frac{3(1+\nu)}{2(2-3\nu)}$ (45)

Where the Grüneisen parameter, γ , represents the anharmonicity of the phonon, Upon computing the Grüneisen parameter, the coefficient A(γ) can be approximated utilizing

the subsequent formula:
$$A(\gamma) = \frac{4.85628 \times 10^7}{2(1 - \frac{0.514}{\gamma} + \frac{0.228}{\gamma^2})}$$
 (46)

The modified Clarke's model [42] provides an expression for the theoretical minimum

intrinsic thermal conductivity, given as:
$$K_{min} = K_B V_m \left(\frac{M}{n\rho N_A}\right)^{-\frac{2}{3}}$$
 (47)

The melting temperature (T_m) for ATaO_{3-x}S_x has been conducted using the following equations [43]:

For cubic phases:
$$T_m(K) = 553 + (5.911)C_{11}$$
 (48)

For tetragonal phases:
$$T_m(K) = 3C_{11} + (1.5)C_{33} + 354$$
 (49)

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Chapter 4: RESULTS AND DISCUSSION

In this research, we utilized DFT-based methodologies to determine the physical characteristics of ATaO_{3-x}S_x (A = K, Rb, Cs). This chapter covers the presentation of the obtained properties, relevant discussion, and correlation among them.

4.1 Structural Properties

ATaO₃ exhibits a perovskite structure with a cubic crystal lattice belonging to space group Pm3m (#221). The atomic coordinates consist of A (= K, Rb, Cs) located at the unit cell center (0, 0, 0), Ta situated at the corner (0.5, 0.5, 0.5), and O positioned at the face center (0, 0.5, 0.5) [1–3]. In ATaO₂S, the atomic arrangements are as follows: A (0, 0, 0), Ta (0.5, 0.5, 0.5), O (0.5, 0, 0.5), and S (0, 0.5, 0.5). For ATaOS₂, the atomic positions are: A (0, 0, 0), Ta (0.5, 0.5, 0.5), O (0.5, 0.5, 0.5), and S (0.5, 0.5, 0.5). This substitution induces a shift in crystal structure from cubic to tetragonal, with the space group for these transitions being P4/mmm (123). Furthermore, when three sulfur atoms replace oxygen in the parent compound, ATaS₃ is formed, maintaining a cubic structure with identical space group and atomic positions as ATaO₃. For better understanding we also perform 2x1x1 supercell crystal structure calculation for ATaO₂S and ATaOS₂ phases.

Volume optimization was conducted for the cubic structures of ATaO₃ and ATaS₃, resulting in the determination of their respective minimum energy volumes, as depicted in the figure. To assess the structural stability and the correlation between total energy and volume for these compounds, we employed the third-order Birch-Murnaghan equation of state [4,5].

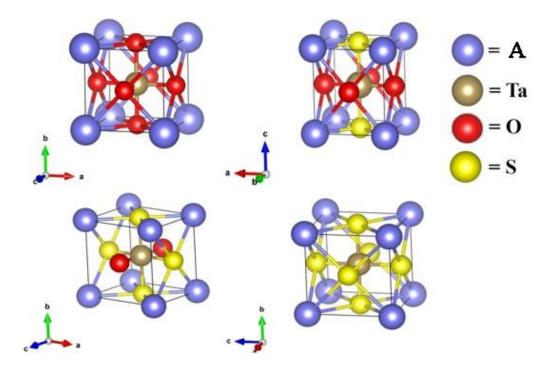


Fig. 4.1: The unit cells of ATaO_{3-x}S_x.

For the remaining two tetragonal structures, structure optimization was conducted in two stages. Initially, volume variation was carried out while keeping the c/a ratio constant. The obtained volume data was then fitted using the Birch-Murnaghan equation of state (EOS), as illustrated in Figure 4.2-4.4.

Subsequently, the equilibrium volume was held constant while the c/a ratio was varied, determined through a parabolic fit. The result of this geometrical optimization, including lattice parameters and other structural data for both cubic and tetragonal compounds, is included in Table 4.1. The deviation of lattice parameters for parent compounds is very low ($\sim 0.1\%$), which justifies the present calculation accuracy.

Table 4.1: The optimized crystallographic lattice parameters, a and c (both in Å), volume of unit cell V (ų) of perovskite ATaO_{3-x}S_x compounds, and the percentage of deviation from the reference data.

Compounds	a (Å)	c(Å)	% of	V	Formation	Ref
			deviation	(ų)	energy	
KTaO ₃	4.0321	-	1.093	65.553	-3.0220	3.9885 [6]
						3.988 [7] ^{expt}
RbTaO ₃	4.0750	-	0.1769	67.838	-2.8328	4.0678 [8]
CsTaO3	4.1415	-	1.259	71.035	-2.0322	4.09 [3]
KTaO ₂ S	4.1385	4.9164	-	84.204	-1.7310	This study
RbTaO ₂ S	4.1962	4.8936	-	86.129	-1.6410	This study
CsTaO ₂ S	4.2723	4.9130	-	89.677	-1.3156	This study
KTaOS ₂	4.9432	4.1239	-	100.769	-1.0789	This study
RbTaOS ₂	4.9698	4.1587	-	102.67	-0.9524	This study
CsTaOS ₂	5.0188	4.2052	-	105.923	-0.6452	This study
KTaS ₃	4.8690	-	-	115.437	-0.7353	This study
RbTaS ₃	4.8940	-	-	117.217	-0.6809	This study
CsTaO ₃	4.9335	-	-	120.078	-0.5781	This study

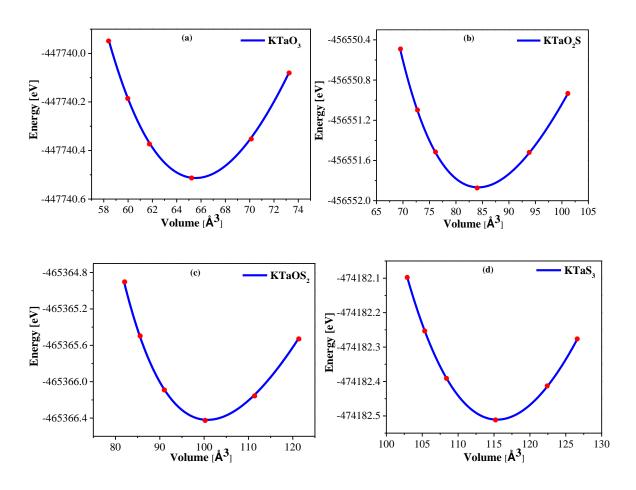
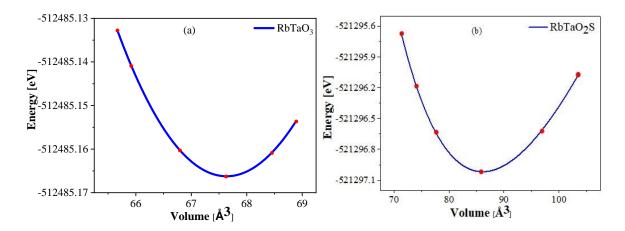


Figure 4.2: Volume vs. total energy curves for (a) KTaO₃, (b) KTaO₂S, (c) KTaOS₂, and (d) KTaS₃.



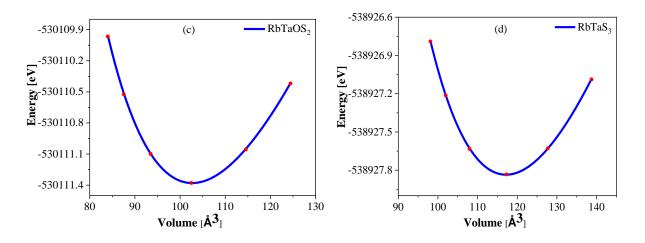


Figure 4.3: Volume vs. total energy curves for (a) RbTaO₃, (b) RbTaO₂S, (c) RbTaOS₂, and (d) RbTaS₃.

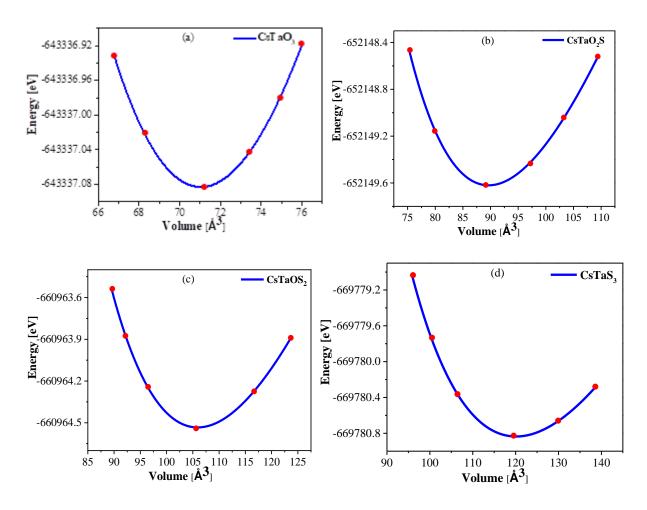


Figure 4.4: Volume vs. total energy curves for (a) CsTaO₃, (b) CsTaO₂S, (c) CsTaOS₂, and (d) CsTaS₃.

The formation energy of a compound is a measure of the stability of that compound relative to its constituent elements in their most stable forms. It's usually defined as the energy change associated with the formation of one mole of a compound from its elements in their standard states. Table 4.1 presents the predicted formation energies for ATaO₃, ATaO₂S, ATaOS₂, and ATaS₃. These values provide insight into their relative stability and illuminate their chemical behavior.

4.2 Dynamical stability

we assessed the dynamic stability of the system by calculating the phonon dispersions, which represent the system's ability to withstand small atomic displacements caused by either thermal or imposed periodic motions [9]. We employed the finite displacement method using the PHONOPY software [10] to derive the phonon dispersions. For the cubic phase, we computed the force constants using a $(2 \times 2 \times 2)$ supercell, while for the tetragonal phase, calculations were conducted with both $(1 \times 1 \times 1)$ and $(2 \times 2 \times 2)$ supercells. During the calculations, atomic displacements of 0.01 Å were applied along the lattice vectors. The analysis of the phonon frequency range spanned the entire Brillouin zone, with positive frequencies indicating stability and negative frequencies indicating instability. Fig. 4.5, 4.6, and 4.7 indicate that the phonon dispersion curves of the ATaO_{3-x}S_x (A = K, Rb, Cs) compounds do not exhibit any negative frequencies, demonstrating their dynamic stability. Unfortunately, no experimental investigations are available on the dynamic characteristics of these compounds.

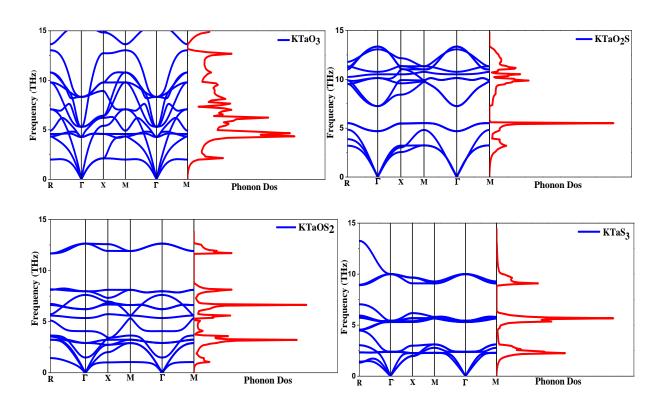


Figure 4.5: Phonon dispersion curve and phonon DOS of KTaO_{3-x}S_x compounds.

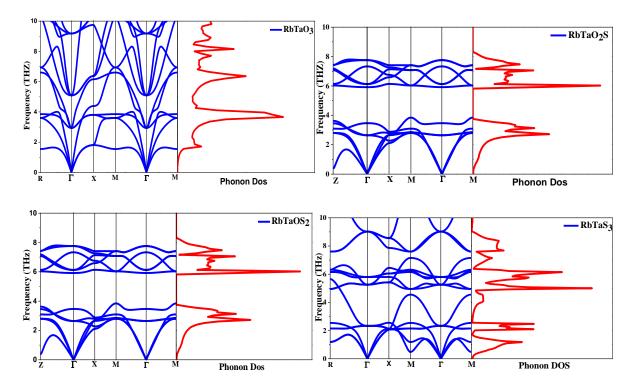


Fig. 4.6: Phonon dispersion curve and phonon DOS of RbTaO_{3-x}S_x compounds.

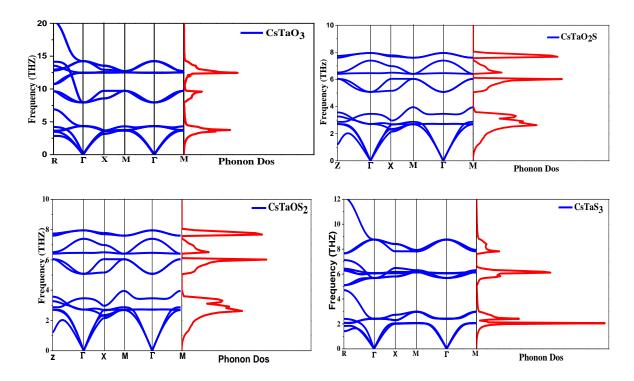


Fig. 4.7: Phonon dispersion curve and phonon DOS of CsTaO_{3-x}S_x compounds.

The PDCs provide additional information regarding the studied compounds. Due to the presence of five atoms in the unit cell of these compounds, the PDCs exhibit a total of 15 vibrational modes. Three modes are acoustic modes, while the remaining 12 are optical modes. At low values of the crystal wave vector, the three lowest modes exhibit a dispersion curve in the form of ω = vk, which corresponds to the ω (k) relationship observed in sound waves and belongs to the acoustic branch. Out-of-phase oscillations of atoms induced by photon excitation give rise to the upper vibrational modes, which constitute the optical branch. At the Γ -point, acoustic modes have zero frequency. No phononic band gap is found in the cubic phases of ATaO₃ and ATaS₃, but the tetragonal phases of ATaO₂S and ATaOS₂ have a band gap between acoustic modes and lower optical branches.

4.3 *Electronics Properties*

The band structure, electronic density of states (DOS), and charge density distribution of ATaO_{3-x}S_x have been investigated to illustrate the electronic properties and chemical bonding nature of the material. The modified Becke-Johnson exchange potential, known for its accuracy in predicting energy band gaps of semiconducting and insulating materials, was employed [11,12]. Both TB-mBJ and GGA-PBE approaches were utilized to search for band gaps, and Table 4.2 presents the estimated band gap values for ATaO_{3-x}S_x compounds, showcasing significant variation across different functionals. The electronic band structure and density of states (DOS) were calculated using the TB-mBJ potential, as illustrated in the figure. Band structure results are presented for ATaO_{3-x}S_x along high symmetry directions within the Brillouin zone, covering the R, Γ , X, M, Γ paths for cubic structures, and Z, Γ , X, M, Γ for tetragonal structures, with the Fermi-Level set at 0 eV. In all calculated phases, the top of the valence band (TVB) and the bottom of the conduction band (BCB) are situated at different k-points, suggesting the indirect band gap semiconductor nature of the investigated phases.

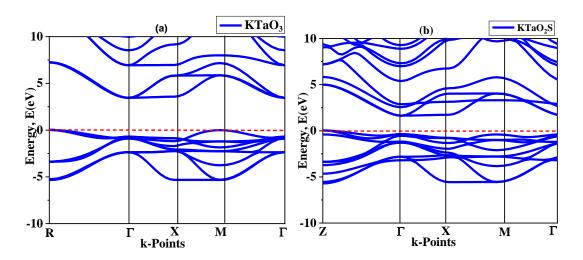
The uppermost energy region of the valence band (near the Fermi level) predominantly arises from the p states of O or S atoms and the d state of Ta atoms in ATaO_{3-x}S_x. Similarly, the lower end of the conduction bands is attributed to the hybridization involving the d states of the Ta atom. The computed band gap values for ATaO₃ using the GGA and TB-mBj potential are as follows: 2.320 eV and 3.572 eV for KTaO₃, 2.219 eV and 2.717 eV for RbTaO₃, and 2.05 eV and 3.076 eV for CsTaO₃, respectively. These values are reasonably consistent with other predicted band gap values, as reported in Table 4.2.

Table 4.2: Estimated bandgaps of perovskite ATaO_{3-x}S_x compounds.

Compounds	Approach	Bandgap (eV)	Ref
KTaO ₃	GGA-PBE	2.320	This study
		2.11	[13]
	TB-mBJ	3.572	This study
		3.64, 3.50, 3.60	[13–15]
RbTaO ₃	GGA-PBE	2.219	This study
		2.053, 2.16	[8,16]
	TB-mBJ	2.717	This study
		2.75	[3]
CsTaO ₃	GGA-PBE	2.055	This study
		2.03, 2.07	[3]
	TB-mBJ	3.076	This study
		3.15, 2.83, 2.9	[3]
KTaO ₂ S	GGA-PBE	1.033	This study
	TB-mBJ	1.808	This study
KTaO ₂ S (SC)	GGA-PBE	0.909	This study
	TB-mBJ	1.706	This study
RbTaO ₂ S	GGA-PBE	0.710	This study
	TB-mBJ	1.438	This study
RbTaO ₂ S (SC)	GGA-PBE	0.635	This study
	TB-mBJ	1.378	This study
CsTaO ₂ S	GGA-PBE	0.307	This study
	TB-mBJ	0.909	This study

CsTaO ₂ S (SC)	GGA-PBE	0.229	This study
	TB-mBJ	0.854	This study
KTaOS ₂	GGA-PBE	0	This study
	TB-mBJ	0.264	This study
KTaOS ₂ (SC)	GGA-PBE	0	This study
	TB-mBJ	0.241	This study
RbTaOS ₂	GGA-PBE	0	This study
	TB-mBJ	0.286	This study
RbTaOS ₂ (SC)	GGA-PBE	0	This study
	TB-mBJ	0.263	This study
CsTaOS ₂	GGA-PBE	0.036	This study
	TB-mBJ	0.376	This study
CsTaOS ₂ (SC)	GGA-PBE	0	This study
	TB-mBJ	0.353	This study
KTaS ₃	GGA-PBE	0	This study
	TB-mBJ	0.078	This study
RbTaS ₃	GGA-PBE	0	This study
	TB-mBJ	0.103	This study
CsTaS ₃	GGA-PBE	0	This study
	TB-mBJ	0.143	This study

The band gap calculated using TB-mBJ potential for other compounds is 1.808 eV (KTaO2S), 0.264 eV (KTaOS2), 0.078 eV (KTaOS3), 1.438 eV (RbTaO2S), 0.286 eV (RbTaO2S), 0.103 eV (RbTaS3), 0.909 eV (CsTaO2S), 0.376 eV (CsTaOS2), and 0.143 eV (CsTaS3). These results indicate that substituting S can dramatically decrease the band gap of ATaO3. The band gap of RbTaO2S is very close to that of the Shockley-Queiser limit for the band gap of solar cell materials to achieve the highest efficiency [17]. Moreover, the band gap is further reduced with increased S contents. Thus, the electrical conductivity of the S-substituted compositions is expected to be improved with the band gap reduction. For KTaO3, our calculated values agree with the experimental results. Moreover, they closely resemble previously computed values for the indirect band gap [7,15,18,19]. However, no experimental data regarding these parameters for RbTaO3 and CsTaO3 is currently available in the literature. The band gap for ATaO2S and ATaOS2 is also calculated via the 211 super-cell (SC) approach using GGA and TB-mBJ functionals. It is found to be consistent with each other [Table 4.2], which ensures the accuracy of the obtained results.



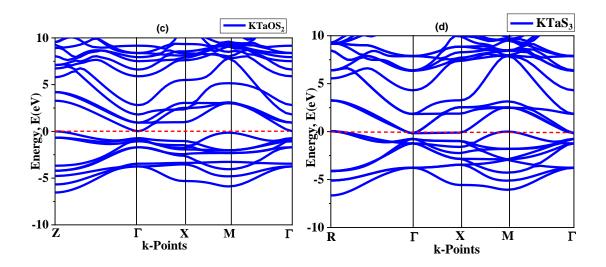


Fig. 4.8: Electronic band structures of KTaO_{3-x}S_x compounds using TB-mBJ.

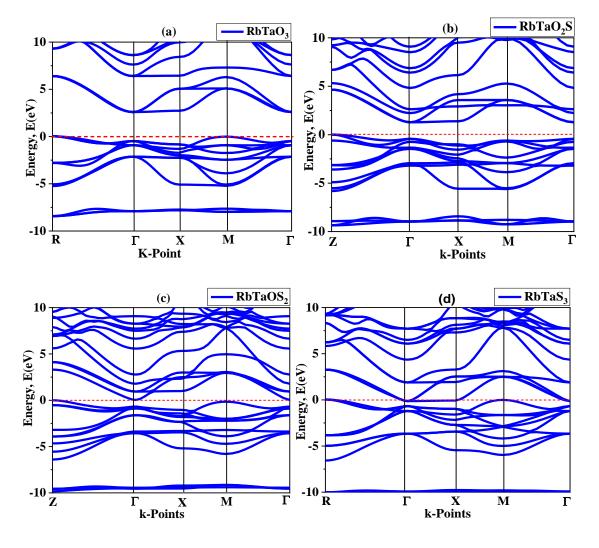


Fig. 4.9: Electronic band structures of RbTaO_{3-x}S_x compounds using TB-mBJ.

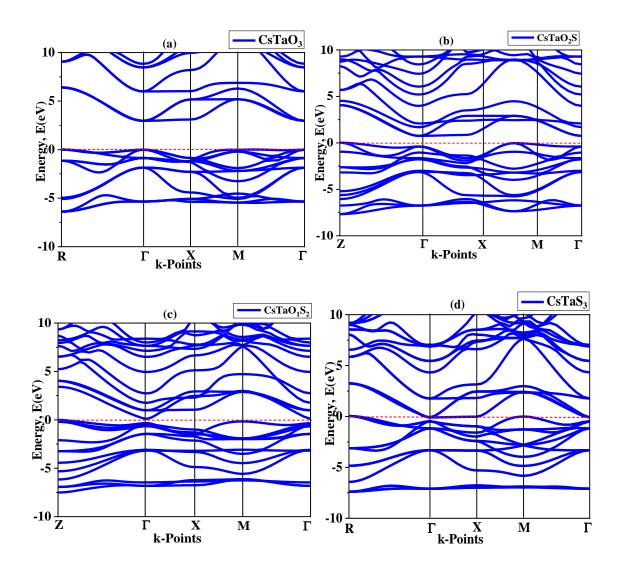


Fig. 4.10: Electronic band structures of CsTaO_{3-s}S_x compounds using TB-mBJ.

The total and partial density of states (DOS) have been studied to understand the orbital contributions to atomic bonding and the formation of valence and conduction states. The band structure and density of the state of various compositions exhibit considerable similarities. In ATaO₃ (A=K, Rb, Cs), the PDOS plot revealed that A-p, Ta-d, and O-p states contributed to the valence band in the deeper region, indicating a pronounced hybridization among them. Upon substituting one, two, and three sulfur atoms in ATaO₃, a noticeable reduction in the contribution of A-p states in the valence band near the Fermi level was observed. However, an increase in the contribution of S-p states compensated for this reduction in the A-p contribution. Consequently, the

substitution of S for O in ATaO₃ induced alterations in the material's electronic structure, leading to changes in the contributions of the atomic orbitals to the valence band. Specifically, the S-*p* states began to significantly contribute to the valence band, while the A-*p* contribution decreased. The conduction band of ATaO₃ displayed notable hybridization between Ta-*d* and O-*p* states near the Fermi level, primarily responsible for forming the band gap. At higher energy levels in the conduction band (around 10 eV), hybridization occurred among A-*d*, Ta-*d*, and O-*p* states, albeit with a modest contribution from O-*p* states. Upon substitution of one, two, or three sulfur atoms for oxygen, S-*p* states contributed near the Fermi level and hybridized with Ta-*d* states. Pseudo-states of tantalum (Ta-*d*) played a significant role in forming both valence and conduction bands.

The electron charge density provides crucial insights into the chemical bonding within a unit cell, often visualized through charge density maps (CDMs) [20]. When investigating ATaO_{3-x}S_x compounds, understanding bonding characteristics relies heavily on electronic charge density contour plots. These plots, computed using the TB-mBJ functional, offer a comprehensive exploration of bonding properties and charge conveyance within the material. Figures 4.11-4.13 depict charge density contour plots obtained for various planes, providing insights into the chemical bonds in ATaO_{3-x}S_x. These plots reveal predominantly ionic bonds with some covalent features. Circular patterns in charge density surrounding the A-cations (K, Rb, Cs) indicate their distinctive ionic character. Meanwhile, the closely packed lines around Ta and O atoms suggest shared electrons, highlighting significant covalent contributions to the Ta-O bonds. Additionally, these contour plots illustrate the charge flow between valence and conduction bands, influencing variations in charge distribution around oxygen and tantalum atoms in ATaO₃. Replacing one or two oxygen atoms with sulfur maintains the strength of the covalent bond between Ta and O, while simultaneously introducing

new covalent bonds between Ta and S atoms. However, the covalent bonds between O and Ta atoms are stronger than the newly formed Ta-S bonds. Substituting three sulfur atoms for oxygen leads to a weaker covalent bond between S and Ta atoms, which notably impacts the mechanical properties of the compound. This leads to reduced hardness and a lower melting temperature than compounds with fewer sulfur substitutions, primarily due to the weakened covalent bond between Ta and S. This weakened bond also has implications for stability and intermolecular interactions, impacting the thermomechanical characteristics of the phase. The selection of atoms for replacement plays a pivotal role in shaping the properties of the final compound. Electronegativity values, as determined by the Linus-Pauling scale, are crucial for assessing covalent bond strength [21]. When atoms engage in a covalent bond, its strength hinges on their respective tendencies to attract electrons. Oxygen exhibits a greater affinity for electron acquisition compared to sulfur. Consequently, when tantalum forms a bond with oxygen, electrons tend to localize more towards the oxygen side, resulting in a stronger Ta-O bond compared to when bonding with sulfur (Ta-S bond). This detail is pivotal in understanding the distribution of charge and the bonding patterns within compounds like ATaO_{3-x}S_x. It's akin to deciphering why certain bonds are more resilient based on the atoms involved.

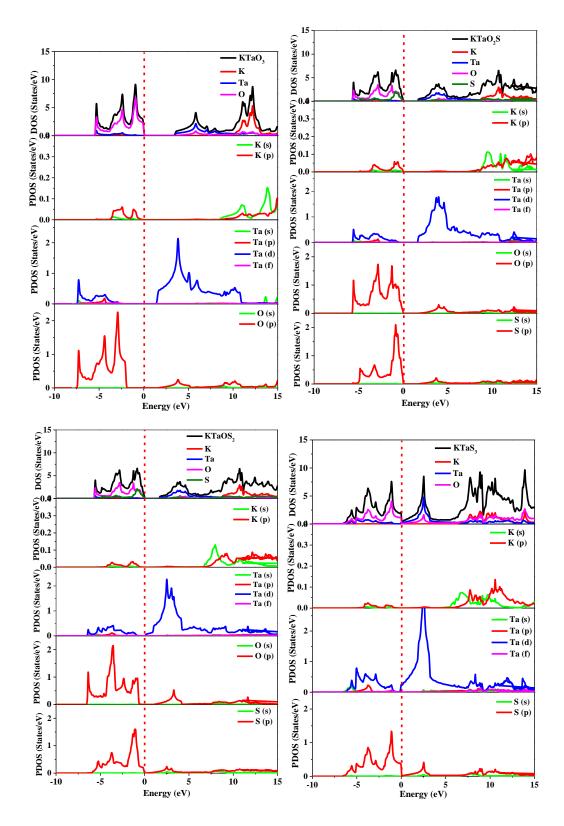


Figure 4.11: The density of states (DOS) of (a) KTaO₃, (b) KTaO₂S, (c) KTaOS₂, and (d) KTaS₃ compounds.

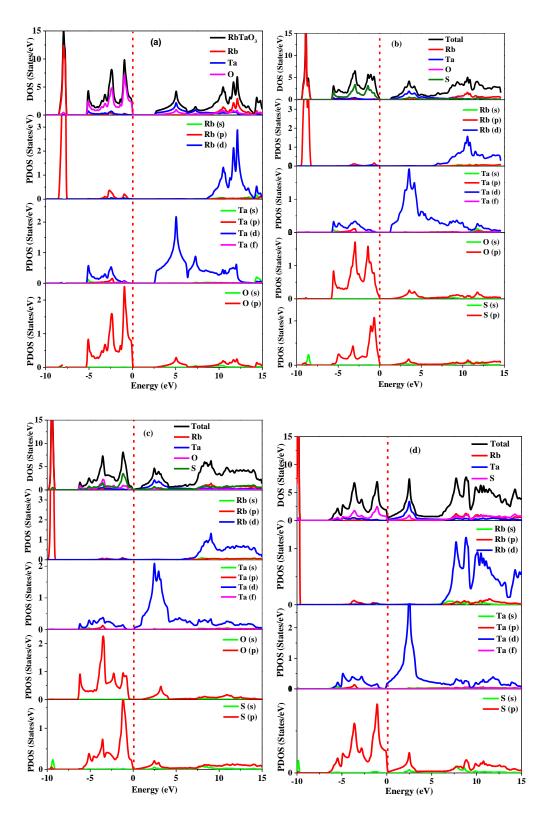


Fig. 4.12: Density of states (DOS) of (a) RbTaO₃, (b) RbTaO₂S, (c) RbTaOS₂, and (d) RbTaS₃ compounds.

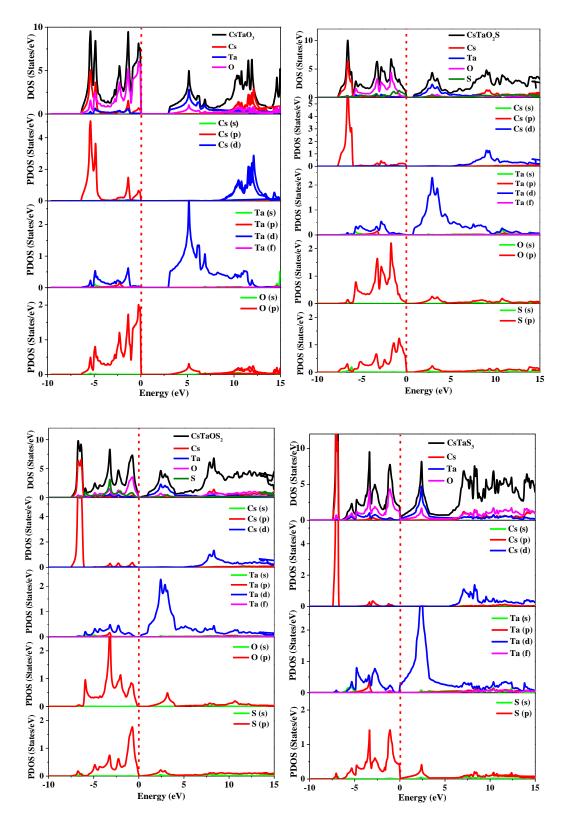


Fig. 4.13: Density of states (DOS) of (a) CsTaO₃, (b) CsTaO₂S, (c) CsTaOS₂, and (d) CsTaS₃ compounds.

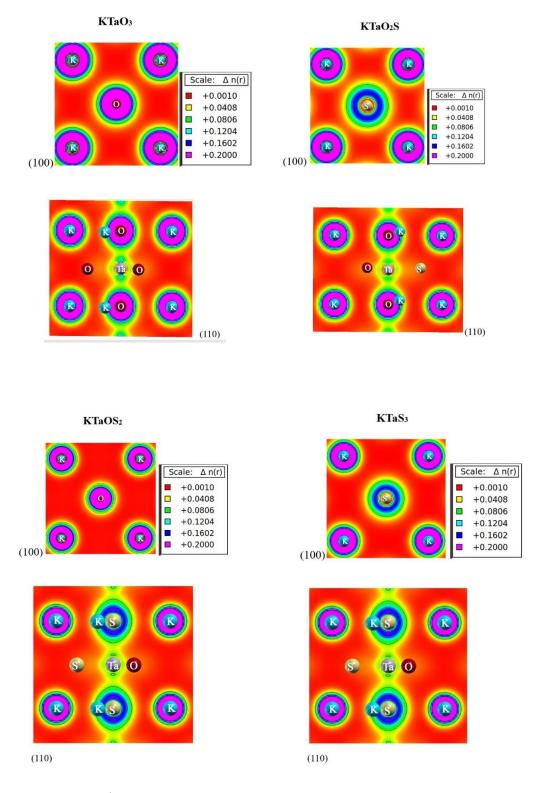


Figure 4.14: Charge density mapping of KTaO_{3-x}S_x compounds.

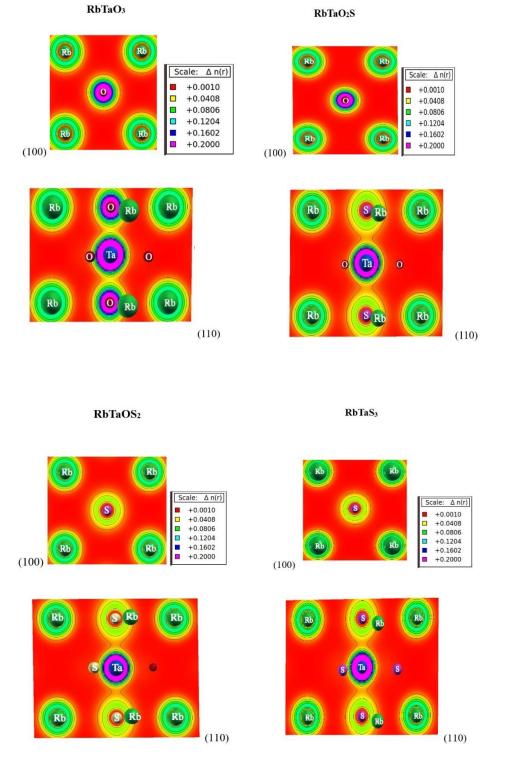
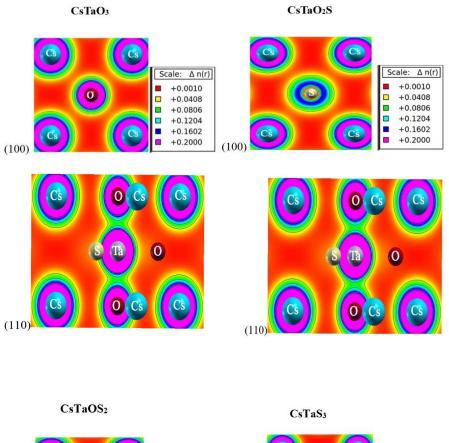


Figure 4.15: Charge density mapping of RbTaO_{3-x}S_x compounds.



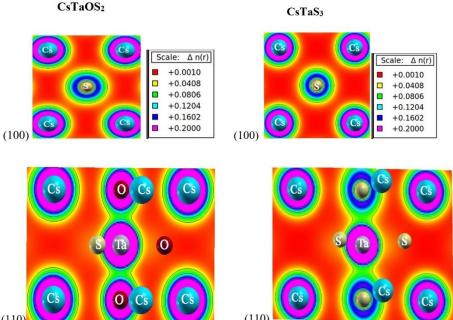


Figure 4.16: Charge density mapping of CsTaO_{3-x}S_x compounds.

4.4 Optical Properties

This section delves into the impact of sulfur substitution on the optical properties of perovskite semiconductors, specifically $ATaO_{3x}S_x$. Optical constants such as the dielectric function (ϵ), absorption coefficient (α), reflectivity (R), loss function (L), refractive index (n), and extinction coefficient (R) are pivotal in comprehending semiconductor nature. Determining these constants is crucial for exploring the optical characteristics essential for various applications. It's noteworthy that the optical properties of the two tetragonal phases, $ATaO_2S$ and $ATaOS_2$, are notably influenced by the phase transition from cubic to tetragonal symmetry. Additionally, these properties exhibit differences between the xx and zz directions due to the symmetry alteration. Therefore, the impact of S substitution on the optical properties of semiconductors must be carefully examined in both directions to understand their properties better. Comparing the optical properties calculated via unit cell and supercell, we find that all results are in excellent agreement with supercell and unit cell.

4.4.1. Dielectric Function

The dielectric constant (ε) is a fundamental property that reveals a material's response to an electric field. In this study, $\varepsilon_1(0)$ signifies the material's capacity to store electric energy at zero frequency, reflecting its intrinsic polarizability. Conversely, $\varepsilon_2(\omega)$ characterizes the material's absorption of electromagnetic energy, with peaks indicating electronic transitions between energy bands. This knowledge is crucial for comprehending the optical and electronic characteristics of materials, shaping their applications across various technological fields. The calculated real ($\varepsilon_1(\omega)$) and imaginary ($\varepsilon_2(\omega)$) parts of the dielectric function For ATaO_{3-x}S_x are presented in Fig. 4.17, 4.18, and 4.19 in the energy range of 0-25 eV. The static real dielectric constants ($\varepsilon_1(0)$) are presented in Table 3.

Notable peaks in the spectra of ε_{1max} are presented in Table 3. Generally, negative real dielectric tensors indicate inadequate light transmission through the material and high reflection [22]. All these compounds exhibit negative values again after the 20 eV energy range; in this particular energy range, the materials behave like metals [23,24].

The imaginary part of the dielectric function tensor, $\varepsilon_2(\omega)$, is shown in Fig. 4.17(b), 4.18(b), and 4.19(b). It is notable from the figures that the static $\varepsilon_2(0)$ increases with the band gap energy; this is closely associated with the band structure. Hence, it remains zero until the band gap energies of these phases are reached. The spectra of $\varepsilon_2(\omega)$, shown in Figure 4.17(b), reveal maximum values of all calculated compounds are presented in Table 4.3. These compounds exhibit a wide range of absorption spectra, making them suitable for various optical applications.

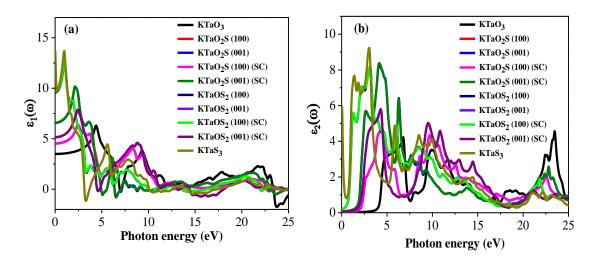


Fig. 4.17: The calculated (a) real part of dielectric constant and (b) imaginary part of dielectric constant of KTaO_{3-x}S_x compounds.

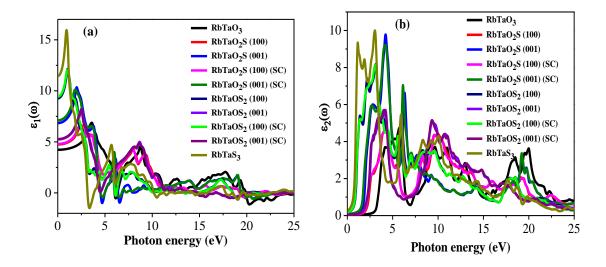


Fig. 4.18: The calculated (a) real part of dielectric constant, (b) imaginary part of dielectric constant of RbTaO_{3-x}S_x compounds.

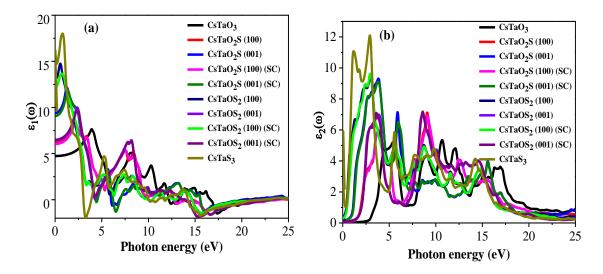


Fig. 4.19: The calculated (a) real part of dielectric constant, (b) imaginary part of dielectric constant of CsTaO_{3-x}S_x compounds.

4.4.2 Absorption Coefficient

The absorption coefficient $\alpha(\omega)$ is closely related to the dielectric function [25]. The plasmon frequency is related to the energy loss function. The correlation between frequency and incident light is responsible for plasma resonance. The investigated and other semiconductor materials exhibit zero value for $\alpha(\omega)$ within the energy band gap.

The absorption coefficient $\alpha(\omega)$ in all of the studied compounds is found to be 10^5 - 10^6 cm $^{-1}$ at their respective absorption edges, which occur at 3.5 eV, 2.2 eV, 1.7 eV, 0.3 eV, 0.5 eV, and 0.4 eV for KTaO₃, KTaO₂S [100], KTaO₂S [001], KTaOS₂ [100], KTaOS₂ [001], and KTaS₃, respectively. The absorption edges, as shown in Fig. 4.20(b), are 2.7 eV, 1.6 eV, 1.4 eV, 1.7 eV, 0.7 eV, and 0.4 eV for RbTaO₃, RbTaO₂S [100], RbTaO₂S [001], RbTaOS₂ [100], RbTaOS₂ [001], and RbTaS₃, respectively.

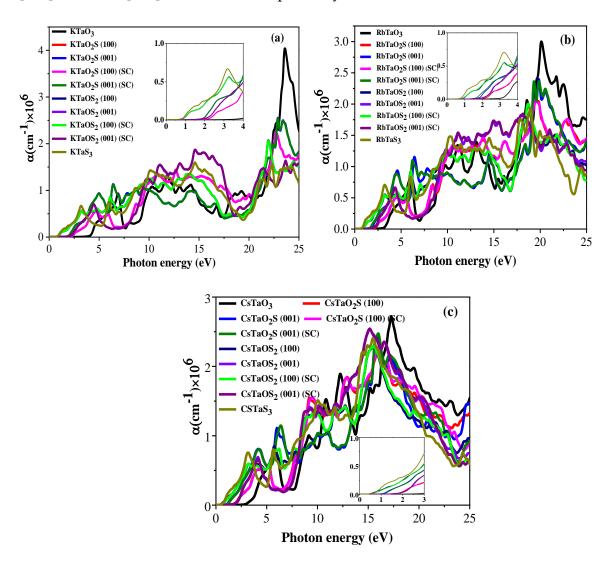


Fig. 4.20: The calculated absorption coefficient of (a) KTaO_{3-x}S_x, (b) RbTaO_{3-x}S_x, and (c) CsTaO_{3-x}S_x compounds.

Figure 4.20(c) shows absorption edges occurred at 2.8 eV, 1.8 eV, 1.1 eV, 0.4 eV, 0.8 eV, 0.2 eV for CsTaO₃, CsTaO₂S [100], CsTaO₂S [001], CsTaOS₂ [100], CsTaOS₂ [001], and CsTaS₃, respectively. Therefore, both the cubic and tetragonal phases demonstrate remarkable efficiency in absorbing visible and ultraviolet light. The absorption coefficient of KTaO₂S and RbTaO₂S displays a notable peak, comparable to highly efficient solar cell perovskite materials like CsPbI₃, CH₃NH₃PbCl₃, and MAPbCl₃. This positions KTaO₂S and RbTaO₂S as highly promising candidates for high-performance solar cell applications [26–28].

4.4.3 Optical Reflectivity

Figure 4.21 depicts the optical reflectivity values for KTaO₃-xS_x compounds. The static reflectivity values are as follows: 9% for KTaO3, 12% for KTaO₂S [100], 19% for KTaO₂S [001], 26% for KTaOS₂ [100], 15% for KTaOS₂ [001], and 35% for KTaS₃. And 12%, 13%, 20%, 25%, 15%, and 29% for RbTaO₃, RbTaO₂S [100], RbTaO₂S [001], RbTaOS₂ [100], RbTaOS₂ [100], RbTaOS₂ [100], and RbTaS₃, respectively. Furthermore, CsTaO₃-xS_x reflects 13% for CsTaO₃, 18% for CsTaO₂S [100], 26% for CsTaO₂S [001], 32% for CsTaOS₂ [100], 19% for CsTaOS₂ [001], and 36% for CsTaS₃ respectively. The reflectivity is poor – implying that these materials have the potential to be used as anti-reflection coatings. The study found that the optical properties of KTaO₂S and RbTaO₂S are comparable to those of CsPbI₃ [29] along with their band gap values, which makes it a promising material for optoelectronic applications, such as solar cells. The results suggest that solar cell technology can utilize the calculated compounds.

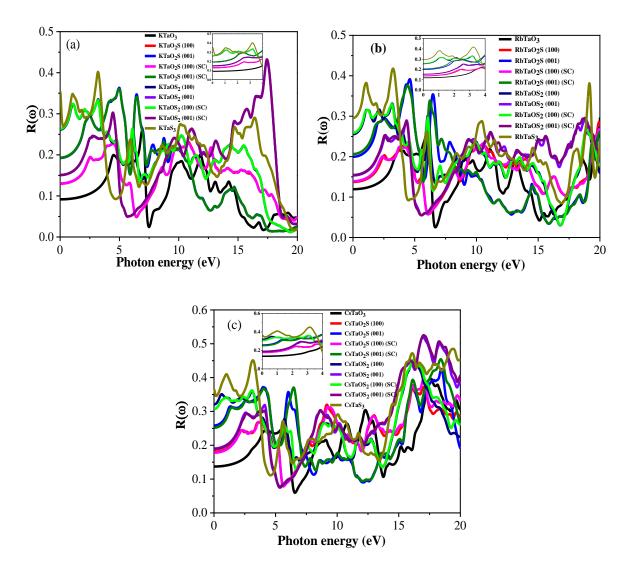


Fig. 4.21: The calculated Reflectivity of (a) KTaO_{3-x}S_x, (b) RbTaO_{3-x}S_x, and (c) CsTaO_{3-x}S_x compounds.

4.4.4 Loss Function

The energy loss function $L(\omega)$ describes the dissipation of energy within the compound due to interactions between electrons and photoelectrons, which are attributed to plasma losses [30]. Fig. 4.22 shows the energy loss functions for ATaO_{3-x}S_x compounds. All calculated compounds' energy loss begins at around 1 to 4 eV. With increased photon energy, the optical energy loss gradually increases and reaches its highest peak

for ATaS₃. Of all the compounds studied, ATaO₃ shows the least electron loss in the higher energy range compared to the others.

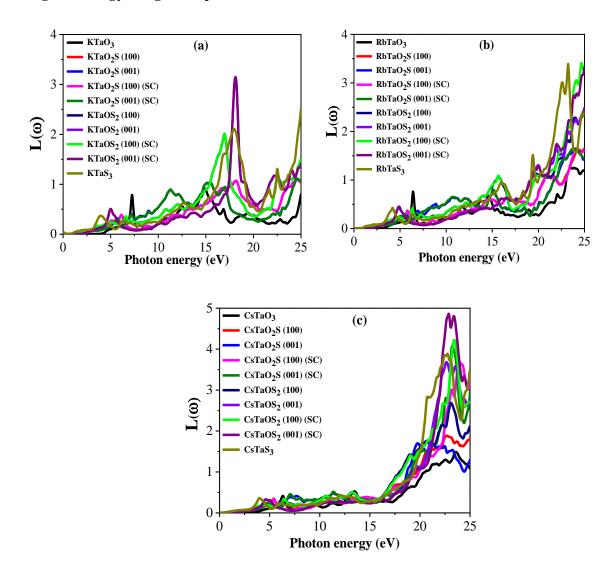


Fig. 4.22: The calculated loss function of (a) KTaO_{3-x}S_x, (b) RbTaO_{3-x}S_x, and (c) CsTaO_{3-x}S_x compounds.

4.4.5 Optical Conductivity

The optical conductivity of a solid quantifies the extent of electron transport influenced by light and correlates directly with the refractive index and absorption coefficient. In all cases examined here, it is observed that the optical conductivity is zero at the energy band gap, consistent with expectations. Beyond the threshold frequency, a noticeable rise in optical conductivity is observed, with initial peaks occurring at 6.75 eV, 4.51 eV, 4.84 eV, 3.21 eV, 4.34 eV, and 3.09 eV for KTaO₃, KTaO₂S [100], KTaO₂S [001], KTaOS₂ [100], KTaOS₂ [001], and KTaS₃, respectively. Upon surpassing the threshold frequency, optical conductivity increases to values of 3.37, 2.48, 5.02, 3.12, 2.98, and 3.73 for RbTaO₃, RbTaO₂S [100], RbTaO₂S [001], RbTaOS₂ [100], RbTaOS₂ [001], and RbTaS₃, respectively, marking the onset of the first peak. And 3.4, 2.8, 4.39, 3.5, 3.3 and 4.31 for CsTaO₃, CsTaO₂S [100], CsTaO₂S [001], CsTaOS₂ [100], CsTaOS₂ [001], and CsTaS₃ respectively, as the first peak.

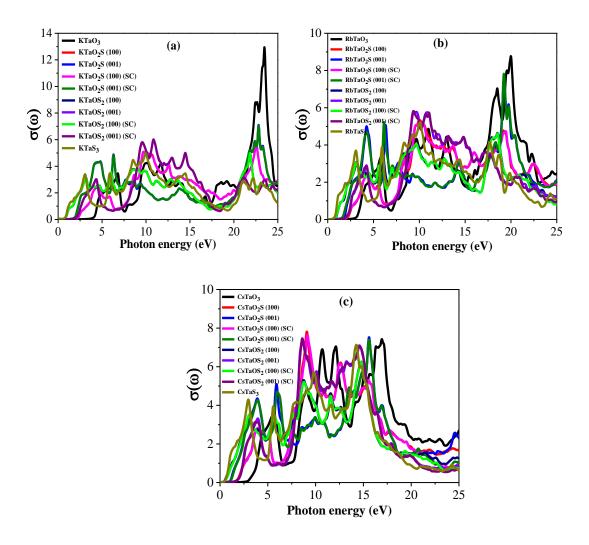


Fig. 4.23: Calculated optical conductivity of (a) KTaO_{3-x}S_x, (b) RbTaO_{3-x}S_x, and (c) CsTaO_{3-x}S_x.

Afterward, the optical conductivity decreases abruptly around the energy range of 4-8 eV for all the compounds, which is associated with the plasmon frequency. The optical conductivity reaches peaks, which are presented in Table 4.3.

4.4.6 Reflective index and extinction coefficient

The extinction coefficient $k(\omega)$ and refractive index $n(\omega)$ of a material represent its capacity to absorb incident photons and its velocity, respectively. The refractive index $(n(\omega))$ of ATaO₃-xS_x, as illustrated in Figure 4.24, exhibits a notable similarity in trend across various energy ranges to the real dielectric tensor.

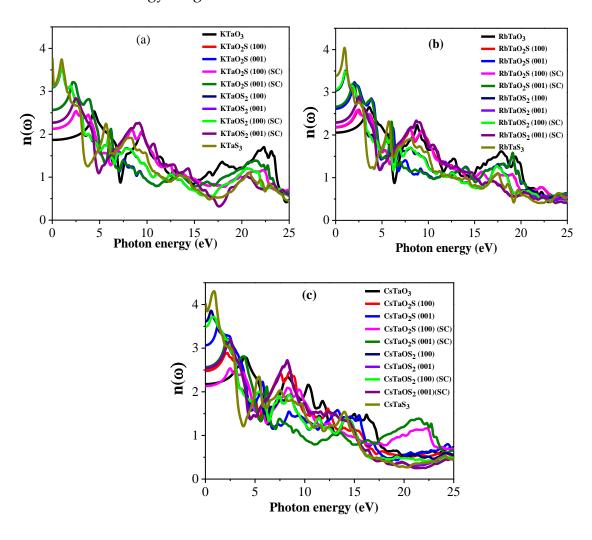


Figure 4.24: Refractive index of (a) KTaO_{3-x}S_x, (b) RbTaO_{3-x}S_x, and (c) CsTaO_{3-x}S_x compounds.

This observation underscores the intimate connection between the optical properties and electronic structure of materials. Notably, the calculated values for the static point n(0) are meticulously documented in Table 4.3, provides perspective into the material's behavior under specific conditions. Semiconductor materials with wide band gaps generally possess a lower refractive index value at static points.

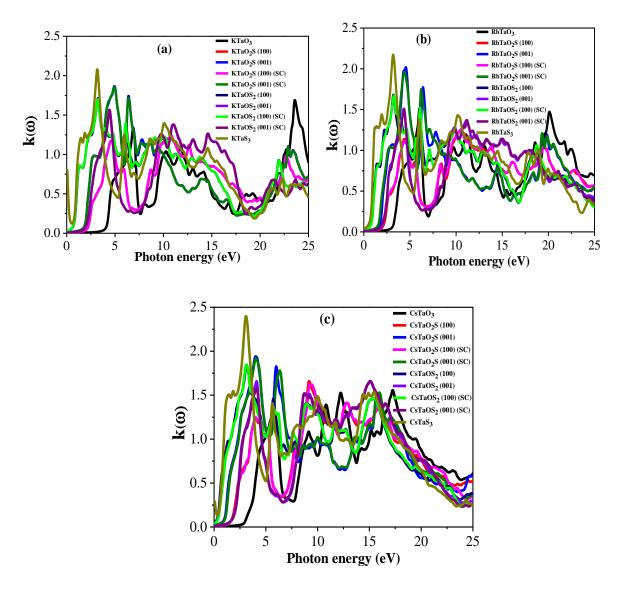


Fig. 4.25: Calculated extinction coefficient of (a) KTaO_{3-x}S_x, (b) RbTaO_{3-x}S_x, and (c) CsTaO_{3-x}S_x compounds.

Table 4.3: The calculated optical parameters of perovskite ATaO $_3$ -xSx compounds.

phases	$\varepsilon_1(0)$	$\varepsilon_{1max}(eV)$	$\varepsilon_{2max}(eV)$	R(0)	n (0)	$\sigma_{max}(eV)$
KTaO ₃	3.48	4.39	6.81	0.09	1.87	23.05
RbTaO ₃	4.23	3.56	4.85	0.12	2.07	19.90
CsTaO ₃	4.79	3.92	10.37	0.13	2.16	16.93
KTaO ₂ S (100)	4.54	4.42	4.42	0.12	2.12	22.66
KTaO ₂ S (001)	6.58	4.05	4.13	0.19	2.55	22.75
RbTaO ₂ S (100)	4.61	2.52	5.85	0.13	2.16	10.04
RbTaO ₂ S (001)	6.79	1.23	4.76	0.20	2.37	19.21
CsTaO ₂ S (100)	6.15	2.27	9.09	0.18	2.47	9.07
CsTaO ₂ S (100)	9.40	1.38	3.80	0.26	3.06	15.58
KTaOS ₂ (100)	9.56	3.09	3.02	0.26	3.09	21.87
KTaOS ₂ (001)	5.10	4.34	4.32	0.14	2.28	10.88
RbTaOS ₂ (100)	9.21	1.17	3.13	0.25	3.05	18.56
RbTaOS ₂ (001)	5.23	0.87	4.19	0.15	2.31	9.54
CsTaOS ₂ (100)	13.01	0.57	2.93	0.32	3.62	14.75
CsTaOS ₂ (001)	6.53	2.37	4.23	0.19	2.53	8.62
KTaS ₃	13.73	3.05	3.01	0.35	3.78	5.04
RbTaS₃	11.42	0.91	3.03	0.29	3.38	10.10
CsTaS ₃	16.11	0.79	2.92	0.36	3.04	14.26

This precisely agrees with our calculated result, where ATaO₃ has the highest band gap and the lowest refractive index. Similarly, the band gap of ATaS₃ is the lowest, and its $n(\omega)$ is the highest among the studied compositions. Fig. 4.25 shows the plot of the

extinction coefficient. The $k(\omega)$ values for ATaO_{3-x}S_x remain at 0 within the energy band gap range. As the light frequency exceeds the energy band gap, the maximum values for all compounds reach approximately 3 eV to 8 eV. However, in the [100] directions of KTaO₂S, RbTaO₂S, and CsTaO₂S, the maximum peak is observed at 10.8 eV, 10.5 eV, and 9.2 eV, respectively. The spectra of $k(\omega)$ closely mirror the imaginary dielectric tensor $\varepsilon_2(\omega)$ as depicted in the figure.

4.5 Mechanical Properties

The elastic properties of solids encompass the material's capacity for deformation under various stresses and revert to its initial form upon stress removal. Exploring elastic properties provides reliable insights into a material's mechanical traits, including stability, strength, ductility/brittleness, Young's modulus (Y), bulk modulus (B), shear modulus (G), anisotropy factor, and other elastic parameters. [31].

Our investigation into mechanical characteristics commenced by employing the strain-stress approach to calculate independent elastic constants. These elastic constants, along with Hill's approximation, were utilized to determine the average values of elastic moduli's upper and lower limits for polycrystalline materials [32]. Subsequently, both elastic moduli and elastic constants were employed to estimate fracture toughness, ductility or brittleness, and anisotropic characteristics of the perovskite systems under discussion. The calculated elastic moduli with unit cell and supercell exhibit almost similar results, which confirms the calculation accuracy. The obtained elastic constants of ATaO_{3-x}S_x are shown in Table 4.4. The results obtained for ATaO₃ and ATaS₃ satisfy three fundamental criteria widely accepted for evaluating the elastic stability of cubic crystals, proving the mechanical stability of these compounds. For tetragonal crystals, the capacity to withstand linear compression is assessed by *C*₁₁ along the x-axis and *C*₃₃ along the z-axis [33].

Table 4.4: Calculated stiffness constants (GPa) of ATaO $_{3-x}S_x$ compounds with cubic and tetragonal structures.

Compound	C ₁₁	C ₁₂	C ₁₃	C44	C33	C66
KTaO ₃	366	71	-	107	-	-
RbTaO ₃	406	76	-	111	-	-
CsTaO ₃	383	54	-	118	-	-
KTaO ₂ S	280	76	42	55	258	100
KTaO ₂ S (SC)	279	77	39	53	259	98
RbTaO ₂ S	241	91	43	56	262	111
RbTaO ₂ S (SC)	252	95	37	58	266	112
CsTaO ₂ S	292	82	47	61	251	125
CsTaO ₂ S (SC)	228	114	38	59	252	122
KTaOS ₂	198	37	38	55	219	37
KTaOS ₂ (SC)	197	40	38	53	219	35
RbTaOS ₂	184	19	30	62	197	36
RbTaOS ₂ (SC)	177	31	36	60	203	35
CsTaOS ₂	185	25	25	69	159	34
CsTaOS2 (SC)	204	45	77	68	208	36
KTaS ₃	198	52	-	49	-	-
RbTaS ₃	226	44	-	44	-	-
CsTaS ₃	157	42	-	48	-	-

Table 4.5: Computed bulk modulus B (GPa), Young's modulus Y (GPa), shear modulus G (GPa), Pugh's ratio (B/G), Poisson's ratio ν and machinability index B/C_{44} for ATaO_{3- ν} compounds.

Compound	В	G	Υ	B/G	ν	B/C ₄₄
KTaO ₃	169	121	294	1.39	0.21	1.58
RbTaO₃	186	130	316	1.43	0.22	1.68
CsTaO ₃	164	135	318	1.21	0.18	1.38
KTaO ₂ S	126	81	201	1.55	0.23	2.30
KTaO2S (SC)	124	80	198	1.55	0.23	2.33
RbTaO ₂ S	122	79	194	1.55	0.23	2.17
RbTaO ₂ S (SC)	123	82	201	1.50	0.23	2.11
CsTaO ₂ S	131	89	217	1.47	0.22	2.15
CsTaO ₂ S (SC)	120	78	192	1.55	0.23	2.05
KTaOS ₂	93	60	148	1.56	0.24	1.69
KTaOS2 (SC)	94	58	145	1.61	0.24	1.79
RbTaOS ₂	81	62	148	1.30	0.19	1.29
RbTaOS ₂ (SC)	89	59	146	1.50	0.23	1.49
CsTaOS ₂	75	62	146	1.21	0.18	1.08
CsTaOS ₂ (SC)	112	60	152	1.59	0.27	1.62
KTaS ₃	101	57	144	1.76	0.26	2.07
RbTaS ₃	104	59	150	1.76	0.26	2.35
CsTaS ₃	80	52	128	1.55	0.23	1.66

We can see that the elastic constants are all positive, C_{11} is larger than C_{12} , and $2C_{13}$ is smaller than $C_{11}+C_{33}$, thereby indicating that these ATaO_{3-x}S_x compounds meet the mechanical stability criterion and these compounds are mechanically stable. Table 4.4 displays the calculated values, demonstrating their mechanical stability. Notably, our results exhibit a significant concurrence with previously calculated values, thereby substantiating the accuracy of our calculations [2,13].

Young's modulus (*Y*), bulk modulus (*B*), and shear modulus (*G*) are indicators of the hardness and stiffness of materials. Among the elastic constants, *C*⁴⁴ is considered the most reliable predictor of solid hardness [34]. In our analysis, we observe that the shear moduli of ATaO₃, reflecting the material's hardness, exhibit larger bulk values. Additionally, higher Young's modulus values contribute to increased stiffness. Table 4.5 demonstrates that upon substituting S for O, the elastic moduli of ATaO₂S, ATaOS₂, and ATaS₃ decrease in comparison to ATaO₃.

Pugh's B/G ratio helps to categorize the brittle and ductile class of solids. The ratio B/G > 1.75 indicates that the material is ductile; otherwise, (B/G < 1.75) it reveals brittleness [35]. Another significant elastic parameter is the Poisson ratio v, which helps to confirm brittleness and ductility. If the Poisson ratio v > 0.26, according to Frantsevich's rule, it will present ductility; lower than this value suggests brittleness [36]. Table 4.5 shows that ATaO₃, ATaO₂S, and ATaOS₂ exhibit brittleness, while KTaS₃ and RbTaO₃ display ductile characteristics. However, CsTaS₃ was found to be brittle. The ratio B/C_{44} gives the machinability index an important mechanical performance indicator [37]. A material with low shear resistance and a small C_{44} value tends to have high machinability. In the case of ATaO₂S, it exhibits higher machinability compared to the other compounds.

4.5.1 Elastic anisotropy

Elastic anisotropy plays a crucial role in understanding a crystal's mechanical properties when subjected to mechanical stress. Numerous crystals with low symmetry display significant elastic anisotropy. Their elastic anisotropic behaviors were investigated to determine the elastic properties of these compounds in different crystallographic directions.

Table 4.6: Calculated elastic anisotropy indices (A^U , A_B , A_G , A_{1} , and A_{3}) of ATaO_{3-x}S_x.

Phase	A 1	A 3	Ав	A G	$\mathbf{A}^{ ext{U}}$
KTaO ₃	-	-	-	-	0.72
RbTaO₃	-	-	-	-	0.67
CsTaO ₃	-	-	-	-	0.71
KTaO ₂ S	0.49	1.01	0.40	5.40	0.57
RbTaO ₂ S	0.53	1.48	0.10	4.86	0.51
CsTaO ₂ S	0.53	1.19	0.69	5.05	0.54
KTaOS ₂	0.64	0.58	0.12	4.75	0.50
RbTaOS ₂	0.78	0.43	0.16	4.31	0.45
CsTaOS ₂	0.94	0.42	0.23	4.62	0.48
KTaS ₃	-	-	-	-	0.66
RbTaS₃	-	-	-	-	0.48
CsTaS ₃	-	-	-	-	0.83

For cubic crystals, the value of ' $A^{U'}$ being equal to unity implies the isotropic nature, while ' $A^{U'}$ less/greater than 1 shows an anisotropic character [38]. The values of ATaO₃ and ATaS₃ that were obtained are smaller than '1', indicating the anisotropic nature.

Table 4.6 shows that the values obtained for the tetragonal compounds ATaO₂S and ATaOS₂ exhibit an anisotropic nature. The parameters A_{100} and A_{001} , whether smaller or greater than unity, quantify the extent of anisotropy, with values equal to unity indicating completely isotropic properties. Anisotropy is indicated by non-zero values for A_B and A_G , while zero values denote the crystal's isotropy. When the universal anisotropic index (A^U) is zero, the crystal is isotropic. Any deviation from zero determines the degree of elastic anisotropy. Therefore, our obtained universal anisotropy index (A^U) for ATaO₂S and ATaOS₂ declares that the tetragonal phase of the materials is anisotropic.

To confirm the presence of anisotropic characteristics in all the compositions under investigation, we have employed two-dimensional (2D) and three-dimensional (3D) plots. These plots were generated using the ELATE code [39]. Additionally, we have included a summary of all the elastic properties, including Young's modulus (Y), linear compressibility (K=1/B), shear modulus (G), and Poisson's ratio (ρ), along with their corresponding minimum and maximum values in Table 4.7. This table offers a comprehensive overview of the elastic properties of the analyzed materials and facilitates quick comparisons between different compositions. In Figures 4.26, 4.27, 4.28, and 4.29, we can observe the three-dimensional (3D) and two-dimensional (2D) diagrams representing the properties of KTaO_{3-x}S_x compounds. A spherical shape in the plot, whether in 3D or 2D, indicates perfect isotropic behavior in the respective dimensional space. Conversely, any deviation from a spherical shape signifies varying degrees of anisotropy in different directions within the three-dimensional space. The same principle applies to the 2D case, where anisotropy is observed on specific crystal

planes. The Young's modulus (Y), shear modulus (G), and Poisson's ratio (v) of these materials exhibit substantial anisotropy in all planes. However, for cubic crystals like KTaO₃ and KTaS₃, the linear compressibility of the material is isotropic, being the same in all directions, as depicted in Figures 4.26 and 4.29. In contrast, for KTaO₂S and KTaOS₂, as shown in Figures 4.27 and 4.28, the linear compressibility displays pronounced anisotropic behavior in the xz- and yz-planes. At the same time, it demonstrates isotropic behavior in the xy-plane.

Table 4.7: The lower and upper limits of Young's modulus, compressibility, shear modulus, and Poisson's ratio of ATaO_{3-x}S_x perovskites.

Phases	Ymin.	Ymax.	Ay	K_{\min}	Kmax	Ак	G_{\min}	G_{\max}	A_G	Umin.	Umax.	A_v
	(GPa)	(GPa)		(TPa-1)	(TPa ⁻¹)		(GPa)	(GPa)				
KTaO3	264.09	342.94	1.29	1.97	1.972	1.00	106.52	147.58	1.38	0.132	0.315	2.38
RbTaO₃	276.99	382.23	1.38	1.79	1.79	1.00	110.65	165.13	1.49	0.122	0.344	2.81
CsTaO3	285.93	370.11	1.29	2.03	2.038	1.00	118.29	164.81	1.39	0.100	0.281	2.79
KTaO ₂ S	177.5	255.5	1.43	2.44	3.08	1.26	64.64	112.4	1.74	0.113	0.376	3.32
RbTaO ₂ S	154.84	261.73	1.69	2.62	2.96	1.12	56.00	113.43	2.02	0.095	0.449	4.72
CsTaO ₂ S	170.82	294.07	1.72	2.289	3.130	1.36	60.68	125.28	2.06	0.095	0.433	4.54
KTaOS ₂	105.43	276.55	2.62	1.348	3.923	2.90	35.94	86.72	2.41	0.104	0.466	4.47
RbTaOS ₂	105.29	187.2	1.77	3.72	4.34	1.16	36.13	82.473	2.28	0.080	0.457	5.65
CsTaOS ₂	102.36	178.44	1.74	4.182	4.989	1.19	34.31	80.09	2.33	0.010	0.491	4.25
KTaS ₃	125.25	177.05	1.41	3.316	3.316	1.00	48.46	73.38	1.51	0.157	0.394	2.50
RbTaS₃	116.61	211.93	1.81	3.191	3.191	1.00	44.374	91.201	2.05	0.100	0.480	4.78
CsTaS ₃	120.2	140.04	1.16	4.154	4.154	1.00	48.07	57.91	1.20	0.186	0.296	1.59

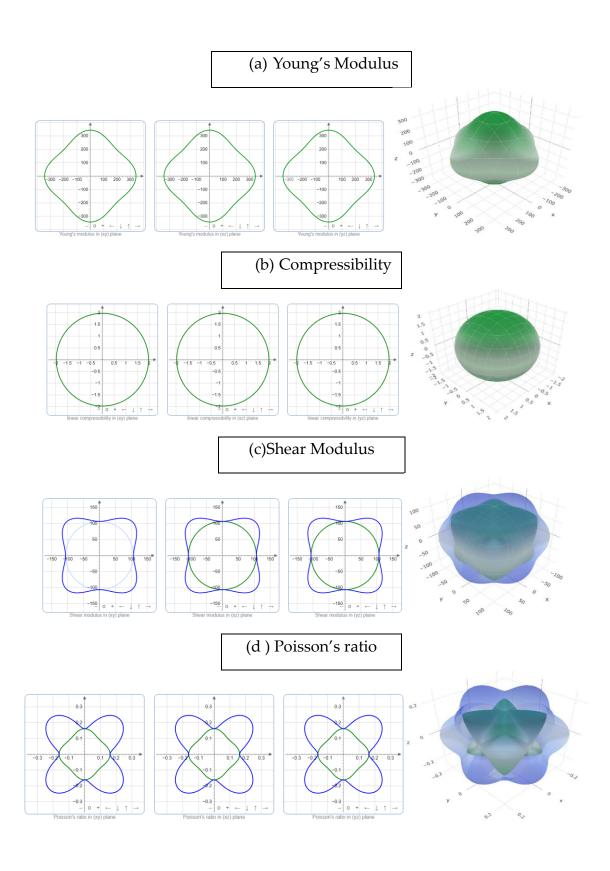


Figure 4.26: The 2D and 3D plots of (a) Y, (b) K, (c) G, and (d) υ of the KTaO₃ compounds.

(a) Young's Modulus (c) Compressibility (c) Shear Modulus (d) Poisson's ratio

Figure 4.27: The 2D and 3D plots of (a) Y, (b) K, (c) G, and (d) υ of the KTaO₂S compounds.

(a)Young's Modulus

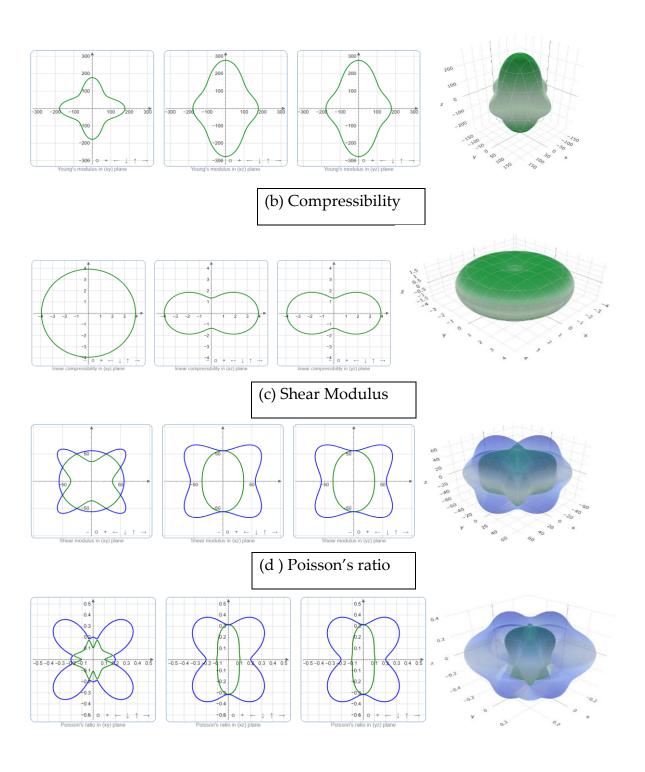


Figure 4.28: The 2D and 3D plots of (a) Y, (b) K, (c) G, and (d) υ of the KTaOS₂ compounds.

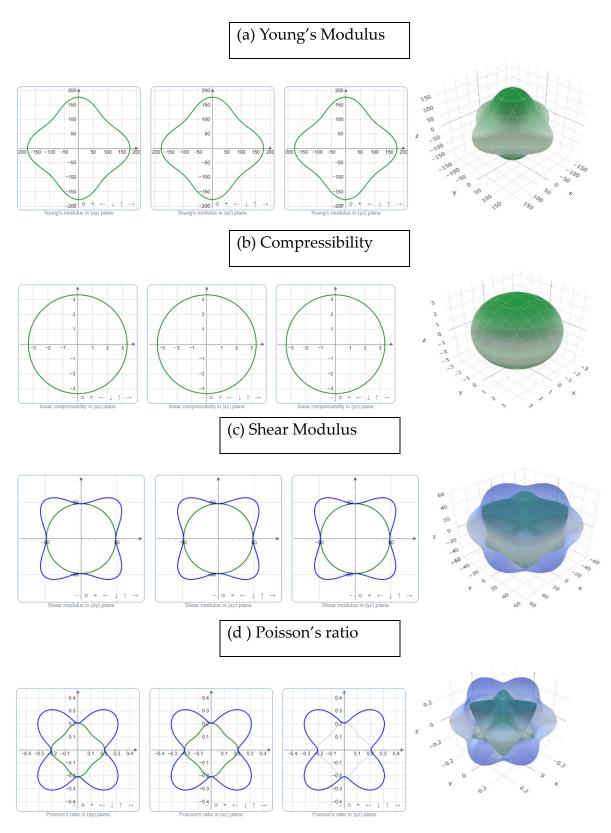


Figure 4.29: The 2D and 3D plots of (a) Y, (b) K, (c) G, and (d) υ of the KTaS₃ compounds.

4.6 Thermal Properties

This section comprehensively explores the fundamental thermodynamic properties related to ATaO_{3-x}S_x compounds. The Debye temperature (θ_D) serves as a fundamental physical parameter intricately linked to various physical characteristics, including melting temperature, specific heat, and elastic constants. It plays a pivotal role in distinguishing the response of solids at high and low temperatures. When the temperature (T) exceeds the Debye temperature, all modes are expected to have energy levels roughly equivalent to K_BT . Conversely, when T falls below θ_D , high-frequency modes are anticipated to become frozen [40]. At lower temperatures, vibrational excitation primarily stems from acoustic modes. As a result, the Debye temperature, when computed from elastic constants, closely aligns with the value determined through specific heat measurements.

The computed Debye temperature, acoustic speeds, and density for the ATaO_{3-x}S_x compound are presented in Table 4.8, where we compared the results obtained for the ordered unit cell with those of the supercell. The calculated values are reasonably consistent with the reported data [13] and exhibit good agreement with supercell calculation. Our findings suggest that substituting S results in a decrease in the Debye temperature. Typically, harder solids exhibit higher θ_D , and a low value of θ_D indicates lower lattice thermal conductivity and minimum thermal conductivity [41]. ATaO₃ has the highest θ_D values, while ATaS₃ has the lowest compared to the others.

The melting temperature of perovskite semiconductors is an important property that can impact their thermal stability and suitability for various applications, particularly those that involve high-temperature processing or operation. The obtained values for $T_{\rm m}$ are presented in Table 4.9. When we compare these calculated $T_{\rm m}$ values, we observe that ATaO₃ has a higher melting temperature compared to ATaO₂S, ATaOS₂, and ATaS₃ compounds. This suggests that ATaO₃ is well-suited for high-temperature applications.

This observation aligns with the behavior of Young's modulus, as T_m and Young's modulus exhibit a strong correlation.

Table 4.8: Calculated crystal density(ρ), transverse, longitudinal, and average sound velocities (v_1 , v_t , and v_m), Debye temperature (θ_D) for ATaO_{3-x}S_x compounds.

Compound	$\rho(\text{kg/m}^3)$	$\nu_{\rm l}({ m m/s})$	v _t (m/s)	$\nu_{\rm m}({ m m/s})$	∂ _D (K)
КТаО3	6788.94	6981.49	4228.76	4673.66	590.4
RbTaO ₃	7695.15	6832.00	4109.52	4545.01	567.6
CsTaO ₃	8457.68	6374.84	3997.02	4401.58	541.3
KTaO ₂ S	5601.99	6464.66	3809.03	4220.99	490.5
KTaO ₂ S (SC)	5601.9	6420.2	3785.1	4194.2	487.2
RbTaO ₂ S	6370.7	5965.2	3513.4	3893.5	449.0
RbTaO ₂ S (SC)	6370.7	6033.2	3512.0	3966.6	442.7
CsTaO ₂ S	6996.9	5966.2	3562.8	3943.2	448.7
CsTaO ₂ S (SC)	6996.9	5653.5	3329.6	3689.8	419.7
KTaOS ₂	4945.4	5916.8	3480.7	3857.7	422.2
KTaOS ₂ (SC)	4945.8	5894.3	3431.3	3806.6	416.4
RbTaOS ₂	5604.1	5394.2	3321.3	3664.6	398.6
RbTaOS ₂ (SC)	5604.1	5481.7	3255.8	3605.2	391.9
CsTaOS ₂	6175.6	5052.3	3166.9	3487.5	375.4
CsTaOS ₂ (SC)	6175.6	5573.9	3110.4	3462.9	372.6
KTaS ₃	4548.4	6235.3	3547.9	3943.8	412.5
RbTaS₃	5136.2	5981.4	3402.8	3782.6	393.7
CsTaS ₃	5669.8	5131.3	3022.5	3349.5	345.8

Understanding the phonon transport properties is essential for comprehending a material's characteristics and predicting its practical applications in micro or nanotechnology. Lattice thermal conductivity (K_{ph}) is a critical factor in this context, as it describes how heat is conducted due to the contributions of phonons at various frequencies. Materials with lower thermal conductivity are often used as insulators, while phases with high K_{ph} find application in materials designed for efficient heat dissipation, such as heat sinks. The practical formula for calculating lattice thermal conductivity (K_{ph}) in ATaO_{3-x}S_x considers heat conduction arising from the vibrations of lattice ions within a solid, originally developed by Slack [42]. Materials that exhibit high values of the Grüneisen parameter (γ) typically have substantial anharmonic contributions, resulting in low phonon thermal conductivity. The results of this study have indicated that all compositions of ATaO_{3-x}S_x possess a moderate value of the Grüneisen parameter (γ), as shown in Table 4.9.

The results for K_{min} values are presented in Table 4.9. It is crucial to note that all these substances demonstrate low values of minimum thermal conductivity. The computed minimum thermal conductivity (K_{min}) for our materials are as follows: KTaO₃ (1.16 W mK⁻¹), RbTaO₃ (1.10 W mK⁻¹), CsTaO₃ (1.03 W mK⁻¹), KTaO₂S (0.88 W mK⁻¹), RbTaO₂S (0.80 W mK⁻¹), CsTaO₂S (0.79 W mK⁻¹), KTaOS₂ (0.71 W mK⁻¹), RbTaOS₂ (0.67 W mK⁻¹), CsTaOS₂ (0.62 W mK⁻¹), KTaS₃ (0.67 W mK⁻¹), RbTaS₃ (0.63 W mK⁻¹) and CsTaS₃ (0.55 W mK⁻¹). These values are comparable to those of widely recognized thermal barrier coating (TBC) materials like Y₄Al₂O₉ [43] and Yb₂SiO₅ [44].

In Fig. 4.30, we observe the temperature-dependent behavior of thermal conductivity (K_{ph}) for ATaO_{3-x}S_x. The findings reveal a distinct pattern in which K_{ph} undergoes a sharp decline in the temperature range of 0-1200 K, pursued by a more gradual decrease spanning 500-1800 K, ultimately stabilizing at a constant level at higher temperatures for KTaO_{3-x}S_x compounds. In the case of RbTaO_{3-x}S_x compounds, Kph demonstrates a

sharp reduction in the 0-1000 K range, followed by a gradual decrease of 1000-2000 K, and ultimately reaches a constant value at elevated temperatures. Similarly, for CsTaO_{3-x}S_x compounds, K_{ph} shows a notable decrease in the 0-1500 K range, followed by a gradual decline of 600-18000 K, and eventually stabilizes at a constant value at elevated temperatures. The specific values of K_{ph} can be found in Table 4.9.

Table 4.9: Calculated melting temperature (T_m) , Grüneisen parameter (γ) , lattice thermal conductivity (K_{ph}) , minimum thermal conductivity (K_{min}) , specific heat (C_v, C_p) , and the thermal expansion coefficient (α) of ATaO_{3-x}S_x perovskite compounds.

Phases	Tm	γ	K* _{ph} (W/mK)	Kmin (W/mK)	Cv	CP	α (10-6k-1)
KTaO3	2714.96	1.324	71.43	1.16	103	103.27	6.81
RbTaO ₃	2952.95	1.349	72.31	1.10	104	104.81	6.18
CsTaO ₃	2818.27	1.198	94.79	1.03	113	113.66	6.47
KTaO ₂ S	1580.16	1.426	40.78	0.88	108	109.29	8.11
RbTaO ₂ S	1470.88	1.426	36.11	0.80	114	114.52	8.58
CsTaO ₂ S	1605.65	1.374	44.71	0.79	106	106.88	6.91
KTaOS ₂	1275.07	1.432	27.12	0.71	109	109.80	9.22
RbTaOS ₂	1201.60	1.263	36.56	0.67	104	104.89	8.82
CsTaOS ₂	1146.65	1.198	39.32	0.62	111	111.87	9.29
KTaOS3	1725.21	1.549	24.40	0.67	112	113.43	8.34
RbTaS ₃	1889.01	1.549	27.54	0.63	110	110.42	7.40
CsTaS ₃	1483.49	1.426	22.85	0.55	115	115.69	9.43

ATaO₃ exhibits the highest lattice thermal conductivity owing to its high Debye temperature(θ_D). Among the materials under consideration, KTaO₃ demonstrates the highest lattice thermal conductivity. This can be attributed to its higher θ_D value and relatively low γ . A lower value of the Grüneisen parameter indicates reduced phonon scattering compared to the other three compounds.

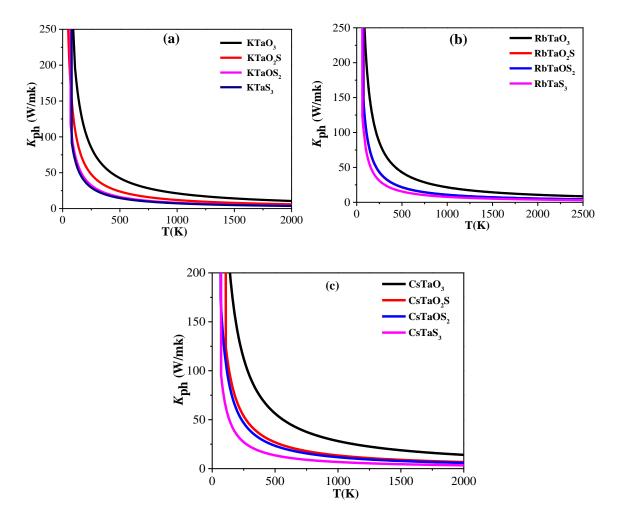


Figure 4.30: The temperature-dependent lattice thermal conductivity for (a) KTaO_{3-x}S_x, (b) KTaO_{3-x}S_x, and (c) KTaO_{3-x}S_x compounds.

This value is comparable to other materials like B_6X (X = S, Se) [45], copper, aluminum alloys/aluminum, boron-aluminum, aluminum nitride, SiC, diamond, and certain other materials with thermal conductivity exceeding 145 W/mK [46]. These materials

are widely recognized for their applications as heat sinks in laptops and various microelectronic devices that generate heat. It's worth mentioning that the estimated thermal conductivity (K_{ph}) at 300 K exceeds that of popular MAX phase compounds like bronze, and it's in a similar range as the thermal conductivity of aluminum bronze and red brass [46–48].

4.6.1 Specific heat and thermal expansion coefficient:

Specific heat is a vital thermal property essential in casting and heat treatment processes, determining the amount of heat required for these operations. It quantifies a substance's capacity to retain heat, with the provision of heat leading to a proportional temperature increase in the material. For temperatures above 300 K, the quasi-harmonic Debye model accurately explains the specific heat at constant volume $(C_{\rm V})$. It considers the lattice vibrations of atoms and their anharmonicity, treating them as a collection of coupled harmonic oscillators [49–52] with variable volume. In Fig. 4.31, we can observe the temperature dependence of specific heats, C_v and C_p , within the range of 0-2000 K. The increase in specific heat with the temperature rise is called thermal softening. At temperatures lower than 600 K, the heat capacities follow the Debye T³ power-law, whereas at temperatures exceeding 1000 K, they nearly conform to the Dulong-Petit (DP) model. It is common for solid materials that although C_{ν} approaches the DP limit [53] at higher temperatures (1000 K), the DP model slightly underestimates C_p at these temperatures. However, the deviations of C_p values from the DP model for all titled compounds at 2000 K were always less than around 2.9-3.9%. Table 4.8 presented our calculated values of C_v and C_p for ATaO_{3-x}S_x.

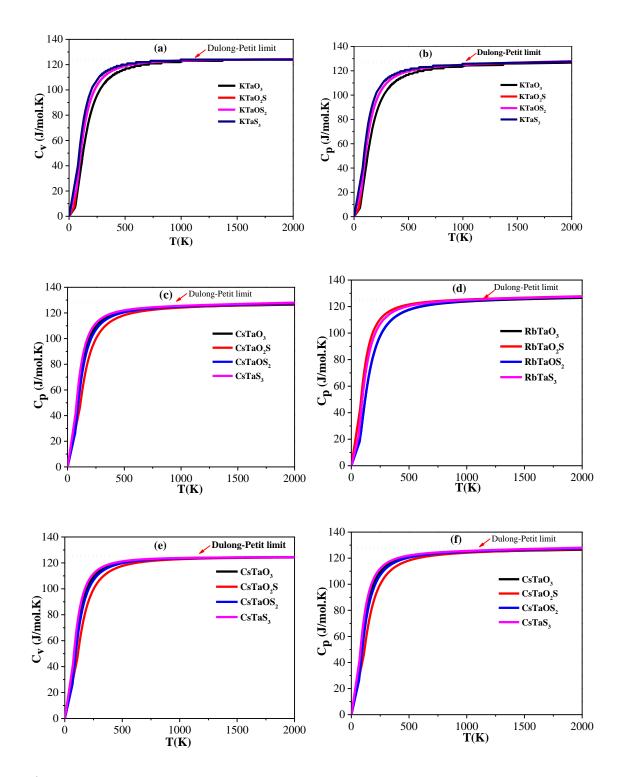


Fig. 4.31: The temperature-dependent specific heats for the ATaO_{3-x}S $_x$ solid solutions are reported in C_v at constant volume and C_p at constant pressure.

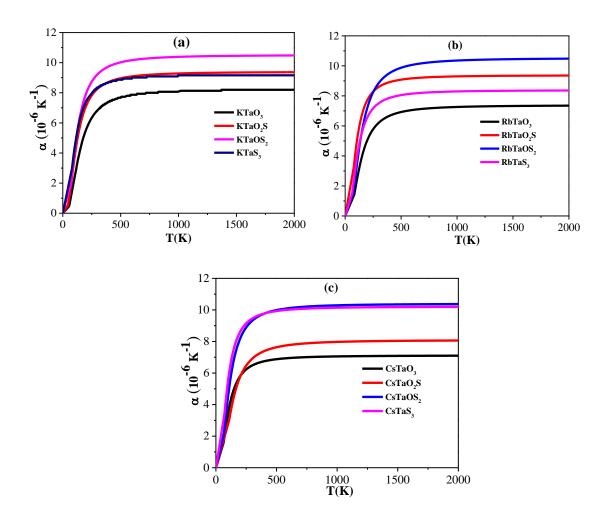


Fig. 4.32: Temperature-dependent linear thermal expansion coefficient (α) of (a) KTaO_{3-x}S_x, (b) RbTaO_{3-x}S_x, and (c) CsTaO_{3-x}S_x compounds.

Fig. 4.32 illustrates the relationship between temperature and the coefficient of thermal expansion (α) for ATaO_{3-x}S_x solid solutions. Our research shows that α rapidly increases until it reaches a specific temperature for KTaO_{3-x}S_x compounds around 200 to 500 K; for RbTaO_{3-x}S_x compounds, this increase occurs within the specified temperature range of 600 to 800 K, while for CsTaO_{3-x}S_x compounds, the rise is evident in the temperature range of 100 to 400 K. Afterward, α gradually increases, reaching an almost constant value. At a temperature of 300 K, the coefficients of thermal expansion for these solid solutions are 6.81×10⁻⁶ K⁻¹ for KTaO₃, 6.18×10⁻⁶ K⁻¹ for RbTaO₃, 6.47×10⁻⁶ K⁻¹ for CsTaO₃,

8.11×10⁻⁶ K⁻¹ for KTaO₂S, 8.58×10⁻⁶ K⁻¹ for RbTaO₂S, 6.91×10⁻⁶ K⁻¹ for CsTaO₂S, 9.22×10⁻⁶ K⁻¹ for KTaOS₂, 8.82×10⁻⁵ K⁻¹ for RbTaOS₂, 9.29×10⁻⁶ K⁻¹ for CsTaOS₂, 8.34×10⁻⁶ K⁻¹ for KTaS₃, 7.40×10⁻⁵ K⁻¹ for RbTaS₃ and 9.43×10⁻⁶ K⁻¹ for CsTaS₃.

All of its thermal properties suggest that ATaO₃ emerges as a promising heat sink material because of its favorable linear thermal expansion coefficient (α), lattice thermal conductivity (K_{ph}), high melting point (T_m), and impressive minimum thermal conductivity (K_{min}). These characteristics position ATaO₃ as a strong contender for efficient heat dissipation applications. This is similar to another material, Ti₂BC [54], which has been predicted to have heat sink properties.

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Chapter 5: CONCLUSIONS

5.1 General:

Our systematic analysis of ATaO_{3-x}S_x Perovskite semiconducting compounds, employing advanced first-principles methods, revealed intriguing insights. Sulfur substitution for oxygen induced structural transitions, from cubic to tetragonal and back to cubic phases. These compounds exhibited semiconducting behavior, with varying band gaps influenced by the S substitution. Remarkably high light-absorption capabilities were evident, particularly in ATaO₂S, making it a promising material for solar cell technology. Anisotropic optical properties and diverse mechanical behaviors were observed. Additionally, S substitution significantly impacted thermal properties. This research serves as a foundational reference for future explorations in advanced materials science, offering potential in renewable energy and various technological applications.

5.2 Key Findings:

5.2.1 Structural and Thermodynamic Stability:

Our research on ATaO_{3-x}S_x perovskite semiconductors revealed some important things about their structure and stability. When we swapped out some oxygen with sulfur, the crystal structure changed, going from cubic to tetragonal shapes. We also figured out how stable these materials are by calculating their formation energies, showing which ones are more likely to form. For practical use, we looked at their dynamic stability by studying their phonon dispersions. The good news is that we didn't find any bad signs – no unstable vibrations – so these materials can handle some movement without falling apart. All of these findings are a solid starting point for more research

and applications of these materials. They might have a bright future in material design and various technologies, showing their potential in the world of science and beyond.

5.2.2 Elastic Behavior and Mechanical Properties:

Elastic properties provide valuable insights into a material's ability to withstand stress and deformations, influencing its stability, strength, ductility, and more. For cubic crystals, our analysis showed that ATaO₃ and ATaS₃ satisfied the established elastic stability criteria, confirming their mechanical stability. Furthermore, for tetragonal crystals, the computed elastic constants met the criteria, illustrating the structural integrity of ATaO₂S and ATaOS₂. Notably, the Poisson's ratio and Pugh's ratio concurred with these results, further affirming the mechanical characteristics of these compounds. We observed that the substitution of sulfur for oxygen influenced the mechanical properties, resulting in changes in bulk modulus, shear modulus, hardness, and shear resistance. Anisotropic characteristics were evident, with values like Poisson's ratio, shear modulus, linear compressibility and Young's modulus displaying varying anisotropy in different crystallographic directions. This anisotropy was particularly pronounced in ATaO2S and ATaOS2, highlighting their complex mechanical behavior. Our study has provided comprehensive insights into the elastic and mechanical properties of ATaO_{3-x}S_x compounds, shedding light on their stability, brittleness, ductility, and anisotropic behavior.

5.2.3 Optical and Electronic Properties:

In our comprehensive exploration of ATaO_{3-x}S_x Perovskite semiconducting compounds, our focus on the electronic and optical properties has yielded significant key findings. These materials exhibit a clear semiconducting nature, as evident from the distinct band gap observed between valence and conduction bands around the Fermi level. The substitution of sulfur for oxygen leads to a decrease in the band gap,

enhancing their suitability for electronic transitions. Our study also confirms the coexistence of ionic and covalent bonds within these compounds, as demonstrated by partial density of states and charge density plots. Notably, these materials showcase a remarkably high absorption coefficient and low reflectivity, making them exceptional candidates for applications that require efficient light absorption, notably in solar cell technology.

5.2.4 Thermal Characteristics:

Our thorough analysis of ATaO_{3-x}S_x Perovskite compounds, thermal properties has uncovered pivotal insights. Sulfur substitution resulted in a notable decrease in the Debye temperature, indicative of altered thermal characteristics. This led to reduced minimum thermal conductivity and lattice thermal conductivity. The compounds exhibited moderate anharmonicity as indicated by the Grüneisen parameter. When considering factors such as linear thermal expansion coefficient (α), lattice thermal conductivity (K_{ph}), minimum thermal conductivity (K_{min}), and melting point (T_m), ATaO₃ (A = K, Rb, Cs) stands out as a promising candidate for efficient heat sink materials.

5.3 Limitations of the Study

While our investigation of ATaO $_{3-x}S_x$ compounds highlights their potential for applications like solar cell technology, recognizing certain limitations is crucial. This study predominantly concentrated on particular compositions, and the findings are based on theoretical calculations without experimental validation. A more comprehensive exploration of a wider compositional space would provide a fuller understanding of these materials. Additionally, experimental work is essential to verify the predicted properties. These limitations open avenues for future research to further uncover the vast potential of ATaO $_{3-x}S_x$ compounds.

5.4 Practical Implication

Our discovery of KTaO₂S and RbTaO₂S as an exceptional material for solar cell technology, with its exceptional light absorption properties and low reflectivity, holds significant promise for advancing the field of renewable energy. This finding offers the potential for cost-effective and more efficient solar cell designs, playing a role in the transition toward sustainable energy sources. Additionally, our insights into the electronic properties and band gap modification through S substitution offer a route for custom-tailoring semiconductors for various electronic and optoelectronic applications. Furthermore, the characterization of mechanical behavior, anisotropic properties, and thermal characteristics equips materials scientists and engineers with essential data for selecting and designing materials in fields such as structural engineering, optics, and heat management. Overall, our research provides a valuable reference for future experimental endeavors, underpinning the development of novel materials and technologies with improved functionality and efficiency.

5.5 Recommendation for Further Study

This comprehensive investigation of ATaO_{3-x}S_x Perovskite semiconducting compounds has unveiled valuable insights into their multifaceted properties. As we move forward, it is essential to recommend avenues for further research to expand upon our findings. Firstly, experimental validation of our theoretical predictions is imperative to confirm the practical feasibility of these materials as well as theoretical calculation on the measurement of the energy conversion efficiency and thermoelectric properties. Additionally, exploring a broader range of compositions within the ATaO_{3-x}S_x system will provide a more comprehensive understanding of their properties. Investigating the potential applications of these compounds, such as their use in solar cell

technology. Lastly, a deeper exploration of the anisotropic characteristics and their impact on practical applications is warranted. These recommendations aim to propel the field of advanced materials science and technology and further unlock the potential of $ATaO_{3-x}S_x$ compounds.